

for purchase in $__$

TATA MUTUAL FUND

Mulla House, Ground Floor, M. G. Road, Fort, Mumbai - 400 001

Application Form For Tata Mutual Fund



_Subject to verification and realisation.

ALL THE DETAILS REQUESTED IN THE FORM ARE MANDATORY FOR EACH OF THE APPLICANTS Sr. No.: **C**

. Advisor / Dis	tributor Informa	tion						Refer Sec.		
ARN / RIA ^ Code ARN-64917	Sub-Broke	r ARN Code	Sub-Broker	/ Bank Brar	ich Code	EUIN Code	E43456	3		
nternal Code	without any interaction or advice by the employee/re				ion – I/We hereby confirm that the EUIN box has been intentionally lef yee/relationship manager/sales person of the above distributor or i r/sales person of the distributor and the distributor has not charged					
ommission shall be paid dire	ount is ₹ 10,000 or more and y fund investor) will be deducte ectly by the investor to the AMI we authorize you to share wi	FI registered Distributor	's based on the investors'	' assessment o	f various fact	ors including the se	rvice rendered	by the distributo		
	cant Signature / mpression		Applicant Signature / Thumb Impression				nt Signature Impression			
Applicant's In	formation				'		Refe	er Sec. A, C &		
	The Name of the Applicants with 1st applicant as a mino under the US Securities Act mention the C-KYC No. Inc.	or. Any applicants shou t of 1933 and corporat	ald not be a resident of the contract of the c	Canada or a p rganised und	erson who fa er the laws o	alls within the defi f the U.S. For Inve	nition of the to stors New to T	erm "U.S. Persoi		
Applicant's Deta	ails				Folio	No.				
The first applicant >> will be the primary holder and all prespondence will be	☐ Mr. ☐ Ms. ☐ M/s.	PAN / PEKRN			C-KYC					
sent to him/her. Only the first holder can be a minor.	Name									
xisting Investors may mention the Folio no. nd proceed to Sec. 4. Investors to ensure	Date of Birth (DOB)	Y Y Y Y	In case of Minor:	Proof of DC	B: Birth Passp		thool leaving			
that PAN is linked to Aadhaar.					Mobile belongs to Self Parent Spouse Child					
	☐ I hereby authorize TA	.MI / TMF to send im	nportant information					number		
intact Person - Design	,		•							
POA / Proprietor /	nation (Non Individual Investors) / Power of Attorney (POA) / Proprietor / Guar					<u> </u>				
Guardian Details	Mr. Ms.									
	Name									
For Non Individual »	Entity Identifier (LEI) Nur	mber Mandatory for	Transaction Value of	FINR 50 cro	e and abov	re				
To be filled by » Guardian	Relationship with the Mi Mother Father		Proof of Relationsh Birth certificate	•	ving certifi	cate Passport	Others			
	Mobile No.		Date of Birth		C-KYC					
_			D D / M M /	YYYY						
ax Status										
	Resident Individual NRI-Repatriation NRI-Non-Repatriation Minor - Resident Indiv Minor - NRI Person of Indian Orig	☐ Hindu I ☐ Partner ⁄idual ☐ Compa ☐ Trust	Undivided Family rship	Body of Indi Society / Clu Non Profit C	ility Partne viduals ıb rganizatior	rship	eas Citizen of n National Re ed Foreign I n Portfolio In n Institutiona	esident in Indi nvestor vestor		
. Contact Detai	ls							Refer Sec.		
Mailing address is » required for initial communication. We										
will overwrite this						City				
ddress with the 1st Applicants address	DIN				<u> </u>					
as per the KRA records	PIN State				Country					
	Residence Phone (prefix STD Code) Office Phone (prefix STD Code)					Extn				
	Email For investors who do no	ot have email addre	ess on record.			Email belongs	to Self Spouse	☐ Parent☐ Child☐		
	I/We wish to receive ph			report or a	oridged su	mmary thereof	☐ Yes	☐ No		
%		Acknow			c.	r. No.: C				
TUTUAL FUND eceived from Mr./Ms./M/s.		, icitiow		PAN	3	r. NO C				

