



**BNP PARIBAS**  
MUTUAL FUND

## SIP AUTO DEBIT (ECS / NACH / SI) FACILITY : REGISTRATION CUM MANDATE FORM

Please read the Instructions before completing this Application Form.

Please (✓) ☐ SIP Registration ☐ SIP Renewal ☐ SIP Cancellation ☐ SIP - Change in Bank Details

### DISTRIBUTOR / BROKER INFORMATION [refer instruction 1(b)]

Name and AMFI Reg. No.	Sub Agent's Name and AMFI Reg. No.	Sub-Broker Code	EUIN*	RIA Code**
ARN- 64917	ARN-	(As allotted by ARN holder)	E434563	

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

\*I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

+ I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

First / Sole Applicant  
/ Guardian / POA Holder  
/ Authorised Signatory

Second Applicant  
/ Guardian / POA Holder

Third Applicant  
/ Guardian / POA Holder

### 1. APPLICANT'S INFORMATION (Mandatory, if left blank, the application is liable to be rejected)

Name of Sole / First Unit Holder  First Name  Middle Name  Last Name

Folio No.

Mobile No. +91  E-mail ID

### 2. SYSTEMATIC INVESTMENT PLAN DETAILS

Scheme / Plan / Option **BNP Paribas**

Frequency (Please ✓) ☐ Daily SIP ☐ Weekly SIP ☐ Monthly SIP ☐ Quarterly SIP

SIP Date Daily SIP (Start Date):  Weekly SIP (Monday to Friday): Day of transfer

Monthly and Quarterly SIP: Preferred Debit Date (Any date 1 to 31)

Enrolment Period ☐ Perpetual From  To  ☐ Regular From  To

Each SIP Amount ₹  No. of instalments  Total Amount ₹  First SIP Instalment via: Cheque No.

Drawn on Bank

Branch  A/c. No.

SIP Top UP (Optional) Top Up Amount\*  Amount in multiples of ₹ 500 only

Top Up Frequency ☐ Half Yearly ☐ Yearly\*

### 3. DECLARATION

This is to inform that I/We have registered for the RBI's Electronic Clearing Service (Debit Clearing) / Direct Debit / Standing Instruction and that my payment towards my investment in BNP Paribas Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS (Debit Clearing) / Direct Debit / Standing Instruction mandate Form to get it verified & executed. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS (Debit Clearing) / Direct Debit / Standing Instruction. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform BNP Paribas Mutual Fund/ BNP Paribas Asset Management India Limited, about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the Mutual Fund or the Bank responsible. If the date of debit to my/ our account happens to be a non business day as per the Mutual Fund, execution of the SIP will happen on the day of holiday and allotment of units will happen as per the Terms and Conditions listed in the Offer Document of the Mutual Fund. Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond Bank's reasonable control and which has the effect of preventing the performance of the contract by the Bank. I/We acknowledge that no separate intimation will be received from Bank in case of non-execution of the instructions for any reasons whatsoever.

### SIGNATURE(S)

First / Sole Applicant / Guardian / POA Holder / Authorised Signatory	Second Applicant / Guardian / POA Holder	Third Applicant / Guardian / POA Holder
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**ECS/NACH/SI  
Mandate**

UMRN

Date

Sponsor Bank Code

Utility Code

Tick (✓)

CREATE ✓  
MODIFY  
CANCEL

I/We hereby authorize **BNP PARIBAS MUTUAL FUND** to debit (tick ✓) ☐ SB ☐ CA ☐ CC ☐ SB-NRE ☐ SB-NRO ☐ Other

Bank a/c number

with Bank  Name of customers bank  IFSC  or MICR

an amount of Rupees  ₹

FREQUENCY ☒ Mthly ☒ Qtrly ☒ H-Yrly ☒ Yrly ☒ As & when presented

DEBIT TYPE ☒ Fixed Amount ☒ Maximum Amount

Reference 1  Phone No.

Reference 2  Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

From

To

Or ☒ Until Cancelled

Signature Primary Account holder

Signature of Account holder

Signature of Account holder

1.  Name as in bank records 2.  Name as in bank records 3.  Name as in bank records

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

## SIP - INSTRUCTIONS

1. The SIP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (□), where boxes have been provided. The SIP Enrolment Form, complete in all respects, should be submitted to any of the Official Points of Acceptance of Transactions.
2. A single SIP Enrolment Form can be used for one Scheme / Plan / Option / SIP Date only. Investor should use separate forms for more than one Scheme / Plan / Option.
3. Existing unit holders are required to submit only the SIP Enrolment Form. Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing folio number.
4. New investors who wish to enroll for SIP are required to fill the Common Application Form and SIP Application Form. New investors are advised to read the Key Information Memorandum(s) and Scheme Information Document carefully before investing and the same are available with the ISCs / distributors.
5. Under SIP the investor can for a continuous period of time invest a fixed amount at regular intervals for purchasing additional Units of the Scheme(s) at the Applicable NAV, subject to applicable Load.
6. Minimum amount and installments required for various SIP frequencies offered to investors is as below:  
For all open ended non-liquid schemes other than BNP Paribas Long Term Equity Fund Daily Systematic Investment Facility (DSIF), Weekly Systematic Investment Facility (WSIF) and Monthly Systematic Investment Facility (MSIF): Minimum INR 500 and in multiples of INR 1 thereafter per installment for a minimum of 12 Installments  
Quarterly Systematic Investment Facility (QSIF): Minimum INR 1500 and in multiples of INR 1 thereafter per installment for a minimum of 4 Installments  
For BNP Paribas Long Term Equity Fund: Minimum INR 500 and in multiples of INR 500 thereafter per installment. Minimum Installments for DSIF, WSIF, MSIF will be 6 installments and for QSIF 4 installments  
Accordingly, the provision of criteria of Minimum Application Amount of the scheme shall not apply to such applications using the SIP facility.
7. **Applicable Load Structure for SIP (For All Schemes :)**  
**Entry Load:** Nil  
The provisions of Exit Load as applicable to the normal investments as on the date of enrollment will be applicable to fresh SIP investments.
8. Separate SIP Enrolment Forms are required to be filled for DSIF, WSIF, MSIF and QSIF.
9. Investors have the right to discontinue the SIP facility at any time by sending a written request to any of the Official Points of Acceptance of Transactions. Such notice should be received at least 14 days prior to the due date of the next cheque. On receipt of such request, the SIP facility will be terminated.
10. The enrolment period of SIP will be as per the instruction given by the investor. In case it is instructed to continue SIP "Till instruction to discontinue the SIP is submitted" investors will have to submit SIP cancellation request to discontinue the SIP. In case of any ambiguity in enrolment period or if the end date of SIP is not mentioned, the default period for SIP will be perpetual.
11. In case investor has not selected any frequency or in case of any ambiguity, monthly frequency shall be considered as default option. Similarly, 7th day shall be considered as default execution date. In case of any ambiguity in the enrolment form, the SIP enrolment request shall be liable to be rejected.
12. In case of minor application, AMC will register standing instructions till the date of the minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor

attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to "major". The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no fresh transactions shall be permitted till the documents for changing the status are received.

13. The Trustee / AMC reserves the right to change / modify the terms of the SIP. The above load structure will be in force till further notice. This load structure is subject to change and may be imposed / modified prospectively from time to time, as may be decided by the Trustee / AMC from time to time.
14. If no start date is mentioned by the investors, the SIP will be registered to start from a period after 30 days from the date of submission of the application form.
15. DSIP shall be triggered and processed only on all Business Days.
16. Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day. In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.

**The terms and conditions for availing the 'Top-Up SIP' shall be as follows:**

**1. Frequency for Top-Up SIP**

**(i) For Monthly SIP:**

- a. Half Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 6th (sixth) SIP installment.
- b. Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 12th (twelfth) SIP installment.

**(ii) For Quarterly SIP:**

- a. Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 4th (fourth) SIP installment. In case the investor who has registered under Quarterly SIP opts for Half Yearly Top-Up SIP, the same shall be registered and processed as Yearly Top-Up SIP.

**2. Minimum Top-Up SIP Amount:**

₹ 500 and in multiples of ₹ 500 thereafter.

**3. Default Top-Up SIP Frequency and amount:**

In case the investor fails to specify either the frequency or amount for Top-Up SIP, the same shall be deemed as Yearly Top-Up SIP and ₹ 500 respectively and the application form shall be processed accordingly. In case the investor fails to specify both, i.e. the frequency for Top-Up SIP and amount for Top-Up SIP, the application form may be processed as conventional SIP, subject to it being complete in all other aspects.

4. Top-Up SIP shall be available for SIP Investments only through NACH / ECS (Debit Clearing) / Direct Debit Facility only. Top-Up SIP shall not be available under SIP facility availed by Investors through Standing Instructions / PDCs or investing through Channel Partners or through Stock Exchange Platforms.
5. Top-Up SIP facility shall not be available under Weekly SIP option.
6. Top-Up SIP facility can be availed by the investors only at the time of registration of SIP or renewal of SIP.
7. Investors should note that for modification of any of the details of Top-Up SIP details, the existing SIP with Top-Up facility shall be required to be cancelled and investor would be required to enroll a fresh SIP with modified Top-Up facility details.

**Declaration:** I / We hereby declare that the particulars provided in this mandate are correct and complete and hereby agree to participate in the NACH / ECS / Direct Debit / Standing Instructions (SI) and make payments through the NACH platform according to the terms and conditions thereof. I / We further hereby agree and acknowledge that I / we will not hold the AMC and/or responsible for any delay and / or failure in debiting my bank account for reasons not attributable to the negligence and / or misconduct on the part of the AMC I / We hereby declare and confirm that, irrespective of my / our registration of the above mobile number in the 'DO NOT DISTURB (DND)', 'or in any similar register maintained under applicable laws, now or subsequent to the date hereof, I / We hereby consent to the Bank communicating with me/us in any manner whatsoever on the said mobile number with respect to the transactions carried out in my / our aforementioned bank account(s). I / We hereby agree to abide by the terms and conditions that may be intimated to me / us by the AMC / Bank with respect to the NACH / ECS / Direct Debit / SI from time to time.

**Authorisation to Bank:** This is to inform that I / We have registered for ECS / NACH (Debit Clearing) / Direct Debit / SI facility and that the payment towards my / our investments in the Schemes of BNP Paribas Mutual Fund shall be made from my / our above mentioned bank account with your Bank. I / We hereby authorize the representatives of BNP Paribas Asset Management India Private Limited, Investment Manager to BNP Paribas Mutual Fund carrying this mandate form to get it verified and executed. I / We authorize the Bank to debit my / our above-mentioned bank account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable for my / our participation in NACH / ECS / Direct Debit / SI.



## SIP Modification Form

Time Stamp

DISTRIBUTOR / BROKER INFORMATION				
Distributor Code	Sub-Distributor ARN	Sub-Distributor Code	Branch Code	EUIN
ARN-				

Investor's declaration where EUIN is not furnished. I/We herby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of the inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Name of Sole / First Unit Holder															First Name															Middle Name															Last Name																
Folio No.																																																													
PAN																																																													
		First Unit Holder															Second Unit Holder															Third Unit Holder																													
Mobile No. +91																	E-mail ID																																												

Scheme
BNP Paribas

Plan (Please ✓)
☐ Regular
☐ Direct

Option (Please ✓)
☐ Growth
☐ IDCW\* Payout
☐ IDCW\* Reinvestment
IDCW\* Frequency (Please ✓)
☐ Daily
☐ Weekly
☐ Monthly
☐ Quarterly
☐ Half Yearly
☐ Yearly

