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1. Name of Applicant (Please write complete na	ame as per Certificate of Inco	rporation / Registra	tion; leaving one box	blank between 2 v	vords. Please d	o not abbrevia	ate the Nam
2. Date of Incorporation d d / m r	n / y y y y	Place of Incorpo	ration				
3. Registration No. (e.g. CIN)			Date of commence	ment of busines	5 d d /	_ m m /	y y
 4. Status Please tick (✓) Private Ltd. Co. AOP Bank Government Bo Others (Please specify) 		Body Corporate Organisation	Partnership Defence Establishme	Trust / Charitie ent Body o	s / NGOs f Individuals	FI [Society	☐ FII □ LLP
5. Permanent Account Number (PAN) (MAN	DATORY)		Please en	close a duly attest	ed copy of you	ur PAN Card	
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2. Contact Details				country			
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DETAILS OF FATCA & CRS INFORMATION (For non-individuals / legal entity)

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Type of address given at KRA Residential or Business Residential	Busir	ness	Reg	istered	Office	9						-												_		
PAN										[Date o	of Inc	orpo	ration			C	/	M	M	1	Y	Y	Y	Y	r
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Please tick the applicable tax resident declaration- I. Is "Entity" a tax resident of any country other than India Yes No (If yes, please provide country/ies in which the entity is a resident for tax pi		nd the a	ssociat	ted Ta	x ID ni	umber	belo	w)																		
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[^] In case Tax Identification Number is not available, kindly provide its functional equivalent In case TIN or its functional equivalent is not available, please provide Company Ident In case the Entity's Country of Incorporation / Tax residece is U.S. but Entity is not a S Please refer to para 3(vii) Exemption code for U.S. person under Part D of FATCA Inst Company International Company I	ntification nu Specified U. structions &	S. Perso Definitio	n, ment ns.	ion En	tity's e	xempti	on co																			
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* To include US, where controlling person is a US citizen or green card holder ^ In case Tax identification Number is not available, kindly provide functional equivalent The Central Board of Direct Taxes has notified Rules 114F to 114H as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e. within 30 days.

If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number. [§] It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

PART C	: C	ER	RTIF	-IC	AT	101	J																								
	I / We have understood the information requirements of thsi Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I / We also confirm that I / We have read and understood that FATCA & CRS Terms and Conditions below and hereby accept the same.																														
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PART D : FATCA INSTRUCTIONS & DEFINITIONS

(Note : The Guidance Note / notification issued by the CBDT shall prevail in respect to Interpretation of the terms specified in the form)

- 1(i) Financial Institution (FI) The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
- 1(ii) Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- 1(iii) Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
- 1(iv) Investment entity is any entity:
 - (a) The primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - Trading in money market instruments (cheques, bills, certificates of deposit, derivatives etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or Individual and collective portfolio management; or
 - (ii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
 - (b) The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:
 - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
 - (ii) The period during which the entity has been in existence.
 - The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 04, 05, 06 and 07 (refer point 2c.)
- 1(v) Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contracts or an Annuity Contract.
- 1(vi) FI not required to apply for GIIN: Refer Rule 114F(5) of Income Tax Rules, 1962 for the conditions to be satisfied as "non-reporting financial institution and Guidance issued by CBDT in this regard"

A. Reasons why FI not required to apply for GIIN:

Code	Sub-Category
01	Government Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Government Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI society because it is an Investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers & Executive Brokers
07	Exempt collective investment vehicle
08	Trust
09	Non-registering local banks
10	FFI with only Low-Value Accounts
11	Sponsored investment entity and controlled foreign corporation
12	Sponsored, Closely Held Investment Vehicle

2 Active Non-financial entity (NFE) : (any one of the following): Refer Explanation (A) to 114F(6) of Income Tax Rules, 1962 for details.