Overseas address								
Mandatory for Non- Resident Individuals and Overseas Investors in addition to the mailing			City					
address.								
	State	ZIP Code	Country					
4. Investment In	strument Details		Refer Sec. I					
The name of the »	Gross Amount (₹) (A)	DD Charges (₹) (if any)	Net Amount (₹) (Cheque / DD Amount)					
first applicant should be available		(B)	(A - B)					
on the investment Cheque.	Account Number	A/c Type	Dated					
Cheque/ DD to be								
drawn in favour of 'Name of the	Drawn on Bank		Cheque / DD No.					
Scheme'								
	Branch		Branch City					
5. Investment So	cheme Details		Refer Sec. F & Product Label.					
Scheme Name »								
Plan (select any one)	Regular Direct							
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,								
Option »								
Sub Option »								
Div. Payout Option (select any one)	DCW Reinvestment DCW Payout							
	IDCW - Income Distribution cum Capital Witho	Irawal.						
6. Bank Account	Details		Refer Sec. (
	The bank account details provided below w proceeds and IDCW payouts (if applicable).		s default bank mandate to pay redemption					
This must be an Indian account. The	Bank Name		Branch					
1 st applicant should be a holder in this								
account.	Account number		A/C type Savings Current NRO					
			□ NRNR □ NRE					
	MICR	IFSC for RTGS	IFSC for NEFT					
	Address							
	City	PIN	State					
			State					
Chaque Datails			Acknowledgement Sliv					
Cheque/DD No	dated A/c No	Rank	Acknowledgement Slip					

7. Joint Applican	t's Detail	ls						Refer Sec. H & I
Mode of Holding	☐ Single		□ Joint	Any one or Survivor (D	efault)			
II nd Applicant's Detail	ls					Investors	to ensure that PAN is li	nked to Aadhaar.
☐ Mr. ☐ Ms.				Status		PAN / PEK	(RN	
			Resident Individual	NRI				
Name								
Mobile No.		Mobile belo	ngs to	Date of Birth		C-KYC		
		Self Spouse	☐ Parent ☐ Child		YYY			
IIIrd Applicant's Detai	ls					Investors	to ensure that PAN is li	nked to Aadhaar.
☐ Mr. ☐ Ms.				Status PAN / PEKRN Resident Individual NRI				
Name				incolor individual				
Mobile No.		Mobile belo	nas to	Date of Birth		C-KYC		
Widdle No.		Self Spouse	Parent Child		YY			
8. Know Your Cu	ıstomer ((KYC) De	tails					Refer Sec. J
CATEGORIES	FIRST APP	PLICANT (Inc	luding Minor)	SECOND APPLICAN	T / GUAF	RDIAN	THIRD APPLI	CANT