\* Income Distribution cum Capital Withdrawal

SIP Date

D

D

/

M

M

/

Y

Y

Y

Y

Each SIP Amount
₹

Enrolment Period
☐ Regular
From

M

M

/

Y

Y

Y

Y

To

M

M

/

Y

Y

Y

Y

☐ Perpetual
From

M

M

/

Y

Y

Y

Y

To

0

1

/

2

0

9

9

**Scheme** **BNP Paribas** **Plan** (Please ✓) ☐ Regular ☐ Direct

**Option** (Please ✓) ☐ Growth ☐ IDCW\* Payout ☐ IDCW\* Reinvestment **IDCW\* Frequency** (Please ✓) ☐ Daily ☐ Weekly ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Yearly

\* Income Distribution cum Capital Withdrawal

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of BNP Paribas Mutual Fund. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this Systematic Investment. The ARN holder has disclosed to me/us all the commissions (in trail commission or any other), payable to him for the different competing schemes of Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given here are correct and express my/our willingness to make payments referred above through direct debit/participation in ECS. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold BNP Paribas Mutual Fund, their Investment Manager – BNP Paribas Asset Management India Private Limited, or any of their appointed service providers or representatives responsible. I/We have read and agreed to the terms and conditions mentioned aforesaid.

## GENERAL INSTRUCTIONS

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI & AMFI guidelines as amended from time to time.

1. Please furnish the Folio Number, Name and PAN of Sole / First Applicant Section 1 of the Form. Please provide the Mobile Number and E-Mail Address of the Sole / First Applicant in the form in case of Individuals and Key Contact in case of Non Individuals. This would help us seamlessly communicate with you on your investments.
2. Please mention the names of the Scheme where you plan to make the modifications and your preferred option. If you do not indicate your preferred options, your application would be processed based on the terms & conditions set out in the Scheme Information Document.
3. Any changes to the schemes can be made only after completion of 6 months from the date of the first installment.
4. All the modification will be effected within the period of 30 days from date of request by the investor.
5. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
6. Unit holders need to sign here in accordance to the Mode of Holding provided to us.

Call <b>1800 102 2595 (toll free)</b>	email <b>cs.bnppmf@kfintech.com</b>	Website <b>www.bnpparibasmf.in</b>
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Our lines are open from 9.00 am to 7.00 pm, Monday to Saturday

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

**DISTRIBUTOR / BROKER INFORMATION** [refer instruction 1(b)]

Name and AMFI Reg. No.	Sub Agent's Name and AMFI Reg. No.	Sub-Broker Code	EUIN*	RIA Code**
<b>ARN-</b> 64917	<b>ARN-</b>	(As allotted by ARN holder)	E434563	

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

I/We hereby confirm that the **EUIN** box has been intentionally left blank by me/ us as this transaction is executed without any interaction or advice by the employee/ relationship manager/ sales person of the above distributor/ sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/ sales person of the distributor/ sub broker.

++ I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

SIGNATURE(S)	First / Sole Applicant / Guardian / POA Holder / Authorised Signatory	Second Applicant / Guardian / POA Holder	Third Applicant / Guardian / POA Holder

**APPLICANT'S INFORMATION** (Mandatory, if left blank, the application is liable to be rejected)

Folio No.	Unitholder's Name

**STP DETAILS**

	Transfer From (Transferor Scheme)		Transfer To (Transferee Scheme)																	
Name of Scheme																				
Plan																				
Option																				
Frequency (Please ✓ any one)	<input type="checkbox"/> Daily STP <input type="checkbox"/> Weekly STP <input type="checkbox"/> Fortnightly STP <input type="checkbox"/> Monthly STP (Default) <input type="checkbox"/> Quarterly STP (Refer instruction 10 & 12 overleaf)																			
STP Date	Daily STP Daily Interval (all Business Days)	Weekly STP 1st, 7th, 15th and 25th	Fortnightly STP <input type="checkbox"/> 1st and 15th <input type="checkbox"/> 7th* and 25th*	Monthly and Quarterly STP (Please ✓ any one only) <input type="checkbox"/> 1st of the month <input type="checkbox"/> 7th* of the month <input type="checkbox"/> 10th of the month <input type="checkbox"/> 15th of the month <input type="checkbox"/> 25th of the month           *Default. (Refer instruction 10 & 12 overleaf)																
Enrolment Period	From <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table> To <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>				D	D	M	M	Y	Y	Y	Y	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y													
D	D	M	M	Y	Y	Y	Y													
Amount of Transfer per Day / Week / Fortnight / Month / Quarter           Fixed Amount <table><tr><td>Rs.</td><td></td></tr></table> OR <input type="checkbox"/> Capital Appreciation (not for Daily STP)					Rs.															
Rs.																				

**DECLARATION**

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme of BNP Paribas Mutual Fund, I / We hereby apply to BNP Paribas Mutual Fund for units of the Scheme and agree to abide by terms and conditions, rules and regulation of the Scheme.

I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I/We hereby declare that I am/ We are competent under the applicable laws and duly authorised where required, to make this investment in the above mentioned scheme.

I/We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds /income of mine/the HUF / the Company / Trust/ Partnership only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The abovementioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, the Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and /or any other relevant rules/ guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / we hereby understand and agree that if any of the aforesaid disclosures made/ information provided by me/us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to reject the application / withhold the investments made by me / us and/or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC/ Mutual Fund/ Trustees may deem proper at their sole option. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I hereby confirm that BNP Paribas Mutual Fund/BNP Paribas Asset Management India Private Limited and its empanelled brokers/distributors has/have not given any indicative portfolio and indicative yield in any manner whatsoever.

**Applicable for Foreign tax laws and KYC details:** I/We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete. I further undertake to advise the AMC /Mutual Fund/ Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC /Mutual Fund/ Trustees with a suitably updated self-declaration within 30 days of such change in circumstances.

