

Transaction Form for Existing Investors

This Form is for use by existing Unitholders of all funds of HSBC Mutual Fund only

Please use a separate Form for each Transaction

Broker Name & ARN code / RIA code [^]	Sub-broker ARN code	Sub code	EUIN
ARN: 64917			E-029678

No. CT

[^] By mentioning RIA code, I / we authorise you to share with the SEBI Registered Investment Adviser (RIA) the details of my / our transactions in the scheme(s) of HSBC Mutual Fund.

I/We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager/sales person of the distributor / sub broker.

Sole/1st applicant / Authorised Signatory	2nd applicant / Authorised Signatory	3rd applicant / Authorised Signatory
--	---	---

1 UNITHOLDER INFORMATION (Refer above note on 'Transaction Charges'. For details refer page 94 of the Common Scheme Information Document)Sole / First Unitholder's Name[^] Mr./ Ms./ M/sFolio No. Date of Birth[~]

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Are you a resident of USA/Canada? (✓) Yes ☐ No ☐ ^{††} Default

	Sole / First Unitholder	Guardian / POA	Second Unitholder	Third Unitholder
KYC Identification No. (KIN) ^{††}				
Aadhaar Number^{**}				
Where Aadhaar number has not been assigned: Please enclose -	<input type="checkbox"/> Proof of application of enrollment of Aadhaar	<input type="checkbox"/> Proof of application of enrollment of Aadhaar	<input type="checkbox"/> Proof of application of enrollment of Aadhaar	<input type="checkbox"/> Proof of application of enrollment of Aadhaar
PAN ^{**} (Mandatory)				
Proof enclosed (✓)	<input type="checkbox"/> PAN Card Copy	<input type="checkbox"/> PAN Card Copy	<input type="checkbox"/> PAN Card Copy	<input type="checkbox"/> PAN Card Copy

^{*} W.e.f. January 1, 2011, all the applicants need to be KYC Compliant irrespective of the amount invested (including switch). W.e.f. January 1, 2012, applicants who are not KYC compliant are required to complete the uniform KYC process. ^{††} W.e.f. February 1, 2017, New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. ^{**} As per the amendments to the Prevention of Money Laundering (Maintenance of Records) Rules, 2005 dated 1st June 2017, all unit holders including Joint Holders, Guardian and Power of Attorney Holders are required to submit their Aadhaar number or proof of Aadhaar application issued by the Unique Identification Authority of India and Permanent Account Number (PAN) to us. Non-individual investors have to submit the Aadhaar and PAN of the authorized signatory/ies. Non Resident Individuals are not required to provide Aadhaar. 1) For MF accounts opened prior to June 1, 2017 - before 31st December 2017. 2) For MF accounts opened on/after June 1, 2017 - before 31st December 2017. 3) For accounts opened on/after January 1, 2018 - Aadhaar and PAN are mandatory, without which the account will not be opened. [†] Please note that information sought here will be obtained from KRA also. In case of any differences, the KRA input will apply. ~ Transactions subject to rejection if minor has turned major and relevant documents for change in status not submitted. Refer SID/SAI for instructions related to folios held in the name of Minor.

2 ADDITIONAL PURCHASE (Please ✓ your choice of Scheme / Plan / Option / Sub-option) (See instruction 1-4)Scheme ☐ HEF ☐ HIOF ☐ HMEF ☐ HIEF ☐ HDF ☐ HTSF ☐ HEMF ☐ HAPDF ☐ HBF ☐ HMIP ☐ HIF-STP ☐ HIF-IP ☐ HUSBF ☐ HGCOF ☐ HFDF ☐ HCF ☐ HMS-C ☐ HMS-G ☐ HMS-MPlan Sub-Option ☐ Growth (default) ☐ Dividend Reinvestment^{ss} ☐ Dividend PayoutDividend Frequency ☐ Daily^{**} ☐ Weekly^{**} ☐ Monthly[#] ☐ Quarterly ☐ Fortnightly[^]

Investment Amount (₹) DD Charges (₹) Net Amount (Cheque / DD amount) (₹)

Mode of Payment Cheque / DD / Fund Transfer / Cheque/DD No. Dated

A/c. No. A/c. Type (✓) ☐ Current ☐ Savings ☐ NRO^{*} ☐ NRE^{*} ☐ Others (* For NRI Investors)

