

EXISTING INVESTORS TRANSACTION FORM

FINANCIAL TRANSACTIONS

				n designated area of the form may on and for each Scheme / Plan and			
Distributor / RIA / P ARN / C		Sub Broker ARN & Na	ne Sub Broker/Bra Internal Co		below)	For Office use only	
ARN-649	17			E434563			
I/We confirm that the	e EUIN box is intention	nally left blank by me	us as this is an "execu	ution-only" transaction withou	ut any interaction	or advice by the distributor personnel concerned.	
ommission shall be paid directly by Existing Folio Number	•	ed Distributors based on the inves		including the service rendered by the distrib	outor.	(Sole / First Applicant's Signature Mandatory)	
				NFORMATION, AMBIGUITY OR			
Cheque/DD should be in favou		ingle cheque with multiple so Plan/Option/Sub O		in case of single scheme / scheme wise Amount		Payment Mode: OTM Facility (One Time Mandate)	
1. DSP -	Scheme	Plan	Option/Sub O	ption		Cheque DD RTGS	
2. DSP -	Scheme	Plan	Option/Sub O	ption		☐ NEFT ☐ Funds transfer Cheque/DD/RTGS/NEFT Details:	
3. DSP -	Scheme	Plan	Option/Sub O	ption		Ref. No	
Total	Amount in words			Amount in F		Date dd / mm / y y y y DD charges, if any	
Payment from Bank A	Vc No.	Pay In A/c No		A/c. Type Savings	Current NRE	NRO FCNR Others	
Bank Name & Branc	ch						
Documents Attached	to avoid Third Party	Payment Rejection, v	where applicable:	Bank Certificate, for DD	Third Party De	eclarations	
REDEMPTION (Write	,		=		-	ne, Plan / Option / Sub Option below)	
Scheme Name/Plan/ Option*/Sub Option*	DSP -	·		Scheme Name/Plan/ Option*/Sub Option*	OSP -		
Amount in Figures	Amount in Wo	ords		Amount in Figures	Amount in Wo	ords	
Rs.				Rs.	Conital and he day	a sikken in Huite or in Americk and not in both)	
OR (Please note that the	Redemption can be don Units in Word		Amount and not in both)	Units in Figures	Units in Word	e either in Units or in Amount and not in both) s	
Units in Figures	Offics III Word	15		Switch in To Schome / Dia	n / Ontion* / Sub	Ontion*	
Bank Account for	This Redemption	Proceeds (This sho	uld NOT be construed	Switch-in To Scheme / Pla DSP	an / Option / Sub	Option	
as "Change of Bank M I/We agree that the redemp if already registered with th	andate" request. Refe	er Instructions overle	af.) he following bank account,	PAN AND KYC UPDAT Sole / First Applicant /	ΓΙΟΝ	KYC LETTER	
Bank Name	ie fulla of to the default b	bank account in no bank a	count is mentioned here.	Guardian Second Applicant /		Attached	
Account No.				Guardian Third Applicant /		Attached	
Important Note: Unregister				Guardian		Attached	
bank account, investors sh designated form for this pu		•		PoA (Power of Attorne Name of the	y) REGISTRATIO	N DETAILS (Refer Instructions overleaf)	
the redemption request (wi	ith or without necessary su	supporting documents) suc	h bank account will not	PoA holder			
, ,		J		PAN of the PoA holder			
Default Option may be	''	information, ambiguity	or discrepancy.	Attached KYC Letter (Ma	ndatory) 🔲 Notari		
DECLARATION & SIG		Competing Designation of Char	harmont of Additional Information	tion Kon Information Homes and the In-		(TO BE SIGNED AS PER MODE OF HOLDING)	
If EUIN is left blank/not menti	ioned; I/We hereby confirm t	that the EUIN box has been i	ntentionally left blank by me	/us as this is an "execution-only" tran	saction without any int	issued by DSP Mutual Fund, I / We, hereby apply to the Trustee sted in the Scheme is through legitimate sources only and is not any Statutory Authority. I / We have neither received nor been r any other mode), payable to him for the different competing Indian Nationality. Leraction or advice by the employee/relationship manager/sales distributor has not charged any advisory fees on this transaction.	
Sole / First	Unit Holder	Second	Unit Holder	Third Unit H	Holder	POA Holder, if any	
						~~~~×~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	
ACKNOWLEDGEN		at he processed in case of inco	molete / ambiguous / improper	/ incorrect details in Transaction Form.	Redemi	DSP MUTUAL FUND ption or Switch	
Investor Name	,				Amount (Rs.	_	
Folio Number			Additional Purch	ase or SIP (PDC)	OR Units		
. Silo italibei			Total Amount (Rs.)		PAN and	d KYC Updation	
Scheme/s			` '		☐ PoA Re	gistration STP or SWP or DTP	
			Total Cheques Cheques From				
			No.(s) To				
						ISC Stamp & Signature	