**Applicable to NRIs only :** I / We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

If NRI, (please ✓)      ☐ Repatriation basis    ☐ Non-Repatriation basis

**SIGNATURE (S)**

First / Sole Applicant / Guardian / POA Holder / Authorised Signatory	Second Applicant / Guardian / POA Holder	Third Applicant / Guardian / POA Holder								
Date <table><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y		
D	D	M	M	Y	Y	Y	Y			

**ACKNOWLEDGEMENT SLIP** (To be filled in by the Unit holder)

**BNP Paribas Mutual Fund**
**Systematic Transfer Plan (STP)**

 Date: 

		/			/		
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Received from

Mr./Ms./M/s. \_\_\_\_\_ 'STP' application for transfer of Units;

**From** Scheme \_\_\_\_\_

Plan \_\_\_\_\_ Option \_\_\_\_\_

**To** Scheme \_\_\_\_\_

Plan \_\_\_\_\_ Option \_\_\_\_\_

☐ Fixed STF  
☐ Capital Appreciation STF (not for Daily STP)  
 per  
☐ Day  
☐ Week  
☐ Fortnight  
☐ Month  
☐ Quarter

**ISC Stamp, Date & Signature**

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## STP - Instructions

- The STP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (☐), where boxes have been provided. To start a STP, the STP Enrolment Form, complete in all respects, must reach atleast 7 working days in advance at any of the Official Points of Transactions.
- A single STP Enrolment Form can be used for one Scheme / Plan / Option only. Investor should use separate forms for more than one Scheme / Plan / Option.
- Investors are advised to read the Key Information Memorandum / Statement of Additional Information / Scheme Information Document of the Transferee Scheme(s) carefully before investing. The Statement of Additional Information / Scheme Information Document / Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs / distributors and are also available on our website [www.bnpparibasmf.in](http://www.bnpparibasmf.in).
- Unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme. The investor under MICRO SIP will not be able to opt for STP facility.
- Facilities available:  
STP offers unit holders the following two facilities:
  - Fixed Systematic Transfer Facility (FSTF) where investor can issue a standing instruction to transfer sums at Daily / Weekly / Fortnightly / Monthly / Quarterly (calendar quarter) intervals to plans / options within select schemes of the fund.
  - Capital Appreciation Systematic Transfer Facility (CASTF) where the investor can issue a standing instruction to transfer the entire capital appreciation from Transferor Scheme at Weekly / Fortnightly / Monthly / Quarterly intervals to designated Scheme(s) of the Fund. Both the facilities will offer transfers at weekly, fortnightly, monthly and quarterly intervals. Unit holder is free to opt for any of the above facilities and also choose the frequency of such transfers.

### 6. Schemes available for Daily STP:

For the purpose of Daily STP following are the eligible Transferor Scheme(s) and Transferee Scheme(s):

**Transferor Scheme(s):** all open ended schemes of BNP Paribas Mutual Fund

**Transferee Scheme(s):** all open ended schemes of BNP Paribas Mutual Fund except BNP Paribas Liquid Fund & BNP Paribas Overnight Fund

- Date of transfer / minimum amount of transfer:

FSTF / CASTF	Date of transfer	Minimum amount of transfer*	Minimum Installments
Daily (No CASTF)	Daily Interval (all Business Days)^	Rs. 1000 and in multiples of Rs. 1 thereafter	6
Weekly	Transfer on 1st, 7th, 15th and 25th of a month		
Fortnightly	Transfer on 1st & 15th or 7th and 25th of a month		
Monthly	Transfer on either of 1, 7, 10, 15, 25 or 28 of a month	Rs. 1500 and in multiples of Rs. 1 thereafter	4
Quarterly	Transfer on either of 1, 7, 10, 15, 25 or 28 in a Quarter (once in 3 month period)		

^ Investors should note that in case of Daily STP, the commencement date for transfers shall be the 7th working day from the date of receipt of a valid request and thereafter, transfers shall be effected on all business days at NAV based prices, subject to applicable load. In case the Weekly / Fortnightly / Monthly / Quarterly STP execution date falls on non-business day, the next business day will be considered as date of transfer.

\*The minimum amount of transfer into BNP Paribas Long Term Equity Fund shall be Rs. 1000 & in multiples of Rs. 500 thereafter. BNP Paribas Long Term Equity Fund is an open ended

equity linked tax savings scheme with a lock-in period of 3 years from the date of allotment of units. STP for an amount / value of units not in multiples of Rs. 500 shall be deemed as a transfer request for an amount which is equal to the nearest lower multiple of Rs. 500 and the balance amount, if any, shall remain invested in the Transferor Scheme

