

TRANSACTION FORM

For Existing Investors Only



1. DISTRIBUTOR INFORMATION (Refer Section 1 under instructions)						FOR OFFICE USE ONLY																																					
Distributor ARN/ RIA	Bank Branch Code/ RIA Registration Number	Sub Agent ARN Code	EUIN No.	CO Code	MO Code	Sales Code	Date/Time of Receipt																																				
ARN-64917			E434563																																								
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. <input type="checkbox"/> I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.																																											
		Sole/1 st applicant/Guardian/ Authorised Signatory/POA		2 nd applicant/Authorised Signatory		3 rd applicant/Authorised Signatory																																					
• Country of Birth / Citizenship / Nationality or Tax Residency, other than India, for any applicant? (✓): <input type="checkbox"/> Yes / <input type="checkbox"/> No (Mandatory to ✓). If Yes, please fill FATCA Declaration. • Non Individual investors should mandatorily fill separate FATCA & UBO Declarations																																											
2. UNIT HOLDER DETAILS (MANDATORY) (Refer Section 2 under instructions)																																											
Name of Sole /First Applicant <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s.				EXISTING FOLIO NO.																																							
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Permanent Account Number (PAN)</th> <th colspan="2">CKYC identification Number (KIN No)</th> <th colspan="2">KYC Proof Enclosed</th> </tr> </thead> <tbody> <tr> <td>1st Applicant</td> <td></td> <td></td> <td></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>2nd Applicant</td> <td></td> <td></td> <td></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>3rd Applicant</td> <td></td> <td></td> <td></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Guardian</td> <td></td> <td></td> <td></td> <td><input type="checkbox"/></td> <td></td> </tr> <tr> <td>Legal Entity Identifier (LEI)</td> <td colspan="5"></td> </tr> </tbody> </table>								Permanent Account Number (PAN)		CKYC identification Number (KIN No)		KYC Proof Enclosed		1st Applicant				<input type="checkbox"/>		2nd Applicant				<input type="checkbox"/>		3rd Applicant				<input type="checkbox"/>		Guardian				<input type="checkbox"/>		Legal Entity Identifier (LEI)					
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(Refer Section 9 under instructions)																																											
3. ADDITIONAL PURCHASE REQUEST (Refer Section 3 under instructions)																																											
Scheme Name																																											
Plan		Option		<input type="checkbox"/> STP (Incase the additional purchase is for continuation of existing STP)																																							
Investment Amount		DD Charges		Net Amount																																							
Cheque/DD No		Cheque/DD Date		Drawn on Bank																																							
Branch Name		A/c Type [please ✓] <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR																																									
• Cheque should be in favour of the scheme name. • Third Party & O/S cheques will not be accepted and transaction is liable to be rejected. • Refer SID/ SAI. • Investment should be through the bank account registered with us.																																											
4. SWITCH REQUEST (Refer Section 4 under instructions)																																											
From Scheme		To Scheme																																									
Plan/ Option		Plan/ Option																																									
IDCW Sub Option		IDCW Sub Option																																									
IDCW Frequency		IDCW Frequency																																									
Amount		OR Number of Units		OR <input type="checkbox"/> All units (Please ✓)																																							
5. REDEMPTION REQUEST (Refer Section 5 under instructions)																																											
Scheme		Plan		Option																																							
Amount		OR Number of Units		OR <input type="checkbox"/> All units (Please ✓)																																							
6. CHANGE OF CONTACT DETAILS (Refer Section 6 under instructions)																																											
Tel No.	STD Code	Res.	Off.	Fax																																							
1 st Applicant	Mobile No.	Email ID																																									
7. REGISTRATION/ CHANGE/ CANCELLATION OF NOMINATION (Refer Section 7 under instructions)																																											
<input type="checkbox"/> Registration <input type="checkbox"/> Change <input type="checkbox"/> Cancellation I/ We* do hereby nominate the person(s) more particularly described hereunder/ and*/ cancel the nomination made by me/ us on the day of in respect of the Units under Folio No. (*strike out which is not applicable). All Joint holders should sign, even in case of 'Anyone or Survivor'.																																											
Name and Address of Nominee(s)		Date of Birth	Name and Address of Guardian		Signature of Nominee (Optional)/ Guardian of Nominee (Mandatory)		Proportion (%) by which the units will be shared by each Nominee\$																																				
		(to be furnished in case the Nominee is a minor)																																									
Nominee 1																																											
Nominee 2																																											
Nominee 3																																											
\$ The allocation/ share should be in whole numbers without any decimals making a total of 100 percent. If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s)																																											
8. DECLARATION AND SIGNATURE(S) (Mandatory - If left blank, application will be rejected) (Refer Section 8 under instructions)																																											
I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information of BOI AXA Mutual Fund including the section on "Who cannot invest" and "Prevention of Money Laundering". I/We hereby apply for Allotment/Purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am /are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise BOI AXA Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s)/BOI AXA Mutual Fund's bank(s) and/or Distributor/Broker / Investment Advisor. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated. Applicable to NRI only: I/We confirm that I am/we are Non-Resident Indian/Person of Indian Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this Folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/ We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/we authorize BOI AXA Mutual Fund, BOI AXA Investment Managers Pvt. Ltd./ Registrars to refer these details to any of the appropriate authorities including Unique Identification Authority of India (UIDAI) /KYC Registration Agency/Authentication Agencies etc. and also authorize such agencies /service providers including UIDAI to share the data as per their records, for verification purpose.																																											
SIGNATURE(S)						DATE DD MM YY																																					
1 st applicant/Guardian/Authorised Signatory/POA		2 nd applicant/Authorised Signatory		3 rd applicant/Authorised Signatory																																							