**Website**: www.dspim.com | **E-mail**: service@dspim.com | **Contact Centre**: 1800-208-4499 / 1800-200-4499



# SIP (PDC) / STP / SWP / DTP

Distributor / RIA / PM	RN Name and ARN /	Code Sub B	roker Name and ARN	Branch/RM Internal Code	Employee Unique ID.	No. (EUIN)	For Office ι	se only
ARN-649	917				E434563	3		
Name of Sole / Fir	st Unitholder (Le	eave space b	etween first / middle	/ last name)	Ms. □M/s. □Ot	hers	Folio Number	
Scheme Name/Pla	n/Option*/Sub O	ption*	DSP			PLAN	OPTION	
SYSTEMATIC TE	RANSFER PLAN	N (STP) (Pl	ease allow 7 days	to register STP)	I/We confirm that only" transaction	at the EUIN box is intent n without any interaction	ionally left blank by m n or advice by the dist	e/us as this is an "execution ibutor personnel concerned
STP in To Scheme	/Plan/Option*/Su	ıb Option*						gistered Distributors based on th
DSP	PL	AN	Ol	PTION	investors' assessme	ent of various factors includ	ling the service rendered t	y the distributor.
Transfer Amount (Anyone)	☐ Fixed Sum o☐ Capital Appr		eject to Minimum of Rs.!	(Minimum Rs.500/-)				
Frequency (✓Ti	ck any one)	Days/Date	s (√Tick any one)			Sole / FirstApr	olicant's Signature Ma	ndatory
☐ Daily		All Busines	ss days		10.61//-=0.110-	• • • • • • • • • • • • • • • • • • • •	J.g.iacai c Ma	
☐ Weekly		☐ Mon*	☐ Tue ☐ Wed	☐ Thu ☐ Fri	(Please allow	FR PLAN 7 days to register	IDCW Transfer Pla	ın)
	□ Half Yearly □ Yearly	D D	Any date (1st* to 31	st)	(Please mention	complete Scheme, Pla From where IDCW is t	an & Option)	
Transfer Period (Period to cover - minimum	n From D D	/ M M /	Y Y To D D	/ M M / Y Y	DSP	PLAN		OPTION
6 STP transactions) Investments done	in schemes thro	ugh STP will	be treated as inves	tments through SIP			То	
and the load struc	cture for SIP wil	l be applica	ble.		Target Scheme (	To where IDCW is to b	e transferred)	
	ITHDRAWAL PL	AN (SWP)	(Please allow 7 days t	o register SWP)	DSP	PLAN		OPTION
Withdrawal Amount	☐ Fixed Sum o	f Rs.		(Minimum Rs.500/-)	SYSTEMATIC II	NVESTMENT PLAN	(SIP) POST DATE	D CHEQUES (PDC)
			ject to Minimum of Rs.5	500/-	(Separate Cheque	e required for invest	ment in different So	heme / Plan)
Withdrawal Date		/ date (1 ^{st*} to	·			uld be of same date of (minimum Rs. 500)	Rs.	ters.
Frequency Withdrawal Period	•		] Half Yearly ☐ Year		SIP Date	Any date (1st* to		Monthly □ Quarterly
(Period to cover - minimu 6 SWP transactions)	ım From D D	/ M M /	Y Y To D D /	M   M   /   Y   Y	SIP Period From	D D / M M	/ Y Y To D	D / M M / Y
Please mention any of the		ount details in th	e folio for Payout, If no deta	ils are mentioned payout	(Minimum 6 instal	llments)		
Bank Name					Cheque Nos. F	From	То	
Bank Account No.					Drawn on Bank/ Branch			
* Default Option/Da	ate may be appli	ed in case o	f no information, am	biguity or discrepancy.				
If EUIN is left blank/not i	ood the contents of the Inits of the relevant So of contravention or eve ectly or indirectly in m gst which the Scheme i mentioned; I/We here	by confirm that	ended to me/us. Applicable the EUIN box has been inte	to NKIS ONLY: I/WE CONTIFM that ntionally left blank by me/us as	this is an "execution-only"	s) of Indian Nationality. transaction without any inte	issued by DSP Mutual Fund, ed in the Scheme is through tutory Authority. I / We hav , payable to him for the diff eraction or advice by the en	as per Mode of Holding.  / We, hereby apply to the Truste legitimate sources only and is no eneither received nor been induce erent competing Schemes of variou  ployee/relationship manager/sale any advisory fees on this transaction
	First Unit Holder		Second III			nit Holder		Holder if any

