

# Systematic Investment Plan (SIP) Registration cum mandate form for NACH/Direct Debit

New Investors are requested to fill-in the scheme application form also. Application No :

For details on transaction charges payable to distributors, please refer to KIM.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

New SIP  Micro SIP

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

- Country of Birth/Citizenship/Nationality or Tax Residency, other than India, for any applicant:  Yes  No (Mandatory to ✓)
- If Yes, please fill FATCA/CRS declaration
- NRI investors should mandatorily fill separate FATCA/CRS declarations
- Non-Individual investors should mandatorily fill separate FATCA/ CRS & UBO declarations

### Instructions

IDCW - Income Distribution cum capital withdrawal Option

New Investors are requested to fill-in the scheme application form also.

<sup>1</sup>Investors applying under the direct plan must mention "Direct" against Scheme name.

<sup>2</sup>The SIP Form should be submitted at least 30 Calendar days before the first SIP debit date.

## Key Partner/Agent Information

Mutual Fund Distributor ARN <b>ARN-64917</b>	Sub-Broker ARN Code <b>ARN -</b>	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUIN) <b>E434563</b>	Registered Investment Advisor (RIA) Code/Portfolio Manager's Registration Number (PMRN)	

### 1. Applicant Details

First/ Sole (Mr./ Ms./ M/s.)

Application No. (New Investor)  Folio No. (Existing Unitholder)

PAN/PEKRN  Enclosed KYC Proof

KIN

UMRN No.

### 2. Investment and SIP Details<sup>1</sup>

	Scheme 1	Scheme 2	Scheme 3
Scheme	Invesco India	Invesco India	Invesco India
Plan	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Option	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
IDCW Frequency	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
SIP Date <sup>2</sup>	<input style="width: 25%;" type="text"/> Any Date: 1-28; Default -15 <sup>th</sup>	<input style="width: 25%;" type="text"/> Any Date: 1-28; Default -15 <sup>th</sup>	<input style="width: 25%;" type="text"/> Any Date: 1-28; Default -15 <sup>th</sup>
Frequency	<input type="checkbox"/> Monthly (Default) or <input type="checkbox"/> Quarterly (Jan, Apr, July, Oct)	<input type="checkbox"/> Monthly (Default) or <input type="checkbox"/> Quarterly (Jan, Apr, July, Oct)	<input type="checkbox"/> Monthly (Default) or <input type="checkbox"/> Quarterly (Jan, Apr, July, Oct)
SIP Period	From <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> To <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> (or) <input type="checkbox"/> Till further notice	From <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> To <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> (or) <input type="checkbox"/> Till further notice	From <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> To <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> <input style="width: 20%;" type="text"/> (or) <input type="checkbox"/> Till further notice
Each SIP Amount (Rs.)	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Total SIP amount (Rs.)	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Bank A/c. No.	<input style="width: 100%;" type="text"/>	Bank Name	<input style="width: 100%;" type="text"/>
SIP Top-Up (Optional)	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Top-up Amount Rs.	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Top-up Start Month	<input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/>	<input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/>	<input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/>
Frequency	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly (Default)	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly (Default)	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly (Default)
Top-up End Month	<input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/>	<input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/>	<input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/> <input style="width: 25%;" type="text"/>

## NACH/Auto Debit Mandate (Applicable for SIP Registration)

UMRN  For Office Use only  Date

Sponsor Bank Code   Create  Modify  Cancel

Utility Code  For Office Use only  I/We hereby authorize **Invesco Mutual Fund**

To debit (✓)  SB  CA  CC  NRE  NRO  Others  Bank Account No.

with Bank  Name of customers bank IFSC / MICR

An amount of Rupees  In Words ₹  In Figures

Debit Type :  Fixed Amount  Maximum Amount Frequency:  Monthly  Quarterly  Half Yearly  Yearly  As & when presented

Folio No.  PAN

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel / amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit.

**PERIOD**

From

To

Or  Until Cancelled

Signature of Primary Bank Account Holder  Signature of Bank Account Holder  Signature of Bank Account Holder

Mobile  Name as in bank records  Name as in bank records  Name as in bank records

**Instructions**

<sup>3</sup>Not applicable in case of CDSL. Applicable only to existing investors for fresh SIP enrolment.

**3. Demat Account Details (Optional)**

NSDL  CDSL

DP ID<sup>3</sup>

I	N								
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 Beneficiary Account No.