TEAR HERE



TRANSACTION FORM - ACKNOWLEDGEMENT

To be filled in by the Investor

Folio No.

Transaction Details (Please ✓)

- ADDITIONAL PURCHASE REQUEST SWITCH REQUEST REDEMPTION REQUEST
 CHANGE OF CONTACT DETAILS

Stamp Signature & Date

General Instructions

1. This Transaction Form would help you make purchases in our various schemes, switch or redeem your existing investments.
2. Please read the Scheme Information Document of the respective schemes carefully before investing.
3. Please strike off any sections that are not relevant / applicable. Please counter-sign in accordance with your mode of holding against any corrections that you make in the Transaction Form.
4. Know Your Customer (KYC) Compliance: attested / certified copy of 'Know Your Customer' Acknowledgement ("KYC Acknowledgement") issued by CDSL Ventures Limited (CVL)/ NSDL for all fresh investment transactions, including additional purchases, switches and transactions under fresh SIP/STP / Liq-uity facilities registered from above date, irrespective of the value of such transactions.
5. Non-Individual Investors: Please intimate us of any change in your list of signatories immediately after the change giving us a certified copy of the Board Resolution and List of Authorised Signatories.
6. Please refer the 'Guidance Notes' below. This should help you fill in the necessary details correctly and completely.
7. Section 9 and Section 10 of this Transaction form would help you to make changes to your existing Profile details in each Folios. New Applicants would need to fill in the Common Application Form, which is available on the AMC Website.
8. Duly filled in Transaction form should be submitted to your nearest Investor Service Center of BOI AXA Mutual Fund or KFin Technologies Private Limited.
9. If you have more than one transaction to fulfill, please use separate form for each transaction.
10. Third party cheques shall not be accepted.

GUIDANCE NOTES TO HELP YOU FILL IN THE TRANSACTION SLIP.**Section 1 - DISTRIBUTOR INFORMATION**

- In case the subscription amount is ₹ 10,000/- or more and your Distributor has opted to receive Transaction Charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from your subscription amount and paid directly to the distributor. Units will be issued against the balance amount invested.
- Any addition or cancellation of the Distributor Information should be countersigned by the investor else the same is liable to be rejected.

Section 2 - UNIT HOLDERS DETAILS

- Please furnish the name of the sole / first Unit Holder and the existing Folio Number.