Occupation »	☐ Public Sec ☐ Governme ☐ Profession ☐ Housewife	tor Service ent Sector nal	Retired Business Agriculturist Forex Dealer Student	Private Sector Service Public Sector Service Government Sector Professional Housewife Others (please specify	☐ Busir ☐ Agric ☐ Forex ☐ Stude	ness culturist x Dealer	Private Sector Service Public Sector Service Government Sector Professional Housewife Others (please specify)	Retired Business Agriculturist Forex Dealer Student
Gross Annual Income »	□ 5-10 Lacs □ >25 Lacs- Networth in	1 crore (Mandatory fo	☐ 1-5 Lacs ☐ 10-25 Lacs ☐ >1 crore r Non-individual)			5 Lacs rore	□ Below 1 Lac □ 5-10 Lacs □ >25 Lacs-1 crore Networth in ₹	
	(not older than	M M / Y 1 year)	YYY	on DD/MM. (not older than 1 year)	/	YY	(not older than 1 year)	Y Y Y Y
Others » Not Applicable Politically Exposed Person Related to Politically Exposed Person		Not Applicable Politically Exposed Person Related to Politically Exposed Person			☐ Not Applicable ☐ Politically Exposed Person ☐ Related to Politically Exposed Person			
Additional KYC De	tails for N	Non - Indi	viduals					
For Non Individuals » only (Companies, Trust, Partnership etc.)	(if No, mand Non Individu Foreign Ex	atory to attac al investors i	th the UBO declara nvolved/providing ney Changer Servic	g any of the mentioned se	rvices g / Lottery			□ No
9. Foreign Accou	nt Tax C	omplian	ce Act (FAT	CA) & CRS Detai	ls			Refer Sec. K
For Individuals	FIRST API	PLICANT (inc	luding Minor)	SECOND APPLICANT	/ GUAR	DIAN	THIRD APPLIC	CANT
Country of Birth »								
Place of Birth \gg								
Nationality »		ease specify) _	☐ U. S.	☐ Indian☐ Others (Please specify)	☐ U. S.		Indian Others (Please specify)	☐ U. S.
Type of address given at KRA \gg	Residentia Registered	l or Business I Office	Residential Business	Residential or Business Registered Office	Resid		Residential or Business Registered Office	Residential Business
Are you also a resident in >> any other country(ies) for tax purposes?	☐ No If ves. compl	ete section be	Yes	□ No	☐ Yes		□ No	Yes
Country of Tax Residency 1 >>								
Tax Identification Number 1 \gg								
Identification Type 1 \gg								
If TIN is not available please \gg tick the reason A, B or C *	Reason 🗌	A 🗌 B	С	Reason	С		Reason 🗌 A 🗌 B	С
Country of Tax Residency $2 \gg$								
Tax Identification Number 2 \gg								
Identification Type 2 \gg								
If TIN is not available please >> tick the reason A, B or C *	Reason	A 🗌 B	С	Reason	С		Reason 🗌 A 🔲 B	С

