

TRANSACTION REQUEST FOR PURCHASE / SWITCH / REDEMPTION

BARODA PIONEER MUTUAL FUND



In case there is any change in your KYC information, please update the same by using the prescribed "KYC Change Request Form" and submit the same at the Point of Service of any KYC Registration Agency.

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Baroda Pioneer Mutual Fund)

Distributor / Broker ARN	Sub-Broker Code	Sub-Broker ARN	EUIN	LG Code	I H No. (K Bolt)	Date & Time Stamp
ARN:64917			E-029678		For Office use only	For Office use only

Upfront commission shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

1st Applicant Signature / Guardian Signature / POA Signature / Thumb Impression	2nd Applicant Signature / POA Signature / Thumb Impression	3rd Applicant Signature / POA Signature / Thumb Impression
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Important : Please strike off any unused portion of this sheet. **\$ TO BE SIGNED BY ALL UNIT HOLDERS IF MODE OF HOLDING IS JOINT. ALTERATIONS, IF ANY, SHOULD BE COUNTER SIGNED.**

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) / COMMON REPORTING STANDARD (CRS) / ULTIMATE BENEFICIAL OWNER (UBO) RELATED INFORMATION OF THE APPLICANT(S) (Please refer instruction 10 for details) It is mandatory to fill and sign the annexure relating to FATCA, CRS & UBO which forms part of this Forms.

Existing Folio Number

NAME OF THE UNIT HOLDER Name

ADDITIONAL PURCHASE (Please write your folio number and name on the reverse of the cheque/DD/Payment Instrument no.)

Amount (in ₹) Drawn on (Bank) Cheque/DD/Payment Instrument No./Online TRF ID

Scheme / Plan / Option

In case the Additional Purchase amount is ₹ 10,000 or more and your Distributor has opted in to receive Transaction Charges, ₹100/- will be deducted from the purchase amount and paid to the Distributor. Units will be issued against the balance amount invested - refer clause 7 for more details.

SWITCH REQUEST (Please any one only) All Clear Units No. of Units : Amount (in ₹)

From Scheme :

Plan / Option (Switch-Out Scheme for Switch Request)

To Scheme :

Plan / Option (Switch-In Scheme for Switch Request)

* Investors applying under Direct Plan must mention "Direct" against the Scheme name. Default Plan / Option will apply if the choice of Plan / Option is not indicated.

REDEMPTION Subject to Lock-in Period, if any (Please only one of the below options.)

Redeem All Clear Units No. of Units : Amount (in ₹)

Amount in words (₹)

IFSC Code for NEFT / RTGS (If not provided earlier for electronic payment)

Scheme / Plan / Option

Note : If a redemption request is submitted alongwith a request for changing the bank account details, the redemption will be processed into the registered/default bank account in the aforesaid scheme/folio and the request for changing the bank account details will not be processed.

* For investors who have registered for Multiple Bank Accounts facility in the above folio:

The redemption should be processed into the following bank account as per the payout mechanism indicated by me/us. (This bank account has already been registered in the folio):

Name of the Bank Account No.

* Important Note : If the bank account mentioned above is different from those already registered in your folio OR if the bank account details are not filled above, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. Baroda Pioneer Mutual Fund or Baroda Pioneer Asset Management Company Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered for the aforesaid folio.

Note : Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered Distributor) based on the investors' assessment of various including the service rendered by the ARN Holder. I/We have read and understood the contents of the scheme related documents and hereby apply for allotment of units in the Scheme. I/We agree to abide by the terms, conditions, rules & regulations governing the Scheme. I/We hereby declare that I/We am/are authorized to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any act, rule, regulation, notification or direction or any other applicable laws issued by the Government of India or any regulatory or statutory authority. I/We have understood the details of the Scheme and in the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/We hereby authorize the AMC to redeem the funds invested in the Scheme, in favour of the first applicant at the applicable NAV prevailing on the date of such redemption and to undertake such other action with such funds as may be required by law. I/We hereby authorise Baroda Pioneer Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s)/Baroda Pioneer Mutual Fund' bank(s) and/or Distributor/Broker/Investment Adviser. The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him/it for the different competing schemes of various mutual funds from amongst which the Scheme is being recommended to me/us. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated. If I/We have not ticked for not appointing a nominee, then the Application Form shall be processed as without nomination. Applicable for "Execution Only" transaction : I/We, the undersigned, hereby acknowledge and confirm that the above transaction is "Execution Only" as explained vide SEBI circular no. CIR /IMD/DF/13/2011 dated 22 August 2011. This investment is being made notwithstanding the advice of the appropriateness/inappropriateness of the same and the distributor has not charged any advisory fees on this transaction. Applicable for NRIs : I/We confirm that I am/we are Non-Residents of Indian nationality/origin but not residents of the United States and Canada and I/We hereby confirm that I/we have remitted funds from abroad through approved banking channels or from my/our monies in my/our domestic account maintained in accordance with applicable RBI guidelines.

1 st Applicant Signature / Guardian Signature / POA Signature / Thumb Impression	2 nd Applicant Signature / POA Signature / Thumb Impression	3 rd Applicant Signature / POA Signature / Thumb Impression
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\$ TO BE SIGNED BY ALL UNIT HOLDERS IF MODE OF HOLDING IS JOINT. ALTERATIONS, IF ANY, SHOULD BE COUNTERSIGNED.

ACKNOWLEDGEMENT (To be filled in by the Unit holder)

Folio No.

Sole / First Unit Holder

Scheme / Plan / Option :

ADDITIONAL PURCHASE Amount (in ₹) Cheque/DD/Payment Instrument no.

Drawn on (Bank) Bank Name

SWITCH REQUEST (Please any one only) All Clear Units No. of Units : Amount (in ₹)

Scheme / Plan / Option (Switch-In Scheme for Switch Request)

REDEMPTION All Clear Units No. of Units : Amount (in ₹)

TIME STAMP (For Office Use Only)

BARODA PIONEER MUTUAL FUND