Drawn on Bank Branch City

Documents attached to avoid Third Party Payment Rejection where applicable: ☐ Third Party Declarations ☐ Bank Certificate for Pre-funded InstrumentsMANDATORY DECLARATION: The details of the bank account provided above pertain to my/our own bank account in my / our name ☐ Yes ☐ No. If no, my relationship with the bank account holder (✓) ☐ Parent ☐ Grandparent ☐ Employee ☐ Custodian ☐ Others (Please specify); and the Third Party declaration form is attached (Refer the section on Third Party Payments in the SAI).**3 REDEMPTION** (Please ✓ your choice of Scheme / Plan / Option / Sub-option) (See instruction 1, 2, 3 & 5)Scheme ☐ HEF ☐ HIOF ☐ HMEF ☐ HIEF ☐ HDF ☐ HTSF ☐ HEMF ☐ HAPDF ☐ HBF ☐ HMIP ☐ HIF-STP ☐ HIF-IP ☐ HUSBF ☐ HGCOF ☐ HFDF ☐ HCF ☐ HMS-C ☐ HMS-G ☐ HMS-MPlan Sub-Option ☐ Regular ☐ Institutional ☐ Institutional PlusSub-Option ☐ Growth ☐ Dividend Reinvestment ☐ Dividend PayoutDividend Frequency ☐ Daily^{**} ☐ Weekly^{**} ☐ Monthly[#] ☐ Quarterly ☐ Fortnightly[^]☐ Amount (₹ in figures) OR ☐ No. of Units ☐ All Units**4 BANK DETAILS FOR THE ABOVE REDEMPTION**

FOR INVESTORS WHO HAVE REGISTERED FOR MULTIPLE BANK ACCOUNTS FACILITY

The redemption should be processed into the following registered bank account as per the payout mechanism indicated by me/us:

Bank A/C No. A/c. Type (✓) ☐ Current ☐ Cash Credit ☐ Others

Bank Name

MICR Code IFSC Code

Important Note: The Bank account details mentioned above should be pre-registered as a valid bank mandate. If the account details are not filled above OR incorrect, the redemption will be processed into the "Default" bank account registered for the aforesaid folio. HSBC Mutual Fund or HSBC Asset Management (India) Pvt. Ltd. will not be liable for any loss arising to the unitholder(s) due to the credit of redemption proceeds into any of the bank accounts registered with us for the aforesaid folio.**FOR INVESTORS WHO HAVE NOT REGISTERED FOR MULTIPLE BANK ACCOUNTS FACILITY:** Please use the Multiple Bank Account Registration / Deletion Form.**Important Note:** If unitholder(s) provides a new and unregistered bank mandate with a specific redemption request (with or without necessary documents) such bank account will not be considered for payment of redemption proceeds. The redemption proceed shall be paid to the existing bank account registered at the time of redemption payout.^{*} HSBC Mutual Fund or HSBC Asset Management (India) Pvt. Ltd. will not be liable for any loss arising to the unitholder(s) due to credit of redemption proceeds into any of the bank accounts registered with is the aforesaid folio. Please refer to SID / SAI for process of change of bank details along with / before redemption.**5 CHANGE OF ADDRESS / E-MAIL / CONTACT DETAILS** (Address should be same as in KRA records)

For investors who are not KYC compliant: (For Proof of Identity & Proof of Address: Self attested with originals produced to AMC ISC for verification (or) Self-attested along with attestation by KYD compliant distributor or a competent authority as outlined in Uniform KYC guidelines)

New Address for correspondence: Address is (Please ✓): ☐ Home ☐ OfficeCity Pin Code [‡]State Country [‡]

Proof of address to be provided by Applicant: Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

☐ Passport ☐ Ration Card ☐ Registered Lease / Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ *Latest Bank Statement/Passbook☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others (Please specify) *Not more than 3 months old**Proof of Identity** PAN ☐ UDI (Aadhar Card) ☐ Passport ☐ Voter Identity Card ☐ Driving License ☐ Others (Please specify)Please enclosed a duly attested copy of your PAN Card. [‡] Please refer to point 10 & 11 under General Instructions.**Contact Details:** Phone O Extn. Fax R Mobile

E-mail

FOR INVESTORS WHO HAVE COMPLIED KYC Please submit Change of address request in the prescribed format alongwith supporting documents as outlined under uniform KYC guidelines**ACKNOWLEDGEMENT SLIP** (To be filled by the investor) This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

Folio No. Name Received from the above mentioned investor the following: No. CT

☐ Additional Purchase Scheme Total Amount (Rs.)

Cheque No(s) Drawn on

☐ Redemption or ☐ Switch Amount (Rs.) OR Units☐ STP ☐ SEP ☐ Change of Address ☐ Nomination

Please Note: All purchase are subject to realisation of instruments. All transaction processing is subject to final verification. ISC Stamp, Signature & Date

VSTS
Corporation
C. 1997, 1998