^{*} Reason A: The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents; Reason B: No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected); Reason C: Others- Please state the reasons thereof FATCA & CRS Related Details for Non Individuals: Please submit Form W8 BEN-E / Specified declaration (Enclosed)

10. Nomination Details

10. Nomination	Details		Kefer Sec. I						
Mandatory for Individual(s) applying singly or jointly.	You can nominate up to 3 persons to receive the Units allotted to you made to such Nominee(s) and Signature of the Nominee(s) acknowled Register nomination as below								
Select any one »									
1 st Nominee	Nominee Name								
	Relationship with Nominee	Date of Birth							
	Address								
	State	PIN	Country						
	Guardian Name in case of Minor	Allocation (%)	Signature of Nominee / Guardian						
2 nd Nominee	Nominee Name								
	Relationship with Nominee		Date of Birth						
	Address		City						
	State	PIN	Country						
	Guardian Name in case of Minor	Allocation (%)	Signature of Nominee / Guardian						
3 rd Nominee	Nominee Name								
	Relationship with Nominee	Date of Birth							
	Address	City							
	State	Country							
	Guardian Name in case of Minor	Allocation (%)	Signature of Nominee / Guardian						
	1 st Applicant Signature / Thumb Impression	2 nd Applicant Signature / Thumb Impression	3 rd Applicant Signature / Thumb Impression						
11. Demat Accou	unt Details	'	Refer Sec. N						
For a decide	Fill these details only if you wish to have your unit	s in Demat mode.							
Ensure that the sequence of names as mentioned in the application form	Depository participant Name								
matches with that of the account held with the Depository Participant.	Central Depository Securities Limited Target ID No.	National Securities Depository Limited DP ID No.							
In case the details are found to be incorrect,			I N Beneficiary Account No.						
Units will be allotted in physical mode.									
12. Declaration	and Signatures		Refer Sec. N						
	g capital markets under any order/ruling/judgment etc., of any regulation, including SEBI. I/ I hereby agree to comply with the terms and conditions of the scheme related documents a								
I/We am/are eligible Investor(s) a any act, rules, regulations, notific	i nevery agree to comply with the terms and conditions of the scheme related documents as sper the scheme related documents and am/are authorised to make this investment. The tations or directions issued by any regulatory authority in India. his application form is true and correct and further agree to furnish such other further/ac	amount invested in the Scheme(s) is through legitimate	e sources only and is not for the purpose of contravention and/or evasion o						
Fund/Registrars and Transfer Age (4) That in the event, the above infor	ent (RTA) in writing about any change in the information furnished from time to time. rmation and/or any part of it is/are found to be false/ untrue/misleading, I/We will be liabl	e for the consequences arising therefrom.	•						
Company, its employees, agents Intelligence Unit-India (FIU-IND) e	close, share, remit in any form/manner/mode the above information and/or any part of and third party service providers, SEBI registered intermediaries for single updation/ subm tc without any intimation/advice to me/us. I/We hereby authorize you to share the accoun	ission, any Indian or foreign statutory, regulatory, judic t statement of the folio with the distributor /broker / ac	rial, quasi-judicial authorities/agencies including but not limited to Financia						
(7) The ARN holder (AMFI registered Scheme is being recommended to		or any other mode), payable to him/them for the diffe	erent competing Schemes of various Mutual Funds from amongst which th						
(9) I / We agree that the unit balance	we not been offered/communicated any indicative portfolio and/or any indicative yield by (s) reflecting in the account statement is subject to realisation of Cheque accompanying the India only: I/We will redeem my/our entire investment/s before I/We change my/our India	ne purchase request, PAN validation and KYC complianc							
(11) For NRIs/ PIO/OCIs only: I/We con	nfirm that my application is in compliance with applicable Indian and Foreign laws. ent to TATA AMC for receiving the promotional information/ material via email, SMS, telem	narketing calls, etc. on the mobile number and email pro	ovided by me/us in this Application Form. Date:						



TATA MUTUAL FUND

Mulla House, Ground Floor, M.G. Road, Fort, Mumbai - 400 001

Application Form For Tata Retirement Savings Fund

for purchase in Tata Retirement Savings Fund - Plan: ☐ Progressive ☐ Moderate ☐ Conservative

This product is suitable for investors who are seeking*:
PROGRESSIVE PLAN: · Long Term Capital Appreciation. · An equity oriented (between 85%-100%) savings scheme which provides tool for retirement planning to individual investors.
MODERATE PLAN: · Long Term Capital Appreciation & Current Income. · A predominately equity oriented (between 65%-85%) savings scheme which provides tool for retirement planning to individual investors. CONSERVATIVE PLAN: · Long Term Capital Appreciation & Current Income. · A debt oriented (between 70%-100%) savings scheme which provides tool for retirement planning to individual investors. *Investors should consult their financial advisors if in doubt about whether the product is suitable for them

Sr. No.:



Cheque Details Overleaf / Subject to realisation.