DP Name

**Declaration :**

I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of SIP enrolment through Direct Debit/NACH and agree to abide by the same. I/We hereby apply to the Trustee of Invesco Mutual Fund for enrolment under the SIP of the following Scheme(s)/ Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in NACH/Direct Debit. I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that Invesco Asset Management (India)/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Sign Here -Sole/First Applicant/  
Guardian

Sign Here - Second Applicant

Sign Here - Third Applicant

# Systematic Transfer Plan (STP)

Please refer instructions before filling the form

I/We hereby apply to the Trustees of Invesco Mutual Fund for Systematic Transfer Plan (STP) enrollment under the following scheme and I/We agree to abide by the terms and conditions of the Plan

## Key Partner/Agent Information

Mutual Fund Distributor ARN ARN - <b>ARN-64917</b>	Sub-Broker ARN Code ARN -	Internal Sub-Broker/Employee Code
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Employee Unique Identification No. (EUIIN) (Of Individual Investor or of employee/ Relationship Manager/Sales Person of the Distributor) <b>E434563</b>	Registered Investment Advisor (RIA) Code / Portfolio Manager's Registration Number (PMRN)
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Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Folio Number

Application Number

### 1. Applicant's Personal Details

PAN/PEKRN

First/Sole Applicant Name  Mr. / Ms. / M/s.

KIN

### 2. Systematic Transfer Plan (STP) Mandate

(Refer Instructions. Investors applying under the direct plan must mention "Direct" in the box provided below.)

STP Type (✓ A/B/C) **A.  Fixed Option (Default)**

Frequency

<input type="checkbox"/> Daily	<input type="checkbox"/> Fortnightly
<input type="checkbox"/> Weekly	<input type="checkbox"/> Monthly (Default)
<input checked="" type="checkbox"/> Any One	<input type="checkbox"/> Quarterly
<input type="checkbox"/> Monday (Default)	<input type="text"/> Date of choice except 29, 30, 31 (15 <sup>th</sup> Default)
<input type="checkbox"/> Tuesday	<input type="checkbox"/> Wednesday
<input type="checkbox"/> Thursday	<input type="checkbox"/> Friday

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**B.  Appreciation Option**

<input type="checkbox"/> Monthly (Default)	<input type="checkbox"/> Quarterly
<input type="text"/> Date of choice except 29, 30, 31 (15 <sup>th</sup> Default)	<input type="text"/> Date of choice except 29, 30, 31 (15 <sup>th</sup> Default)

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**C.  Flex STP (Applicable to Growth Option of Target Scheme only)**

<input type="checkbox"/> Monthly (Default)	<input type="checkbox"/> Quarterly
<input type="text"/> Date of choice except 29, 30, 31 (15 <sup>th</sup> Default)	<input type="text"/> Date of choice except 29, 30, 31 (15 <sup>th</sup> Default)

Source Scheme (from where you wish to transfer)  Invesco India

Plan  Option

Target Scheme (to where you wish to transfer)  Invesco India

Plan  Option  Growth (Default)

Period of Enrollment from (1st Installment)  M M Y Y Y Y To (Last Installment)  M M Y Y Y Y

Transfer Amount (Per installment) Rs. in figures  (Not applicable for Appreciation Option)

No. of Installments  Total Transfer (Rs.)  (Amt. per installment x No. of installments)

### 3. Applicant's Signature

Please note: Signature(s) should be as it appears on the Application Form and in the same order. In case the mode of holding is joint, all Unitholders are required to sign

<input type="text"/>	<input type="text"/>	<input type="text"/>
Sole/First Applicant/Guardian	Second Applicant	Third Applicant

Date  D D M M Y Y Y Y Place

For details on transaction charges payable to distributors, please refer to KIM.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any int ervention or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

## Features and Terms & Conditions of Systematic Transfer Plan (STP)

Particulars	Daily	Weekly	Fortnightly	Monthly	Quarterly (Jan/April/July/Oct)
Minimum balance in the source scheme at the time of enrolment	Rs. 6,000/-	Rs. 6,000/-	Rs. 6,000/-	Rs. 6,000/-	Rs. 6,000/-
STP Dates Offered	Daily	Monday to Friday	1st or 16th of the month	3rd or 10th or 15th or 20th or 25th or any date of every month except 29, 30 and 31	3rd or 10th or 15th or 20th or 25th or any date of every designated month except 29, 30 and 31
Minimum amount for STP installment (Rs. 500/- and in multiples of Rs. 500/- for Invesco India Tax Plan)	Rs. 500/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,500/- & in multiples of Re. 1/- thereafter
Minimum Number of Installments	12	6	6	6	4