Section 3 - ADDITIONAL PURCHASE REQUEST

- Please specify the scheme details, your investment amount and your investment cheque details.
- Your Investment cheque should be drawn in favour of the Scheme name in which you propose to invest.
- If you are an NRI Investor please indicate source of funds for investment.
- The following mode of payments is not valid and applications accompanied by such payments is liable to be rejected:
 - I. Outstation cheques
 - II. Third Party Cheques.
 - III. Cash / Money Order / Postal Order
 - IV. Post-dated cheques
 - V. Multiple cheques for investments in one scheme or single cheque for investments in multiple schemes.

Section 4 - SWITCH REQUEST

- Please specify the source and target Scheme - Plan (Option) for the switch.
- Please specify the amount / number of units you wish to switch. If you wish to switch all units, please tick against the box provided for the purpose.
- If the balance in the Scheme - Plan (Option) after taking into account the switch is below the minimum switch size (either in amount or in units whichever is less), the entire balance would be switched to the target scheme.
- If your switch request specifies both amount and units for switch, the latter would be processed.

Section 5 - REDEMPTION REQUEST

- Please specify the scheme/plan/option details and the amount / number of units you wish to redeem. If you wish to redeem all units, please tick against the box provided for the purpose.
- If the balance in the Scheme - Plan (Option) after taking into account the redemption is below the minimum redemption size (either in amount or in units whichever is less), the entire balance would be redeemed and paid out.
- If your redemption request specifies both amount and units for redemption, the latter would be processed.

- Redemption payout would be made only to the Sole / First Unit holder favouring the bank account appearing in our records.
- Redemption payouts would be made through Demand Draft, Direct Credit with specific banks and RTGS / NEFT mode of payment.
- You are requested to furnish a copy of cheque leaf so that your bank account details can be validated at our end.
- Please note that pending verification of your bank details, your redemption proceeds / dividend would compulsorily be credited to old bank account / cheque issued in absence of relevant details.

Section 6 - CHANGE OF CONTACT DETAILS

- Investors are requested to provide the change in Contact Details by filling up this Transaction Form.
- Investors are requested to provide one Mobile Number across all Folios.

Section 7 - NOMINATION

- The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. If the units are held jointly, all joint holders will sign the nomination form.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the Asset Management Company shall not be under any obligation to transfer the units in favour of the Nominee."
- If the sum of the percentage entered in the nomination is less than 100% then this application will be rejected.
- If the nomination percentage is not mentioned, equal weightage would be given to each nominee.
- A Power of Attorney Holder (PoA) and a guardian investing in mutual fund units on behalf of a minor cannot nominate.

Section 8 - DECLARATION AND SIGNATURES

- Signature(s) should appear in the column provided after reading the declaration.
 - Signature(s) should match as per the original application submitted by the applicant(s).
 - Signature(s) should be as per the holding pattern.
 - All signatures should be in English or any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate.
 - Applications by minors should be signed by their guardians. In the case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.
 - Authorised officials should sign the form under their official designation and company seal. A list of authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment must be submitted.
- If you are investing through your constituted attorney, please ensure that the POA document is signed by you and your Constituted Attorney. The signature in the Application Form, then, needs to clearly indicate that the signature is by the Constituted Attorney on your behalf.

Section 9 - LEGAL ENTITY IDENTIFIER (LEI)

As per RBI circular no. RBI/2020-21/82 DPSS.CO.OD No.901/06.24.001/2020-21 dated January 05, 2021 The Legal Entity Identifier (LEI) is a 20-digit number used to uniquely identify parties to financial transactions worldwide. It has now been decided to introduce the LEI system for all payment transactions of value ₹ 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

TEAR HERE

FOR MORE INFORMATION

BOI AXA Mutual Fund

Add: B/204, Tower 1, Peninsula Corporate Park, Ganpatrao Kadam Marg, Lower Parel, Mumbai 400013

Call us at (Toll Free)
1800-266-2676 & 1800-103-2263

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service@boiexamf.in

Website
www.boiexamf.in