- An investor has to maintain minimum balance of Rs. 6000 in the opted Transferor Scheme at the time of enrolment of STP. If such minimum balance represented by clear units is not available, the application will be liable to be rejected.
- An investor has to clearly specify the name & the option of the Transferor & Transferee scheme in the enrolment form. If name of the Transferor or Transferee Scheme is not stated or in case of any ambiguity STP enrolment request shall be liable to be rejected. In absence of information, the default option for Transferee scheme shall be growth option.
- Load structure of the Transferor Scheme & Transferee Schemes as on the date of enrolment of STP shall be applicable.
- An investor has to select any one facility i.e. FSTF or CASTF. In case, investor doesn't select any facility or in case of any ambiguity, the STP enrolment request shall be rejected. In case investor has selected any one of the facility but has not selected frequency and / or date or in case of any ambiguity, by default, monthly frequency & 7th day shall be considered as frequency & execution date respectively. **Investors should note that CASTF facility is not available for Daily STP.**
- In FSTF, in case there is no minimum amount (as specified above) available in the unit holder's account for Transfer into Transferee Scheme, the transfer shall not be executed and the request of unit holder will stand withdrawn with immediate effect.  
For Daily STP: If the outstanding balance in "Transferor Scheme" does not cover any of the intermittent Daily STP installment amount, all outstanding units will be liquidated and Daily STP effected for such outstanding balance and Daily STP terminated for subsequent installments. However, if the outstanding balance in "Transferor Scheme" does not cover the last installment under the Daily STP, no transfer shall be effected and Daily STP shall be terminated without effecting the last installment under Daily STP.
- In CASTF, if there is no minimum appreciation amount in the Transferor scheme for the consecutive three installments, the STP request of the unitholder will stand withdrawn with immediate effect. The capital appreciation, if any, will be calculated from the enrolment date of the CASTF under the folio, till the first transfer date [e.g. if the unit holder has been allotted units on the 23rd of September and the date of enrolment for monthly CASTF is the 1st of November and the unit holder has opted for 15th of every month as the transfer date, capital appreciation, if any, will be calculated from the 1st of November to the 15th of November (first transfer date). Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASTF date (where transfer has been processed) and the next CASTF date].
- A request for STP will be treated as a request for redemption from the Transferor scheme and subscription into the selected Transferee scheme(s), option(s) / plan(s), at the applicable NAV, subject to load and statutory levy, if any.
- In case of minor applicant, the guardian can opt for STP only till the date of minor attaining majority. AMC shall suspend the standing instruction of STP enrollment from the date of minor attaining majority by giving adequate prior notice. Further, once the minor attains majority, the guardian will not be able to undertake any financial and non-financial transactions including fresh registration of STP and the folio shall be frozen for the further operation till the time requisite documents for changing the status from minor to major is submitted to the Fund.
- STP will be automatically terminated if all units are liquidated or withdrawn from the Transferor Scheme or pledged or upon receipt of intimation of death of unit holder.
- The provision of 'Minimum redemption amount' specified in the Scheme Information Document of Transferor Scheme and 'Minimum application amount' specified in the Scheme Information Documents of the Transferee Schemes will not be applicable for STP.
- Investors can also choose to terminate the STP by giving a written notice at least 7 Business Days in advance to the Official Points of Transactions. In case of Daily STP, termination shall be effected from 8th Business Day of receipt of valid request.
- Investors should note that more than one STP (i.e. daily / weekly / fortnightly / monthly or quarterly STP) can be registered under same Plan / Option of the Transferor Scheme.
- The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.
- The enrolment form is subject to detailed scrutiny and verification. Applications which are not complete in all respect are liable for rejection either at the collection point itself or subsequently.





Please read the Instructions before completing this Application Form.

**Please (✓) ☐ SIP Registration ☐ SIP Renewal ☐ SIP Cancellation ☐ SIP - Change in Bank Details**

Name and AMFI Reg. No.	Sub Agent's Name and AMFI Reg. No.	Sub-Broker Code	EUIN*	RIA Code**
<b>ARN-</b> 64917	<b>ARN-</b>	(As allotted by ARN holder)	E434563	

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Name of Sole / First Unit Holder										First Name										Middle Name										Last Name									
Folio No.																				Application No.																			
Mode of Holding (please ✓) <input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor																				PAN (First Unit Holder)																			
Mobile No. +91																				E-mail ID																			

<b>Scheme / Plan / Option</b>																																					
<b>Frequency</b> (Please ✓)	<input type="checkbox"/> Daily SIP	<input type="checkbox"/> Weekly SIP	<input type="checkbox"/> Monthly SIP	<input type="checkbox"/> Quarterly SIP	(Calendar Quarter i.e. January, April, July and October)																																
<b>SIP Date</b>	Daily SIP (Start Date):	<input type="text"/>	<input type="text"/>	Weekly SIP (Monday to Friday): Day of transfer	<input type="text"/>																																
	Monthly and Quarterly SIP: Preferred Debit Date (Any date 1 to 31)	<input type="text"/>																																			
<b>Enrolment Period</b>	<input type="checkbox"/> Regular	From	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	To	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/> Perpetual	From	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	To	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Each SIP Amount</b>	₹	<input type="text"/>	No. of instalments	<input type="text"/>	Total Amount	₹	<input type="text"/>	<b>First SIP Instalment via:</b>	Cheque No.	<input type="text"/>																											
<b>Drawn on Bank</b>	<input type="text"/>																																				
<b>Branch</b>	<input type="text"/>														A/c. No.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>		
<b>SIP Top UP (Optional)</b>	Top Up Amount*	<input type="text"/>										Amount in multiples of ₹ 500 only	<input type="text"/>	<b>Top Up Frequency</b>	<input type="checkbox"/> Half Yearly	<input type="checkbox"/> Yearly*																					

This is to inform that I/We have registered for the RB's Electronic Clearing Service (Debit Clearing) / Direct Debit/Standing Instruction and that my payment towards my investment in BNP Paribas Mutual Fund shall be made from my/our below mentioned bank account with your bank. I/We authorise the representative carrying this ECS (Debit Clearing) / Direct Debit / Standing Instruction mandate Form to get it verified & executed. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in ECS (Debit Clearing) / Direct Debit/Standing Instruction. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform BNP Paribas Mutual Fund/ BNP Paribas Asset Management India Limited, about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned hereof. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I hereby declare that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I would not hold the Mutual Fund or the Bank responsible. If the date of debit to my/ our account happens to be a non business day as per the Mutual Fund, execution of the SIP will happen on the day of holiday and allotment of units will happen as per the Terms and Conditions listed in the Offer Document of the Mutual Fund. Bank shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightning, earthquake, change of Government policies, Unavailability of Bank's computer system, force majeure events, or any other cause of peril which is beyond Bank's reasonable control and which has the effect of preventing the performance of the contract by the Bank. I/We acknowledge that no separate intimation will be received from Bank in case of non-execution of the instructions for any reasons whatsoever.