- New Investors who wish to enroll for STP should fill this enrollment form in addition to the application form.
- Existing investors need to provide the Folio Number and STP details only.
- Unitholders are advised to consult their tax consultant with regard to the treatment of the transfer of units from the tax point of view.
- Investors are advised to read the Scheme Information Document of the Target scheme carefully before investing. The Scheme Information Document and the Key Information Memorandum of the respective schemes are available with the Investor Service Centres of Invesco Mutual Fund and are also displayed on the website : [invescomutualfund.com](http://invescomutualfund.com)
- STP offers Unitholders Fixed option, Appreciation option and Flex STP. Investors can opt for any of the facility. In case the investor has not specified any option at the time of enrolment, the Fund will register the STP under Fixed Option.
- In case of monthly and quarterly frequency, 29th, 30th and 31st of each month / quarter will not be available for STP transactions.
- Eligible Source (Transferor) Schemes (Applicable for Fixed STP, Appreciation STP and Flex STP) : Invesco India Liquid Fund, Invesco India Treasury Advantage Fund, Invesco India Short Term Fund, Invesco India Corporate Bond Fund, Invesco India Money Market Fund, Invesco India Ultra Short Term Fund, Invesco India Arbitrage Fund, Invesco India Banking & PSU Debt Fund, Invesco India Credit Risk Fund, Invesco India Dynamic Equity Fund, Invesco India Overnight Fund, Invesco India Equity Savings Fund and Invesco India Medium Duration Fund. Following additional Source (Transferor) Schemes are applicable only for Fixed STP : Invesco India Contra Fund, Invesco India Equity & Bond Fund, Invesco India Financial Services Fund, Invesco India Growth Opportunities Fund, Invesco India Largecap Fund, Invesco India Midcap Fund, Invesco India Multicap Fund, Invesco India PSU Equity Fund, Invesco India Smallcap Fund, Invesco India Feeder - Invesco Pan European Equity Fund, Invesco India Focused 20 Equity Fund, Invesco India ESG Equity Fund, Invesco India - Invesco Global Consumer Trends Fund of Fund, Invesco India Feeder - Invesco Global Equity Income Fund, Invesco India Tax Plan.
- Eligible Target (Transferee) Schemes: Invesco India Dynamic Equity Fund, Invesco India Midcap Fund, Invesco India Contra Fund, Invesco India Growth Opportunities Fund, Invesco India Financial Services Fund, Invesco India Tax Plan, Invesco India Arbitrage Fund, Invesco India Largecap Fund, Invesco India PSU Equity Fund, Invesco India Infrastructure Fund, Invesco India Multicap Fund, Invesco India Smallcap Fund, Invesco India Focused 20 Equity Fund, Invesco India ESG Equity Fund, Invesco India Equity & Bond Fund, Invesco India Feeder - Invesco Pan European Equity Fund, Invesco India Feeder - Invesco Global Equity Income Fund, Invesco India Banking & PSU Debt Fund, Invesco India Equity Savings Fund, Invesco India Credit Risk Fund and Invesco India Overnight Fund. Following additional Target (Transferee) Schemes are applicable only for Fixed STP : Invesco India Liquid Fund, Invesco India Treasury Advantage Fund, Invesco India Short Term Fund, Invesco India Corporate Bond Fund, Invesco India Money Market Fund, Invesco India Ultra Short Term Fund, Invesco India Medium Duration Fund. Please note, Invesco India Tax Plan, Invesco India Gold Fund, Invesco India Feeder - Invesco Pan European Equity Fund, Invesco India Feeder - Invesco Global Equity Income Fund, Invesco India - Invesco Global Consumer Trends Fund of Fund, Invesco India Banking & PSU Debt Fund and Invesco India Credit Risk Fund does not act as Target Scheme for Flex STP and Appreciation STP. The list is subject to change from time to time.
- The above list of Source and Target schemes is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Invesco Mutual Fund for updated list.
- In case any option is not specified, fixed option will be considered as default option. In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case of weekly frequency, Monday will be considered as default frequency. In case the STP date is not specified or in case of ambiguity, the STP transaction will be processed on 15th of month / quarter. If the start month is not specified, the STP application would be processed from the succeeding month on the date specified by the investor at the time of enrolment, provided the condition for minimum number of installments is met. If the end month is not specified, the Fund would continue the STP till it receives termination notice from the investor. In case the start month and end month both are not specified, the STP application would be registered for the minimum number of installments.
- In case the date specified is not a Business Day or falls during a book closure period, the transaction will be effected on the next Business Day.
- Load Structure : For details on load structure, please refer respective SID / KIM.
- Load Structure in the Transferee Scheme (target scheme) prevailing at the time of submission of STP application (whether for fresh enrolment or extension) will be applicable for all the investments through STP specified in the application.
- The transaction through STP will be subject to applicable exit load in the source (transferor) scheme.