Code	Sub-Category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity, the stock of which is regularly traded on an established securities market
03	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;



BNP PARIBAS DEC MUTUAL FUND Systematix ARN: 64917 EUIN: E-434563

(Mandatory for Non-Individual Applicant / Investor) (To be filled in BLOCK LETTERS. Please strike off section(s) that is / are not applicable)

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Systematix ARN: 64917 EUIN: E-434563

SUPPLEMENTARY KNOW YOUR CLIENT (KYC) FORM

The Application Form should be completed in English and in **BLOCK LETTERS** only.

DATE : / /
1. UNIT HOLDER INFORMATION
a. EXISTING UNIT HOLDER INFORMATION
PAN Any information provided at PAN level, will be updated in all the Folios where PAN is Registered.
b. NAME OF FIRST / SOLE APPLICANT Mr. Ms. M/s.
Application Form No.
2. FIRST/ SOLE APPLICANT OTHER DETAILS (Mandatory)
a. Status of First/ Sole Applicant [Please tick (✓)] Individual Non - Individual Resident Individual NRI-Repatriation NRI-Non Repatriation Partnership Trust HUF AOP PIO Company FIIs Minor through guardian BOI BOI Body Corporate LLP Society / Club FPI Sole Proprietorship Non Profit Organisation Others (please specify)
b. Occupation Details [Please tick () Service Private Sector Public Sector Government Service Student Professional Housewife Busine Retired Agriculture Proprietorship Others
c. Gross Annual Income (Rs.) [Please tick (✓)] □ Below 1 Lakh □ 1 - 5 Lakhs □ 5 - 10 Lakhs □ 10 - 25 Lakhs □ >25 Lakhs - 1 Crore □ >1 Crore 0R
c. Net-worth (Mandatory for Non-Individuals) Rsas on DD MM YYYY (Not older than 1 y
d. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) 🗌 I am PEP 🗌 I am Related to PEP 🗌 Not Applica
e. Investors involved/ providing any of the mentioned services
Wholesalers OR Retailers in Precious metals (in particular buying-selling gold) and gems Wholesalers OR Retailers in Luxury cars Wholesalers OR Retailers in Boats Wholesalers OR Retailers in Jewellery
 Money Service Businesses (MSB) & their agents (excluding Banks) Currency dealers or exchanges Sellers or redeemers of traveler's cheques Money orders / remittance services
Pawn shops Street market stall Hotels Restaurants Internet cafés Door-to-door sales companies Taxi Bars Night-clubs Second-hand goods sales Second-hand vehicle dealers (excluding automobile franchise)
Casinos 🗌 Lotteries 🗌 Gaming clubs 🗌 Slot machines 🗌 Antiques 🗌 Art galleries 🗌 Art dealers 🗌 Auctioneer 🗌 Art expert
3. DETAILS OF SECOND APPLICANT, If any
a. Occupation Details [Please tick () Service Private Sector Public Sector Government Service Student Professional Housewife Busin Retired Agriculture Proprietorship Others
b. Gross Annual Income (Rs.) 🗌 Below 1 Lakh 🗌 1 - 5 Lakhs 🗌 5 - 10 Lakhs 🗌 10 - 25 Lakhs 📄 >25 Lakhs - 1 Crore 🗋 >1 Crore OR Net worth Rs
c. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) 🗌 I am PEP 🗌 I am Related to PEP 🗋 Not Applica
d. Investors involved/ providing any of the mentioned services
Wholesalers OR Retailers in Precious metals (in particular buying-selling gold) and gems Wholesalers OR Retailers in Luxury cars Wholesalers OR Retailers in Boats Wholesalers OR Retailers in Jewellery
 Money Service Businesses (MSB) & their agents (excluding Banks) Currency dealers or exchanges Sellers or redeemers of traveler's cheques
Pawn shops Street market stall Hotels Restaurants Internet cafés Door-to-door sales companies Taxi Bars Night-clubs Second-hand goods sales Second-hand vehicle dealers (excluding automobile franchise)