<b>Sole/First Applicant/Guardian</b>	<b>Second Applicant</b> (Not applicable if first applicant is minor)	<b>Third Applicant</b> (Not applicable if first applicant is minor)

[illegible]

Date

D

D

M

M

Y

Y

Y

Y

Sponsor Bank Code	
-------------------	--

Utility Code	
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Tick (✓)

CREATE ✓
MODIFY
CANCEL

I/We hereby authorize	<b>BNP PARIBAS MUTUAL FUND</b>	to debit (tick✓)	<input checked="" type="checkbox"/> SB	<input checked="" type="checkbox"/> CA	<input checked="" type="checkbox"/> CC	<input checked="" type="checkbox"/> SB-NRE	<input checked="" type="checkbox"/> SB-NRO	<input type="checkbox"/> Other
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[illegible]

<b>with Bank</b>	Name of customers bank	<b>IFSC</b>											<b>or MICR</b>						
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an amount of Rupees		₹
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FREQUENCY ☒ ~~Mthly~~ ☒ ~~Qtrly~~ ☒ ~~H-Yrly~~ ☒ ~~Yrly~~ ☒ As & when presented DEBIT TYPE ☒ ~~Fixed Amount~~ ☒ Maximum Amount

Reference 1	Phone No.
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Reference 2	Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

From	D	D	M	M	Y	Y	Y	Y
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To	3	4	1	3	3	0	0	0
----	---	---	---	---	---	---	---	---

10	3	1	1	2	2	0	9	9
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Signature Primary Account holder

Signature of Account holder

Signature of Account holder

1	Name as in bank records	2	Name as in bank records	3	Name as in bank records
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This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

## SIP - INSTRUCTIONS

- The SIP Enrolment Form should be completed in English and in Block Letters only. Please tick (✓) in the appropriate box (□), where boxes have been provided. The SIP Enrolment Form, complete in all respects, should be submitted to any of the Official Points of Acceptance of Transactions.
- A single SIP Enrolment Form can be used for one Scheme / Plan / Option / SIP Date only. Investor should use separate forms for more than one Scheme / Plan / Option.
- Existing unit holders are required to submit only the SIP Enrolment Form. Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing folio number.
- New investors who wish to enroll for SIP are required to fill the Common Application Form and SIP Application Form. New investors are advised to read the Key Information Memorandum(s) and Scheme Information Document carefully before investing and the same are available with the ISCs / distributors.
- Under SIP the investor can for a continuous period of time invest a fixed amount at regular intervals for purchasing additional Units of the Scheme(s) at the Applicable NAV, subject to applicable Load.
- Minimum amount and installments required for various SIP frequencies offered to investors is as below:  
For all schemes other than BNP Paribas Liquid Fund and BNP Paribas Long Term Equity Fund  
**Daily Systematic Investment Facility (DSIF), Weekly Systematic Investment Facility (WSIF) and Monthly Systematic Investment Facility (MSIF):** Minimum Rs. 300/- and in multiples of Rs. 1/- thereafter per installment. Minimum installment as follows:

From Amount	To Amount	Minimum Installments
300	499	20
500	999	12
1000	any amount	6

**Quarterly Systematic Investment Facility (QSIF):** Minimum Rs. 1000/- and in multiples of Rs. 1/- thereafter per installment for a minimum of 6 quarterly installments.

The minimum investment amount required under SIP facility of BNP Paribas Long Term Equity Fund for DSIP or WSIF or MSIF or QSIF will be ₹ 500 and in multiples of ₹ 500 thereafter.

Accordingly, the provision for SIP facility which requires the standing instruction for SIP (including Post Dated Cheque (PDC) or Auto Debit / NACH) to be such that the criteria of minimum application amount of that particular scheme in which investment is intended via SIP facility is complied with, shall no longer be applicable.

- Applicable Load Structure for SIP (For All Schemes):**  
**Entry Load:** Nil  
The provisions of Exit Load as applicable to the normal investments as on the date of enrollment will be applicable to fresh SIP investments.
- Separate SIP Enrolment Forms are required to be filled for DSIP, WSIF, MSIF and QSIF.
- The cheques should be drawn in favour of the respective "Scheme / Plan / Option" and crossed "A/c Payee Only" and must be payable at the locations where applications are submitted at the Official Points of Acceptance of Transactions. Unit holders must write the SIP Enrolment Form number, if any, on the reverse of the cheques accompanying the SIP Enrolment Forms. Outstation cheques will not be accepted and applications accompanied by such cheques are liable to be rejected. No cash, money orders or postal orders will be accepted.
- Investors have the right to discontinue the SIP facility at any time by sending a written request to any of the Official Points of Acceptance of Transactions. Such notice should be received at least 14 days prior to the due date of the next cheque. On receipt of such request, the SIP facility will be terminated.
- The enrolment period of SIP will be as per the instruction given by the investor. In case it is instructed to continue SIP "Till instruction to discontinue the SIP is submitted" investors will have to submit SIP cancellation request to discontinue the SIP. In case of any ambiguity in enrolment period or if the end date of SIP is not mentioned, the default period for SIP will be 5 years.

- In case investor has not selected any frequency or in case of any ambiguity, monthly frequency shall be considered as default option. Similarly, 7th day shall be considered as default execution date. In case of any ambiguity in the enrolment form, the SIP enrolment request shall be liable to be rejected.
- In case of minor application, AMC will register standing instructions till the date of the minor attaining majority, though the instructions may be for a period beyond that date. Prior to minor attaining majority, AMC shall send advance notice to the registered correspondence address advising the guardian and the minor to submit an application form along with prescribed documents to change the status of the account to "major". The account shall be frozen for operation by the guardian on the day the minor attains the age of majority and no fresh transactions shall be permitted till the documents for changing the status are received.
- The Trustee / AMC reserves the right to change / modify the terms of the SIP. The above load structure will be in force till further notice. This load structure is subject to change and may be imposed / modified prospectively from time to time, as may be decided by the Trustee / AMC from time to time.
- If no start date is mentioned by the investors, the SIP will be registered to start from a period after 30 days from the date of submission of the application form.
- DSIP shall be triggered and processed only on all Business Days.
- Investors can choose any preferred date of the month as SIP debit date. In case the chosen date falls on a non-business day, the SIP will be processed on the immediate next business day. In case chosen date is not available in a particular month, the SIP will be processed on the last business day of the month.