- In case the investor purchases additional Units in the source (transferor) scheme, the STP facility would be extended to such additional units also (not applicable for flex STP)
- Units marked under lien or pledge in the source scheme will not be eligible for STP.
- The Unitholder who has opted for STP under a specific scheme can also redeem or switch his units to any other eligible scheme provided he has sufficient balance in his account on the date of such a request.
- STP (in) and SWP cannot be simultaneously registered for a folio for the same scheme.
- In case the unit balance in the source (transferor) scheme is lesser than amount specified by the Unitholders for STP, the AMC will transfer remaining unit balance to target (transferee) scheme and STP will be closed.
- The facility will be automatically terminated if the units under the source (transferor) scheme are pledged or upon receipt of intimation of death of the Unitholder or if all units are liquidated or withdrawn from the source (transferor) scheme or the units balance under the folio becomes nil.
- The application for start of STP should be submitted to Official Point(s) of Acceptance at least 7 days and not more than 60 days before the date of commencement / start date of STP. Unitholder may change the amount (but not below the minimum specified) / frequency by giving written notice to any of the Official Point(s) of Acceptance at least 7 days prior to next transfer/ STP execution date.
- Unitholder can discontinue STP facility at any time by sending a STP cancellation form to any of the Official Point(s) of Acceptance, at least 7 days prior to next transfer / STP execution date. Investors can also make an STP cancellation request online at [invescomutualfund.com](http://invescomutualfund.com)
- Unitholders details and mode of holding in the target (transferee) scheme will be as per the existing folio in the source (transferor) scheme. Units in the transferee scheme will be allotted in the same folio.

### Appreciation Option

- Appreciation option offers transfer facility at Monthly and Quarterly Intervals. If no frequency is chosen, Monthly Frequency shall be treated as default frequency.
- The Unitholder can transfer Rs.500 or above on monthly/quarterly (April/ July/ Oct/ Jan) basis by transfer of appreciation, if any, in the value of Units. In appreciation option, if, on the date of transfer, there is no appreciation or appreciation is less than Rs. 500, then transfer will not be done.
- Capital appreciation, if any, will be calculated from the enrolment date of the STP, till first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous STP date (where STP has been processed and transferred) and the current STP date.
- Appreciation option will be applicable for all schemes except Invesco India Tax Plan as target scheme.

### Flex STP

- Flex STP is a facility wherein an investor under any of the existing designated open ended debt / liquid Scheme(s) ("Transferor Scheme") of the Fund can opt to transfer variable amount linked to value of his investments, on predetermined date to "Growth Option" of designated open-ended equity Scheme(s) ("Transferee Scheme") except Invesco India Tax Plan.
- Under the Flex STP Option, the amount to be transferred in the Transferee (Target) Scheme on the date of transfer shall be higher of: Fixed amount to be transferred per installment as specified by the investor; Or The amount determined by using following formula:  
Fixed amount to be transferred per installment as specified by the investor X number of installments (including the current installment) minus market value of investments through Flex STP.  
In case of Flex STP if the amount (as specified by the formula) to be transferred under STP is not available in the Transferor Scheme in the Unitholder's account, the residual amount will be transferred to the Transferee Scheme and Flex STP will be closed.
- If the NAV falls continuously during the Flex STP Option period, number of actual installments may be less than those mentioned in the Flex STP Option enrolment form.
- The total amount invested in the Transferee (Target) Scheme through Flex STP Option shall not exceed the total amount of investment specified by the investor at the time of enrolment of Flex STP Option i.e. amount per instalment x number of enrolled installments.
- If there is any other Financial Transaction like Purchase, Redemption, Switch etc. (all special products as well) processed in the target scheme in the same folio during the tenure of Flex STP, then Flex STP will be processed as fixed STP for the rest of the installments for the fixed amount.