INSTRUCTIONS

This form should be used by existing investors only by mentioning their folio number, name and Scheme details. Please read the Scheme related documents, Addenda, KIM and Instructions there-in and below mentioned instructions carefully before filling up the form. Investors should provide details/instructions only in the designated space provided in the form else the same may not be considered. ADDITIONAL PURCHASE & PAYMENT DETAILS: Investors should line more of the scheme, plan or option. The first unit holder should be one of the bank account control in the pay-in bank account provides and paylied. Separate cheques and form should be given for each separate investment in different scheme, plan or option. The first unit holder should be one of the bank account between the bank account the bank account used for issuing the payments to the fund. If this is not evidence in the pay-in bank account the bank account the bank account used for issuing the payments to the fund. If this is not evidence in the pay-in bank account the designation or call for additional details. ADDITIONAL PURCHASE THROUGH OTM FACILITY: If you are making payment through OTM facility registered in your folio, please tick the relevant box and do not attach any cheque. If more than one bank accounts are registered in your folio under OTM facility, please mention the bank account number and bank name where you wish the debit to happen. If the same is not mentioned or is not registered, default bank mandate under OTM facility, please mention the bank account and full scheme name including plan and option is not mentioned. If you considered to debit the purchase amount. KYC COMPILANCE: Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements as applicable from time to time. Applicati INSTRUCTIONS STP/SWP of capital appreciation, Flex STP, Value STP is not available in case of daily 6 weekly frequency. For investors availing the transfer/ withdrawal of 'appreciation' option, where in any month or quarter, there is no appreciation or is less than Rs.500/-, switch/withdrawal as mentioned above, may not be carried out. In case the selected date falls on a Non-Business Day or on a date which is not available in a particular month, the SIP/STP/SWP will be processed on the immediate next business day/date. You can choose to discontinue this facility by giving 30 days written notice to the Registrar. IDCW TRANSFER PLAN: Please allow upto 7 days for IDCW Transfer Plan to be registered. Hence form should be submitted atleast 7 days before the record date of any forthcoming proposed IDCW. The Minimum amount of IDCW eligible for transfer under IDCW Transfer Plan is Rs. 500/-.

# DSP MUTUAL FUND

Website: www.dspim.com E-mail: service@dspim.com Contact Centre: 1800-208-4499 / 1800-200-4499

ACKNOWLEDGEMENT SLIP  Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect details in Transaction Form.  Investor Name					
Folio Number/s  Change of Bank Mandate  PoA Registration  Contact Details  PAN and KYC Updation  Change in Mode of Holding  Consolidation of Folios  Nomination Details  Cancellation for Systematic Transactions  SIP STP SWP  DTP - Cancellation					
ISC Stamp & Signature  NON-FINANCIAL TRANSACTIONS					

 $\underline{DSP}_{\text{mutual fund}}$ 

## **COMMON TRANSACTION FORM**

(For Existing Investors only)

NON-FINANCIAL TRANSACTIONS

Please fill only in the space provided. Any additional details/notings/instructions or those provided at a non designated area of the form may not be executed. Kindly strike off the unused Sections of the Form to avoid misuse.

Distributor/RIA	Sub Broker Code	Sub Broker/Branch/	Employee Unique ID. No.
Name and ARN/Code		RM Internal Code	(EUIN) (Refer note below)
ARN-64917			E434563