**The terms and conditions for availing the 'Top-Up SIP' shall be as follows:**

### 1. Frequency for Top-Up SIP

#### (i) For Monthly SIP:

- Half Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 6th (sixth) SIP installment.
- Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 12th (twelfth) SIP installment.

#### (ii) For Quarterly SIP:

- Yearly Top-Up SIP: Under this option, the amount of investment through SIP installment shall be increased by amount chosen / designated by Investor post every 4th (fourth) SIP installment. In case the investor who has registered under Quarterly SIP opts for Half Yearly Top-Up SIP, the same shall be registered and processed as Yearly Top-Up SIP.

### 2. Minimum Top-Up SIP Amount:

₹ 500 and in multiples of ₹ 500 thereafter.

### 3. Default Top-Up SIP Frequency and amount:

- In case the investor fails to specify either the frequency or amount for Top-Up SIP, the same shall be deemed as Yearly Top-Up SIP and ₹ 500 respectively and the application form shall be processed accordingly. In case the investor fails to specify both, i.e. the frequency for Top-Up SIP and amount for Top-Up SIP, the application form may be processed as conventional SIP, subject to it being complete in all other aspects.
- Top-Up SIP shall be available for SIP Investments only through NACH / ECS (Debit Clearing) / Direct Debit Facility only. Top-Up SIP shall not be available under SIP facility availed by Investors through Standing Instructions / PDCs or investing through Channel Partners or through Stock Exchange Platforms.
  - Top-Up SIP facility shall not be available under Weekly SIP option.
  - Top-Up SIP facility can be availed by the investors only at the time of registration of SIP or renewal of SIP.
  - Investors should note that for modification of any of the details of Top-Up SIP details, the existing SIP with Top-Up facility shall be required to be cancelled and investor would be required to enroll a fresh SIP with modified Top-Up facility details.

**Declaration:** I / We hereby declare that the particulars provided in this mandate are correct and complete and hereby agree to participate in the NACH / ECS / Direct Debit / Standing Instructions (SI) and make payments through the NACH platform according to the terms and conditions thereof. I / We further hereby agree and acknowledge that I / we will not hold the AMC and/or responsible for any delay and / or failure in debiting my bank account for reasons not attributable to the negligence and / or misconduct on the part of the AMC I / We hereby declare and confirm that, irrespective of my / our registration of the above mobile number in the 'DO NOT DISTURB (DND)', 'or in any similar register maintained under applicable laws, now or subsequent to the date hereof, I / We hereby consent to the Bank communicating with me/us in any manner whatsoever on the said mobile number with respect to the transactions carried out in my / our aforementioned bank account(s). I / We hereby agree to abide by the terms and conditions that may be intimated to me / us by the AMC / Bank with respect to the NACH / ECS / Direct Debit / SI from time to time.

**Authorisation to Bank:** This is to inform that I / We have registered for ECS / NACH (Debit Clearing) / Direct Debit / SI facility and that the payment towards my / our investments in the Schemes of BNP Paribas Mutual Fund shall be made from my / our above mentioned bank account with your Bank. I / We hereby authorize the representatives of BNP Paribas Asset Management India Private Limited, Investment Manager to BNP Paribas Mutual Fund carrying this mandate form to get it verified and executed. I / We authorize the Bank to debit my / our above mentioned bank account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable for my / our participation in NACH / ECS / Direct Debit / SI.





(Please read instructions overleaf)

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

++ I/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan. I/We hereby give you my/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above mentioned Mutual Fund Distributor / SEBI-Registered Investment Adviser.

**1. APPLICANT'S INFORMATION** (Mandatory, if left blank, the application is liable to be rejected)

## 2. SYSTEMATIC WITHDRAWAL PLAN DETAILS

Enrolment Period ☐ Regular From 

M	M	/	Y	Y	Y	Y
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 To 

M	M	/	Y	Y	Y	Y
---	---	---	---	---	---	---

☐ Perpetual From 

M	M	/	Y	Y	Y	Y
---	---	---	---	---	---	---

 To 

0	1	/	2	0	9	9
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### 3. DECLARATION

We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under:- I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We hereby declare that I am / we are not a US person, within the meaning of the United States Securities Act, 1933, as amended from time to time; and that I am / we are not applying on behalf of or as proxyholders of a person who is a US person. I / We hereby declare that I am / we are competent under the applicable laws and duly authorised where required to make this investment in the above mentioned scheme. I / We confirm that I am / we are not NRIs / PIOs residing in any of the prohibited / banned countries mentioned in the SID / addendums to the SID. I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allocation of Units of the Scheme(s) of BNP Paribas Mutual Fund (FUND). I / We hereby confirm that the proposed investment is being made from known, identifiable and legitimate sources of funds income of mine only and I am / we are the rightful beneficial owner(s) of the funds and the resulting investments therefrom. The above mentioned investment does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions or of the provisions of any law in India including but not limited to The Income Tax Act, The Prevention of Money Laundering Act, 2002, The Prevention of Corruption Act, 1988 and / or any other relevant rules / guidelines notified in this regard or applicable laws enacted by the Government of India / any other regulatory body from time to time. I / We hereby understand and agree that if any of the aforesaid disclosures made / information provided by me / us is found to be contradictory or non-reliable to the above statements or if I / we fail to provide adequate and complete information, the AMC / Mutual Fund / Trustees reserve the right to not create a folio / account, reject the application / withhold the investments made by me / us and / or make disclosures and report the relevant details to the competent authority and take such other actions as may be required to comply with the applicable law as the AMC / Mutual Fund / Trustees may deem proper at their sole option.