### Illustration: Flex STP will work as follows:

Installment amount to be transferred	Rs. 3,000/-
No. of Installments	12
STP period	September 2016 - August 2017
STP Start Date	3rd of the month

### Calculation of Flex STP installment amount on the date of the fifth installment i.e. January 3, 2017.

- Total units allotted up to the date of last (fourth) instalment i.e. December 3, 2016 is assumed as 823;
- NAV as on January 3, 2017 of Growth option of Transferee Scheme is assumed as Rs. 12/- per unit;
- Hence, the market value of investment in the Transferee Scheme on the date of transfer of fifth instalment i.e. January 3, 2017 will be Rs 9,876/- (823\*12).  
The installment amount for fifth instalment will be calculated as follows:
  - Fixed amount to be transferred per installment: Rs. 3,000/- Or
  - As determined by the formula: (3,000\*5) - 9,876 = Rs. 5,124/-.  
a. Or b. whichever is higher :  
Hence, the installment amount to be transferred in the Transferee Scheme on January 3, 2017 will be Rs. 5,124/-.
- The first Flex STP installment will be processed for the fixed installment amount specified by the investor at the time of enrolment. From the second Flex STP installment onwards, the transfer amount shall be computed as per formula stated above.
- Flex STP is available for Monthly and Quarterly frequencies.
- Flex STP is available only in 'Growth' option of the Target Scheme.
- A single Flex STP enrolment form can be filled for transfer into one Scheme/Plan/Option only.
- All other terms and condition of Systematic Transfer Plan are also applicable to Flex STP.

# Systematic Withdrawal Plan (SWP)

Please refer instructions before filling the form

I/We hereby apply to the Trustees of Invesco Mutual Fund for Systematic Withdrawal Plan (SWP) enrollment under the following scheme and I / We agree to abide by the terms and conditions of the Plan

## Key Partner/Agent Information

Mutual Fund Distributor ARN ARN - <b>ARN-64917</b>	Sub-Broker ARN Code ARN -	Internal Sub-Broker/Employee Code
Employee Unique Identification No. (EUIIN) (Of Individual <b>E434563</b> or of employee/ Relationship Manager/Sales Person of the Distributor)	Registered Investment Advisor (RIA) Code / Portfolio Manager's Registration Number (PMRN)	

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

Folio Number

Application Number

### 1. Applicant's Personal Details

PAN/PEKRN

First/Sole Applicant Name  Mr. / Ms. / M/s.

KIN

### 2. Systematic Withdrawal Plan (SWP) Mandate

(Investors applying under the direct plan must mention "Direct" in the box provided below)

Plan  Option

Withdrawal Option  Fixed Amount  Capital Appreciation Amount

Frequency  Weekly (1<sup>st</sup> business day of each week)  Monthly (Default)  Quarterly

SWP Date (✓ Any One)  3<sup>rd</sup>  10<sup>th</sup>  15<sup>th</sup> (Default)  20<sup>th</sup>  25<sup>th</sup>

Period of Enrollment from (1st Installment)  M M Y Y Y Y To (Last Installment)  M M Y Y Y Y

Withdrawal Amount (Per Installment)  Rs. in Words

(Not applicable for Appreciation Option)

Rs. in Figures

No. of Installments  Total Withdrawal  Rs. in Figures

### 3. Applicant's Signature

Please note: Signature(s) should be as it appears on the Application Form and in the same order. In case the mode of holding is joint, all Unitholders are required to sign

<input type="text"/>	<input type="text"/>	<input type="text"/>
Sole/First Applicant/Guardian	Second Applicant	Third Applicant

Date  D D M M Y Y Y Y Place

For details on transaction charges payable to distributors, please refer to KIM.

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any int ervention or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/rela tionship manager/sales person of the distributor/sub broker.

Sign Here - Sole/First Applicant/Guardian/POA

Sign Here - Second Applicant

Sign Here - Third Applicant

## Common Instructions

1. New Investors who wish to enroll for SWP should fill this enrollment form in addition to the application form.
2. Existing investors need to provide the Folio Number and SWP details only.
3. Unitholders are advised to consult their tax consultant with regard to the treatment of the withdrawal of units from the taxpoint of view.