Existing Folio Number/s				
Name of Sole / First Unitholder (Leave space between first / middle / last name) Mr.	Ms. $\square$ M/s. $\square$ Others			
CHANGE OF BANK MANDATE (FOR REDEMPTION AND DIVIDEND)	CONTACT DETAILS (Refer Instructions overleaf)			
Attach any one of: ☐ Cancelled Cheque with name & account number pre-printed ☐ Latest Bank statement ☐ Latest Pass book ☐ Bank Letter	E-Mail			
Submit originals of any one of the documents mentioned above, or copy should be attested	(IN CAPITAL)			
by the Bank or originals should be produced for verification. All supporting documents should				
clearly evidence the bank name, bank account number and names of all account holders.	Mobile			
Bank Name	Tel. (Off.) STD Code Also attach ☐ Self-attested PAN			
Core Bank A/c No.	Tel. (Resi.) STD Code Other identity proof for PEKRAN folios			
A/c Type Savings Current NRE NRO FONR Others	PAN AND KYC UPDATION KYC LETTER			
Branch Name & Address				
u Address	Sole/ First Applicant/ Guardian Attached			
City	Second Applicant/ Guardian Attached			
IFSC Code (11 digit)	Third Applicant/ Guardian Attached			
MICR Code (9 digit)	DECLARATION & SIGNATURES			
	Having read and understood the contents of the Scheme Information Document and Statement of			
CHANGE IN MODE OF HOLDING  (All Joint Holders should sign, even in case of "Any one or Survivor")	Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and			
New Mode of Holding (please tick   Anyone or Survivor   Joint Holding (please tick   Anyone or Survivor   Joint Holding (please tick   Me hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for				
CONSOLIDATION OF FOLIOS	nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP Mutual Fund. I / We declare that the amount invested in the Scheme is			
(All Joint Holders should sign, even in case of "Any one or Survivor")	through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government			
Folios to be consolidated (Mention all source folios i.e. the folios to be consolidated, here)	of India or any Statutory Authority.			
1. 4.				
2. 5.				
3. 6.				
Target Folio No. for consolidation:	و			
PoA (Power of Attorney) REGISTRATION DETAILS (Refer Instructions overleaf)  Name of the PoA holder  Attached   KYC Letter (Mandatory)  Attached   KYC Letter (Mandatory)  ROA holder  No be signed as per Mode of Holding)				
Name of the PoA holder	Sole / First Holder Second Holder Third Holder			
PAN of the Attached KYC Letter (Mandatory)	(To be signed as per Mode of Holding)			
PoA holder Notarized copy of PoA				



### COMMON TRANSACTION FORM

NON-FINANCIAL TRANSACTIONS

(For Existing Investors only)

Folio Number/s /			
Name of Sole / First Unitholder (Leave space between fir	rst / middle /	last name)□ Mr. □	Ms. M/s. Others
NOMINATION DETAILS (All Joint Holders should sign, even in case of	"Any one o	or Survivor")	CANCELLATION FORM FOR SYSTEMATIC TRANSACTIONS (SIP / SWP / STP)
☐ I/We wish to nominate ☐ I/We DO NOT wish to n		, , , , , , , , , , , , , , , , , , , ,	Source Scheme / Plan / Option (Please mention Folio no., Scheme, Plan / Option clearly DSP -
I/We do hereby nominate the person/s more particularly dethe nomination made by me/us earlier in respect of Units			
the normation made by meras carrier in respect of ones	Allocation %	Signature	Transaction Type (Please ✓) ☐ SIP ☐ STP ☐ SWP
Nominee 1 Name :	Allocation //	(Nominee/Guardian)	Frequency (✓ Tick any one)  □ Daily
Noninee F Name :			☐ Weekly ☐ Mon ☐ Tue ☐ Wed ☐ Thu ☐ Fri
			☐ Monthly ☐ Half Yearly
Relationship with applicant:			☐ Quarterly ☐ Yearly SIP Date ☐ ☐ ☐
Date of Birth DD / MM / YYYY			
Guardian Name (if minor) :			Amount Rs. Discontinuation of SI transaction may take upto one month.
			SIP Auto Debit Bank Name
Nominee 2 Name :			Bank A/c No.
			STP in Scheme (in case of STP) DSP -
Relationship with applicant:			CANCELLATION FORM FOR DIVIDEND TRANSFER PLAN (DTP)
			(Please allow 7 days for DTP Cancellation)
Data of Right D D / H H / V V V			Source Scheme / Plan / Option (From where Dividend is transferred)
Date of Birth D D / M M / Y Y Y Y			DSP -
Guardian Name (if minor) :			New Dividend Sub option ☐ Dividend Payout ☐ Dividend Reinvestment (default)
			DECLARATION & SIGNATURES
Nominee 3 Name :			
			Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions. I / We, hereby apply to the Trustee of DSP Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the propiose acknowledging receipts of my/our credit will constitute full discharge of the propiose acknowledging receipts of my/our credit will constitute full discharge of
Relationship with applicant:			/ We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the point of my/our credit will constitute full discharge of the nominee acknowledging receipts of my/our credit will constitute full discharge of
			of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of DSP Mutual Fund. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention
	-		is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority.
Date of Birth DDD/MMM/YYYYY			
Guardian Name (if minor) :			
Total	100%		Sole / First Holder Second Holder Third Holder
			(To be signed as per Mode of Holding, However for nomination, all Joint Holders should sign.)