ALL THE DETAILS REQUESTED IN THE FORM ARE MANDATORY FOR EACH OF THE APPLICANTS

1. Advisor / Distributor Information Refer Sec. B ARN / RIA ^ Code **EUIN Code** Sub-Broker ARN Code Sub-Broker / Bank Branch Code OR Declaration for "execution-only" transaction - I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above Internal Code distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction. In case the subscription amount is ₹ 10,000 or more and your Distributor has opted to receive transaction charges, ₹ 150/- (for First time mutual fund investor) or ₹ 100/- (for investor other than First time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. A By mentioning RIA code, I / we authorize you to share with the SEBI Registered Investment Adviser (RIA) the details of my / our transactions in the schemes(s) of Tata Mutual Fund 2. Applicant's Information Refer Sec. A, C & I The Name of the Applicants should be as mentioned in the PAN and the KYC acknowledgement. There can be upto 3 holders. No joint holders allowed with 1 x applicant as a minor. Any applicants should not be a resident of Canada or a person who falls within the definition of the term "U.S. Person" under the US Securities Act of 1933 and corporations or other entities organised under the laws of the U.S. Individual Investors who are KYC KRA verified after 10th Feb 2017, should additionally submit C-KYC number. In case the C-KYC number is not available, kindly complete the CKYC Application Form - Individual available on www.tatamutualfund.com Ist Applicant's Details Folio No The first applicant >> PAN / PEKRN C-KYC Mr. Ms. M/s. will be the primary holder and all correspondence will be sent to him/her. Only the first holder can be a minor. Name Existing Investors may mention the Folio no. Date of Birth (DOB) In case of Minor: Proof of DOB: \square Birth certificate \square School leaving certificate and proceed to Sec. 4 D D / M M / Y Y Y Passport Others Mobile No. Mobile belongs to Parent Self Child Spouse \square I hereby authorize TAML/ TMF to send important information and transaction updates to me on WhatsApp mobile number. Power Of Attorney (POA) / Proprietor / Guardian details (minor applicant) POA / Proprietor / PAN / PEKRN Mr. Ms. Guardian Details Name To be filled by >> Relationship with the Minor Applicant Proof of Relationship Guardian ■ Mother ■ Father ■ Legal Guardian \square Birth certificate \square School leaving certificate \square Passport \square Others Mobile No. Tax Status Resident Individual Minor - NRI Overseas Citizen of India Person of Indian Origin NRI-Repatriation Foreign National Resident in India NRI-Non-Repatriation Sole Proprietorship ☐ Qualified Foreign Investor Minor - Resident Individual Hindu Undivided Family 3. Contact Details Refer Sec. D Mailing address is >> required for initial communication We will overwrite this City address with the 1st Applicants address Country as per the KRA Residence Phone (prefix STD Code) Office Phone (prefix STD Code) records Extn **Fmail** Email belongs to Self Parent Spouse Child For investors who do not have email address on record: I/We wish to receive physical copy of the scheme-wise annual report or abridged summary thereof Sr. No.: Acknowledgement Slip Received from Mr./Ms./M/s. PAN

Overseas address								
Mandatory for Non-Resident Individuals and Overseas Investors in addition to the mailing address.								
maining address.					City			
	State		ZIP Code		Country			
4. Investment Instrur	ment Details					Refer Sec.		
The name of the »	Gross Amount (₹) (A)	Net Amount (₹)	(Cheque / DD Amount)					
first applicant should be available on the investment Cheque.		DD Charges (₹) (if any) (B)		(A - B)				
Cheque/ DD to be	Account Number			A/c Type	Dated			
drawn in favour of 'Tata Retirement Savings Fund'	Drawn on Bank				Cheque / DD N	M / Y Y Y Y Y O.		
	Branch				Branch City			
5. Investment Scheme	e Details					Refer Sec. F & 0		
	TATA RETIREMENT SAVINGS FUND							
Select any one »	Plan Name Please tick the appropriate option (any one per plan) Progressive Plan - Regular Plan Progressive Plan - Direct Plan Auto Switch Option 1 (Progressive to Moderate @ age 45; Moderate to Conservative @age 60), Auto Switch Option 2 (Progressive to Conservative @ age 60) No Auto Switch							
		ve @ age 60)	☐ No Auto Switch					
	Conservative Plan - Regular Plan Conservative Plan - Direct Plan							
6. Auto SWP Facility	_ conservative hair							
Select any one only » Will be applicable after attaining 60 years	OR Fixed SWP (Select Frequency) OR Fixed Amount (Frequency Month) Monthly	OR O	Quarterly (Default	:)		
7. Bank Account Deta	ails					Refer Sec. (
This must be an Indian account. The 1st applicant	Bank Name	Branch						
should be a holder in this account. The bank account	Account number					Savings Current NRO		
details provided below will be held on record and	MICR	FT		IFSC for RTGS				
considered as default bank mandate to pay redemption proceeds and IDCW payouts	Address							
(if applicable).	City	PIN			State			
		A aluma - 1 : 1						
Cheque Details	Asted	Acknowledgem	ent Slip		Rank			