## Features and Terms & Conditions of Systematic Withdrawal Plan (SWP)

1. The amount withdrawn under SWP by redemption will be converted into Units at the NAV based prices and the number of Units so arrived will be deducted from the Unit balance to the credit of that Unitholder.
2. Eligible Schemes for SWP: Invesco India Dynamic Equity Fund, Invesco India Midcap Fund, Invesco India Contra Fund, Invesco India Growth Opportunities Fund, Invesco India Financial Services Fund, Invesco India Tax Plan (an open ended equity linked saving scheme with a statutory lock in period of 3 years and tax benefit), Invesco India Largecap Fund, Invesco India PSU Equity Fund, Invesco India Infrastructure Fund, Invesco India Multicap Fund, Invesco India Arbitrage Fund, Invesco India Smallcap Fund, Invesco India ESG Equity Fund, Invesco India Equity & Bond Fund, Invesco India Equity Savings Fund, Invesco India Focused 20 Equity Fund, Invesco India Feeder – Invesco Pan European Equity Fund, Invesco India Feeder – Invesco Global Equity Income Fund, Invesco India - Invesco Global Consumer Trends Fund of Fund, Invesco India Gold Fund, Invesco India Liquid Fund, Invesco India Treasury Advantage Fund, Invesco India Short Term Fund, Invesco India Money Market Fund, Invesco India Ultra Short Term Fund, Invesco India Corporate Bond Fund, Invesco India Banking & PSU Debt Fund, Invesco India Overnight Fund, Invesco India Credit Risk Fund, Invesco India Medium Duration Fund. The above list is subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of Invesco Mutual Fund for updated list.

3. This facility offers two options to the Unitholders:

- a. Fixed option: Under this option, the Unitholder can withdraw a fixed amount on weekly/ monthly/quarterly (Jan/April/July/Oct) basis by redemption of units in the Unitholders' account.
- b. In case of Appreciation option, the Unitholder can withdraw Rs. 500 and above on weekly/ monthly/quarterly (April/July/Oct/Jan) basis by redemption of appreciation, if any, in the value of Units.

In case of appreciation option, if, on the date of withdrawal, there is no appreciation or appreciation is less than Rs. 500, the redemption / withdrawal under this option will not be made.

Particulars	Weekly	Monthly	Quarterly (Jan/April/July/Oct)
Minimum balance in the source scheme at the time of enrolment	Rs. 6,000/-	Rs. 6,000/-	Rs. 6,000/-

Particulars	Weekly	Monthly	Quarterly (Jan/April/July/Oct)
SWP Dates Offered	1st business day of each week	3rd or 10th or 15th or 20th or 25th of every month	3rd or 10th or 15th or 20th or 25th of every designated month
Minimum amount for SWP installment (Rs. 500/- for Invesco India Tax Plan)	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,000/- & in multiples of Re. 1/- thereafter	Rs. 1,500/- & in multiples of Re. 1/- thereafter
Minimum Number of Installments	6	6	4

Minimum number of installments for Invesco India Tax Plan should be 12.

5. In case the frequency is not specified, it will be considered as application for monthly frequency and will be processed accordingly. In case the SWP date is not specified or in case of ambiguity, the SWP transaction will be processed on 15th of month / quarter. In case the end date is not specified, the Fund would continue the SWP till it receives termination notice from the investor. SWP in a folio of minor will be registered only upto the date of minor attaining majority though the instruction may be for the period beyond that date.
6. In case the investor purchases additional Units in the Scheme under the same folio, the SWP facility would be extended to such units also.
7. The facility will be automatically terminated if the units in the Scheme are pledged or upon receipt of intimation of death of the Unitholder and if all units are liquidated or withdrawn from the source (transferor) or the units balance under the folio becomes Nil.
8. The redemption under SWP will be subject to applicable Exit Load.
9. SIP and SWP cannot be simultaneously registered for a folio for the same scheme.
10. SWP and STP (in) cannot be simultaneously registered for a folio for the same scheme.
11. The investor should indicate in his request, the commencement / start date from which the appreciation in investment value should be computed. The withdrawal will commence after one month from the commencement / start date.
12. The application for start of SWP should be submitted to Official Point(s) of Acceptance at least 7 days and not more than 60 days before the date of commencement / start date of SWP. Unitholder may change the amount (but not below the minimum specified) / frequency by giving written notice to any of the Official Point(s) of Acceptance at least 7 days prior to next SWP execution date.
13. Unitholder can discontinue SWP facility at any time by sending a SWP cancellation form to any of the Official Point(s) of Acceptance, at least 7 days prior to next SWP execution date. Investors can also make an SWP cancellation request online at [invescomutualfund.com](http://invescomutualfund.com)
14. If the balance under scheme falls below Rs. 5,000/-, then AMC reserves the right to redeem the balance units.