🗌 Casinos 📃 Lotteries 🗌 Gaming clubs 🗌 Slot machines 🗌 Antiques 📄 Art galleries 🔄 Art dealers 🗌 Auctioneer 🗌 Art expert



4. DETAILS OF THIRD APPLICANT, If any
. Occupation Details [Please tick (🗸)] Service Private Sector Public Sector Government Service Student Professional Housewife Business
Retired Agriculture Orbrietorship Others (please specify)
. Gross Annual Income (Rs.) 🗌 Below 1 Lakh 🗌 1 - 5 Lakhs 🗍 5 - 10 Lakhs 🗌 10 - 25 Lakhs 🗌 >25 Lakhs - 1 Crore 🗌 >1 Crore OR Net worth Rs
. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/ Promoters/ Karta/ Trustee/ Whole time Directors) 🗌 I am PEP 🗌 I am Related to PEP 🗌 Not Applicable
. Investors involved/ providing any of the mentioned services
🗌 Wholesalers OR 🗌 Retailers in Precious metals (in particular buying-selling gold) and gems 🗌 Wholesalers OR 🗌 Retailers in Luxury cars
Wholesalers OR Retailers in Boats Wholesalers OR Retailers in Race-horses Wholesalers OR Retailers in Jewellery
 Money Service Businesses (MSB) & their agents (excluding Banks) Currency dealers or exchanges Sellers or redeemers of traveler's cheques Money orders / remittance services
Pawn shops Street market stall Hotels Restaurants Internet cafés Door-to-door sales companies Taxi Bars Night-clubs Second-hand goods sales Second-hand vehicle dealers (excluding automobile franchise)
Casinos Lotteries Gaming clubs Slot machines Antiques Art galleries Art dealers Art dealers Art expert

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

INSTRUCTIONS

In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)* status mentioned under section 2 & 3 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for all applicants) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

FORM FOR AADHAAR SEEDING FOR AUTHORIZED SIGNATORIES



To Sundaram BNP Paribas Fund Services Limited

Name of the Non-Individual																
PAN																
I/We,													, C	company	Secre	etary /
Competent Authority (to issue				-		-									-	
(accordated with ME invoctm	onte and allied	activition)	on hoho	lf our or	appizatio	on Tho	co clar	atorior	hour c	oncor	tod for	charing	tho inf	ormatio	a with C	tundo

(associated with MF investments and allied activities) on behalf our organization. These signatories have consented for sharing the information with Sundaram BNP Paribas Fund Services Limited / participating MFs by signing the enclosed form and also for validating the same with UIDAI wherever warranted.

I hereby provide my consent in accordance with Aadhaar Act, 2016 and regulations made there under, for (i) collecting, storing and usage (ii) validating / authenticating and (iii) updating my Aadhaar number in accordance with the Aadhaar Act, 2016 (and regulations made there under) and PMLA. I / We hereby provide my / our consent for sharing / disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my folio/s with my PAN.

Regards

For <Name of the Non-Individual)

Signature of Company Secretary / Competent Authority (Name & Sign with Stamp & Company Seal)

Enclosed: List of Authorized Signatories along with their Aadhaar

ANNEXURE - LIST OF AUTHORIZED SIGNATORIES

PAN					

S. No.	Name of the Authorized Signatory (AS)#	Date of Birth (as per Aadhaar Card)#	Gender (M-Male, F-Female & T-Transgender)	PAN of AS#	Aadhaar of AS#	Signature (Consent for sharing Aadhaar information, au- thentication with UIDAI and sharing with MFs/RTAs)

Note: Where Aadhaar Number in fav our of authorised person not assigned, please submit proof of application for enrolment of Aadhaar

If the authorised person is not eligible to be enrolled for Aadhaar Number, he / she shall submit PAN or Form 60.

Signature of Company Secretary / Competent Authority (Name & Sign with Stamp & Company Seal)