8. Join	t Applicant's L	Jetaiis							Refer Sec. E &	
Мос	de of Holding	☐ Single		☐ Joint	Any one or Survivor (D	efault)				
II nd App	plicant's Detai	ls					Investors	to ensure that PAN is I	inked to Aadhaar	
Mr	Ms				Status		PAN / PEK	(RN		
	Mr. Ms.					NRI	li í i			
Name					I					
Mobile	No.		Mobile belon	_	Date of Birth		C-KYC			
			Self Spouse	☐ Parent☐ Child		YY				
III rd Ap	plicant's Detai	ils					Investors	to ensure that PAN is I	inked to Aadhaar	
□ Mr. □ Ms.			Status		PAN / PEKRN					
					Resident Individual	NRI				
Name										
Mobile	No		Mobile belor	ags to	Date of Birth		C-KYC			
WIODIIC	NO.		Self	Parent			CKIC			
			Spouse	Child		YY				
9. Kno	w Your Custor	mer (KYC) I	Details						Refer Sec.	
	CATEGORIES	FIRST API	PI ICANT (Incl	uding Minor)	SECOND APPLICAN	T / GUA	RDIAN	THIRD APPL	ICANT	
	Occupation »		ctor Service		☐ Private Sector Service	-		☐ Private Sector Service	Retired	
		☐ Public Sec☐ Governme		BusinessAgriculturist	Public Sector ServiceGovernment Sector	□ Busi □ Agri	culturist	☐ Public Sector Service ☐ Government Sector		
		☐ Profession☐ Housewife	nal [☐ Forex Dealer ☐ Student	□ Professional□ Housewife	☐ Fore ☐ Stud	x Dealer	□ Professional□ Housewife	☐ Forex Dealer ☐ Student	
					Others (please specify			Others (please specify		
Gross A	Annual Income »	☐ Below 1 Lacs		□ 1-5 Lacs □ 10-25 Lacs	☐ Below 1 Lac ☐ 5-10 Lacs	□ 1-5 □ 10-2		☐ Below 1 Lac ☐ 5-10 Lacs	□ 1-5 Lacs□ 10-25 Lacs	
		>25 Lacs		□ >1 crore	>25 Lacs-1 crore	□ >1 c		>25 Lacs-1 crore	□ >1 crore	
			•	Non-individual)	Networth in			Networth in		
			M M / Y		₹		as	₹ D D / M M /	as on	
		(not older than			(not older than 1 year)	,		(not older than 1 year)		
	Others »				☐ Not Applicable			☐ Not Applicable		
			Exposed Pers Politically Ex		Politically Exposed Person Related to Politically Exposed Person			Politically Exposed Person Related to Politically Exposed Person		
10 For	reign Account	Tay Compl	ianco Act (EATCA) & CDS	S Dotails				Pafar Sac	
10. FOI						r / CUAR	DIAN	TURD ARRIV	Refer Sec.	
	For Individuals	FIRST API	PLICANT (INCI	uding Minor)	SECOND APPLICANT	/ GUAR	DIAN	THIRD APPLI	CANI	
	Country of Birth »									
	Place of Birth \gg									
	Nationality »			U. S.	Indian	☐ U. S.		Indian	☐ U. S.	
Type of a	address given at KRA »		ease specify) .l or Business	Residential	Others (Please specify) Residential or Business	Posi	dential	 Others (Please specify) Residential or Business 	Residential	
	J	Registered	Office	Business	Registered Office	Busi		Registered Office	Business	
	ou also a resident in >> r country(ies) for tax	□ No		Yes	□ No	☐ Yes		□ No	☐ Yes	
	purposes?	If yes, compl	lete section be	low.	ı					
Country	of Tax Residency 1 »									
Tax Ider	ntification Number 1 »									
I	dentification Type 1 »									
	not available please » ne reason A, B or C *	Reason	A	С	Reason	С		Reason A B	С	
Country	of Tax Residency 2 »									
Tax Ider	ntification Number 2 »									
I	dentification Type 2 »									
	not available please >> ne reason A, B or C *	Reason	A	С	Reason A B	С		Reason 🗌 A 🗌 B	С	