### INSTRUCTIONS

■ CHANGE OF ADDRESS: Please always mention the Pincode while updating the address as it helps postal authorities and couriers in faster delivery. Change of address request for folios that are CVL KYC compliant will not be registered by the fund and investor should get the address updated with CVL system first. For details, please visit www. cvlindia.com. For Non KYC folios-Attach Proof of address AND PAN Card (OR Any other Proof of Identity for PAN event cases only).

Copies of all documents submitted should be self attested and accompanied by originals for verification or they should be attested by a KYD complied distributor or personnel / entities authorized for attesting as per KYC guidelines.

- CHANGE OF BANK MANDATE: Unitholder(s) need to attach any one of the following mandatory documents in original, in respect of each bank account for registering the bank accounts, failing which the particular bank account will not be registered. This will help in verification of the account details and register them accurately.
- ☐ Cancelled Cheque with name and account number pre-printed ☐ Latest Bank statement ☐ Latest Pass book ☐ Bank Letter
- a. If photocopy of any documents is submitted, the copy should be certified by the bank or investors must produce original for verification.
- All documents submitted should clearly evidence the bank name, account number and name of all account holders.

This bank account will be registered as the default account.

- CONTACT DETAILS: Applicants should provide contact information such as email address, mobile number and other telephone numbers. The fund sends transaction information and alerts via email and sms, which will assist them keep track of the activities in the folio. Account statements, newsletter, annual reports and other kinds of communication will be sent through email only instead of physical, for investors who provide their email address. Should they wish to have a hard copy, email request can be sent to service@dspim.com. It is deemed that the Unit Holder is aware of all the security risk associated with online communication, including possible third-party interception of documents sent via email.
- KYC COMPLIANCE: Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited. For more information on KYC, please Jog on to www.dspim.com/ www.cvlindia.com/www.amfiindia.com before investing. Applications are liable to be rejected without any intimation to the applicants, if KYC compliance, as required is not complied with by all the unit holders.
- CHANGE IN MODE OF HOLDING: Joint Applicants who wish to change their mode of holding from "Anyone or Survivor" to "Joint Holding" or vice versa should use this section and hereby agree that after the updation of new mode of holding/operation, any request based on previous holding/operation will not be honoured by the fund. Further, all unit holders need to sign the request irrespective of the Mode of holding.
- CONSOLIDATION OF FOLIOS: Consolidation of various folios can take place only if a. Names of unit holders, b. Order of unit holders and c. Tax status is identical in all the mentioned folios. By requesting for consolidation of folios, the unit holder/s agree that the mode of holding, bank mandate, address and nomination details in the Target Folio will be applicable and will prevail after consolidation even if they were different details in scure folios.
- POA REGISTRATION: Only a general Power of Attorney agreement without any restrictions and perennial validity is accepted. The PoA must be executed on stamp paper and registered in India and a duly notarized copy should be enclosed. The PoA must have signatures of the investor as well the PoA holder. If the signature of PoA holder is not available, the fund may call for additional documents or declarations on a case to case basis. PoA will be registered within 10 working days of receipt of all valid documents
- NOMINATION DETAILS: The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination facility is also not available for investments held on behalf of minor. If the units are held jointly, all joint holders will have to sign the Nomination Form, even if the mode of holding is "Either or Survivor". A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust. The Nominee shall not be a trust, other than a religious or charitable trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A Non-Resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination in respect of the units stands rescinded upon the transfer of units. The cancellation of the nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee. Investors who desire to make their nomination in favour of more than one and upto three individuals may do so by writing to the AMC/Registrar, specifying clearly the percentage to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees. The rights in the units will vest in the nominee only upon the death of all unitholders. A new nomination request will imply simultaneous cancellation of existing nomination and request for fresh nomination. Applicants who do not wish to nominate, must at the designate space confirm their intention on not to nominate, failing which the form may be rejected at the discretion of the
- DIVIDEND TRANSFER PLAN (DTP): Form should be submitted atleast 7 days before the record date of any forthcoming proposed dividend. Please refer to <a href="https://www.dspim.com">www.dspim.com</a> Services > Dividend Transfer Plan for list of Source Scheme, Target Schemes and detailed terms and conditions.