I / We hereby authorise the Fund, AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / Fund's bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such service providers as deemed necessary for conduct of business. I / We confirm that I / We do not have any existing Micro SIP / Investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a financial year or a rolling period of one year (Applicable for PAN exempt category of investors). I / We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my / our transactions. The ARN holder (AMFI registered Distributor) has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him / them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I / WE HEREBY CONFIRM THAT I / WE HAVE NOT BEEN OFFERED / COMMUNICATED ANY INDICATIVE PORTFOLIO AND / OR ANY INDICATIVE YIELD BY THE FUND / AMC / ITS DISTRIBUTOR FOR THIS INVESTMENT.

I / We declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete and further agree to furnish such other further/additional information as may be required by the BNP Paribas Asset Management India Pvt Ltd (AMC) / Fund. I further undertake to advise the AMC / Mutual Fund/ Trustees promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide the AMC / Mutual Fund/ Trustees with a suitably updated self-declaration within 30 days of such change in circumstances. I hereby declare that the AMC / Fund can provide my information to any institution / tax authorities / governmental body for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

**Additional declaration for NRIs only :** I/We confirm that I am / We are Non-Resident of Indian Nationality / Origin and I / We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account / FCNR Account.

**Additional declaration for Foreign Nationals Resident in India only:** I/We will redeem my / our entire investment/s before I / We change my / our Indian residency status. I / We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

**Additional declaration for NRIs / PIO / OCIs only:** I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc., of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws. please ☒ Yes ☐ No

If yes, (✓) ☐ Repatriation basis ☐ Non-Repatriation basis

**Signature(s)**

Date	D	D	M	M	Y	Y	Y	Y
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**ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)****BNP Paribas Mutual Fund**

### Systematic Withdrawal Plan (SWP)

Date: | | / | | / | | | |

Received from Mr./Ms./M/s. \_\_\_\_\_

`SWP' application for redemption of Units: Scheme \_\_\_\_\_

Plan	Option
------	--------

Amount Rs. \_\_\_\_\_ per ☐ Week ☐ Month ☐ Quarter

ISC Stamp, Date &amp; Signature

## SWP - Instructions

1. This SWP enrolment form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant options wherever applicable. This enrolment form, complete in all respects, should be submitted at any of the Investor Service Centres (ISCs).
2. Unit holders are advised to read the Key Information Memorandum / Scheme Information Document / Statement of Additional Information of the respective Scheme(s) carefully.
3. A single SWP Enrolment Form can be used for one Scheme / Plan / Option only. Investor should use separate forms for more than one Scheme / Plan / Option.
4. Currently Systematic Withdrawal Plan (SWP) is available for all plans of all open ended schemes offered by the fund. In case of ELSS scheme, Unitholders have the benefit of enrolling themselves under the Systematic Withdrawal Plan on the expiry of the period of three years from the date of each allotment.
5. Under SWP the investors can opt to withdraw (i.e. redeem from the Scheme) a fixed or a variable amount from their investment accounts at periodic intervals.
6. SWP offers unitholders the following two facilities:
  - i) Fixed Systematic Withdrawal Facility (FSWF)
  - ii) Capital Appreciation Systematic Withdrawal Facility (CASWF)

**FSWF :** An investor can issue a standing instruction to withdraw sums at a Weekly / Monthly / Quarterly (calendar quarter) intervals. The withdrawal shall be begin from the date selected on the form and every other date for weekly, the date selected for monthly and date of the first month of the quarter (e.g. 1st of January, April, July and October) in a Quarterly FSWF. Withdrawal must be for a minimum amount of Rs. 1,000/- and in multiples of Re.1/- thereafter in Weekly / Monthly FSWF and Rs. 1,500/- and in multiples of Re.1/- in Quarterly FSWF. An investor will have to opt for a minimum of 6 transactions under Weekly / Monthly FSWF and 4 transactions under Quarterly FSWF. If the net asset value of the Units outstanding on the withdrawal date is insufficient to process the withdrawal request, then the Mutual Fund will redeem all Units outstanding and the SWP request will stand withdrawn for further processing.

**CASWF :** An investor can issue a standing instruction to withdraw the entire capital appreciation from the Scheme at Weekly / Monthly / Quarterly intervals. The withdrawal shall be begin from the date selected on the form and every other date for weekly, the date selected for monthly and quarter in a Quarterly CASWF. Withdrawal must be for a minimum amount of Rs. 1,000/- in Weekly / Monthly CASWF and Rs. 1,500/- in Quarterly CASWF.

An investor will have to opt for a minimum of 6 transactions under Weekly / Monthly CASWF or 4 installments under Quarterly CASWF. Please note that if there is no minimum appreciation amount on the withdrawal date, then the Mutual Fund shall process the withdrawal request for that date and the SWP request of the unitholder will stand withdrawn for further processing. The capital appreciation, if any, will be calculated from the enrolment date of the CASWF under the folio, till the withdrawal date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous CASWF date and the next CASWF date.

7. If the withdrawal date under FSWF / CASWF falls on a non-business day the next Business Day will be considered for this purpose.
8. A request for SWP will be treated as a request for Redemption from the Scheme, at the applicable NAV, subject to applicable Load.
9. SWP may be terminated on receipt of a notice from the Unit holder. It will terminate automatically if all Units are liquidated or withdrawn from the folio or pledged or upon receipt of notification of death of the first named Unit holder.
10. Signature(s) should be as it appears on the Application Form in the same order and as per the mode of holding under the folio. In case the mode of holding is joint, all Unit holders are required to sign.
11. The Trustee / AMC reserves the right to change / modify the terms of the SWP. The applicable load structure will be in force till further notice. This load structure is subject to change and may be imposed / modified prospectively from time to time, as may be decided by the Trustee / AMC from time to time.



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