^{*} Reason A: The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents; Reason B: No TIN required (Select this reason only if the authorities of the respective country of tax residence do not require the TIN to be collected); Reason C: Others- Please state the reasons thereof

11. Nomination Details Refer Sec. L Mandatory for Individual(s) applying singly or jointly. You can nominate up to 3 persons to receive the Units allotted to you in your folio in the unfortunate event of death of all unit holders. All payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund/ Trustees. Register nomination as below I do not wish to nominate. Select any one 1st Nominee Nominee Name Relationship with Nominee Date of Birth D D / M M / Y Y Y Address City PIN State Country Guardian Name in case of Minor Allocation (%) Signature of Nominee / Guardian 2nd Nominee Nominee Name Relationship with Nominee Date of Birth D D / M M / Y Y Y Y Address PIN State Country Guardian Name in case of Minor Allocation (%) Signature of Nominee / Guardian 3rd Nominee Nominee Name Relationship with Nominee Date of Birth D D / M M / Y Y Y Y Address City PIN State Country Guardian Name in case of Minor Allocation (%) Signature of Nominee / Guardian 12. Demat Account Details Refer Sec. M Fill these details only if you wish to have your units in Demat mode. Ensure that the Depository participant Name sequence of names as mentioned in the application form National Securities Depository Limited Central Depository Securities Limited matches with that of the account held with the DP ID No. Target ID No. Depository Participant. In case the details are Beneficiary Account No. found to be incorrect, Units will be allotted in physical mode. 13. Declaration and Signatures Refer Sec. N I/We am/are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any regulation, including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws. I / We hereby confirm and declare as under:

(1) I / We have read, understood and hereby agree to comply with the terms and conditions of the scheme related documents and apply for allotment of Units of the Scheme(s) of Tata Mutual Fund ('Fund') indicated in this application form.

(2) I/We am/are eligible Investor(s) as per the scheme related documents and am/are authorised to make this investment. The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of I/We am/are eligible investor(s) as per the scneme related documents and am/are authorised to make this investment. The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose or contravention and/or exactsion of any act, rules, regulations, notifications or directions issued by a regulatory authority in India.

The information given in / with this application form is true and correct and further agree to furnish such other further/additional information as may be required by the Tata Asset Management Limited (TAML)/ Fund and undertake to inform the MAC/ Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished from time to time.

That in the event, the above information and/or any part of it is/are found to be false/ untrue/misleading, I/We will be liable for the consequences arising therefrom.

I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Mutual Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/We hereby authorize you to share the account statement of the folio with the distributor / broker / advisor on record. (3) authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us. I/We hereby authorize you to share the account statement of the folio with the distributor /broker / advisor on record.
I/We will indemnify the Fund, AMC. Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.
The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.
I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment.
I/We agree that the unit balance(s) reflecting in the account statement is subject to realisation of Cheque accompanying the purchase request, PAN validation and KYC compliance.
For Foreign Nationals Resident in India only: I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.
For NRIs/ Plo/OCIs only: I/We confirm that my application is in compliance with applicable Indian and Foreign laws.
I/We hereby accord my/our consent to TATA AMC for receiving the promotional information/ material via email, SMS, telemarketing calls, etc. on the mobile number and email provided by me/us in this Application Form. (11) (12) Date:

1st Applicant Signature / 2nd Applicant Signature / 3rd Applicant Signature / Thumb Impression Thumb Impression Thumb Impression