

SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS - (SELF CERTIFICATION) FORM FOR INDIVIDUALS
Please consult a tax professional for further guidance regarding your tax residency for FATCA & CRS Compliance



Systematix ARN: 64917 EUIN: E-029678

MANDATORY FOR ALL INVESTORS

NAME															
PAN						or PAN Exempt KYC Ref. No. (PEKRN)									
CUSTOMER ID / FOLIO NO															
PLACE OF BIRTH															
NATIONALITY <input type="checkbox"/> Indian <input type="checkbox"/> U.S. <input type="checkbox"/> Others (Please specify)															
COUNTRY OF BIRTH															
Tax Residence Address (for KYC address)				<input type="checkbox"/> Residential				<input type="checkbox"/> Registered Office				<input type="checkbox"/> Business			
Are you a tax resident (i.e. are you assessed for Tax) in any country other than India ? <input type="checkbox"/> Yes <input type="checkbox"/> No															

If 'No' please proceed for the signature of declaration
If 'Yes' please fill for all countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green card Holder / Tax Resident in the respective countries

Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or Other, please specify)	If TIN is not available, Please tick the <input checked="" type="checkbox"/> reason A, B, or C (as defined)
			Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
			Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
			Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
			Reason A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>

Reason A : The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.
Reason B : No TIN required. (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)
Reason C: others; please state the reason thereof.

ADDITIONAL KYC INFORMATION

Occupation Details (Please tick <input 10"="" checked="" type="checkbox/>)</td> <td colspan="/> <input type="checkbox"/> Service <input type="checkbox"/> Student <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"> Business <input type="checkbox"/> Retired <input type="checkbox"/> Agriculture <input type="checkbox"/> Proprietorship <input type="checkbox"/> Others _____ (please specify) </input>											
Gross Annual Income (Rs.) (Please tick <input 10"="" checked="" type="checkbox/>)</td> <td colspan="/> <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1 - 5 Lacs <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> 10 - 25 Lacs <input type="checkbox"> >25 Lacs - 1 Crore <input type="checkbox"/> >1 Crore </input>											
OR											
Net-worth (Mandatory for Non-Individuals)		Rs. _____ as on <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (Not older than 1 year)									
Politically Exposed Person (PEP) Status*		<input type="checkbox"/> I am PEP <input type="checkbox"/> I am Related to PEP <input type="checkbox"/> Not Applicable									

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

CERTIFICATION

I hereby confirm that the information provided hereinabove is true, correct and complete to the best of my knowledge and belief and that I shall be solely liable and responsible for the information submitted above. I also confirm that I have read and understood the FATCA & CRS Terms and conditions below and hereby accept the same. I also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of the same being effective and also undertake to provide any other additional information as may be required any intermediary or by domestic or overseas regulators / tax authorities.

Signature:

Date:

Place : _____



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FATCA & CRS Terms & Conditions for Individual Accounts including Sole Proprietor

(Note: The Guidance Note / notification Issued by the CBDT shall prevail in respect to Interpretation of the terms specified in the form)

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointment agencies.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond, even if you believe you have already supplied any previously requested information.

FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)

Documentation required for Cure of FATCA / CRS Indicia

U. S. place of birth	<ol style="list-style-type: none">1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND3. Any one of the following documents; Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence / mailing address in a country other than India	<ol style="list-style-type: none">1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and2. Documentary evidence (refer list below)
Telephone number in a country other than India	<p>If no Indian telephone number is provided</p> <ol style="list-style-type: none">1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and2. Documentary evidence (refer list below) <p>If Indian telephone number is provided along with a foreign country telephone number</p> <ol style="list-style-type: none">1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

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