

SIP Registration cum Mandate Form

(For investment through NACH/ ECS/ SI/ Auto Debit)

Application No. _____

DISTRIBUTOR INFORMATION & APPLICATION RECEIPT DATE

Distributor Name & ARN No.	Sub-Broker Code	Employee Unique Identification No.*	Date & Time of Receipt
ARN: 64917		E-029678	

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor. I/We the account holders with the Bank as per details given below hereby request and authorise the Bank to accept this ECS mandate executed by me/ us in favour of IIFL Mutual Fund and submitted by them or through their authorised service provider under RBI ECS debit procedures. I/We further request and authorise the bank to debit my/ our account to honor the periodical payment contribution requests presented by the service provider. Various details of Bank account and Periodical payment are furnished below:

BENEFICIARY DETAILS:

Name of the Beneficiary : IIFL Mutual Fund
 Scheme Name: _____ Option: _____
 Folio Number/ Application No.: _____
 Investor's name: _____

REQUEST FOR:

Registration of SIP Renewal of SIP Change in Bank details
 Change in SIP Amount Change in SIP Date Cancellation of SIP

PERIODIC PAYMENT DETAILS:

Date of effect (tick applicable date): 1st 7th(Default) 14th 21st Amount of installment: Rs. _____
 Installment Start date: [D][D][M][M][Y][Y][Y][Y] Installment End date: [D][D][M][M][Y][Y][Y][Y] Frequency: Monthly Quarterly Type: Regular Perpetual
 2nd Installment Last Installment

DECLARATION :

I/We wish to inform you that I/We have registered for the subject scheme for the contribution payment to the IIFL Mutual Fund as per account details as above by debit to said Bank account. I declare that the particulars given above are correct and complete. I/We agree to discharge the responsibility expected of me as a participant under the Electronic Debit arrangement of the SIP facility. I/We hereby authorise the beneficiary or their authorised Service Providers to get this mandate lodged with bank / get verified and further execute by raising debits on the applicable dates. If the mandate is not lodged / transaction is not collected or delayed for reasons beyond control of the IIFL Mutual Fund/ service provider or on account of incomplete or incorrect information, I/We shall not hold them responsible. I/We shall keep indemnified for claims and actions, that IIFL Mutual Fund/ service provider may incur, for execution of transactions in conformity with this mandate.

AUTHORISATION :

I/We hereby request and authorise the Bank to honor the periodic debit instructions raised as above and cause my account to be debited accordingly. Charges, if any, for mandate verification may be debited to my account. I hereby undertake to keep sufficient funds in the account well prior to the applicable date and till the date of execution. If the date of debit happens to be a holiday or non working day for the bank or location, the debit may happen on any subsequent working day. Debited contributions may be passed on to the IIFL Mutual Fund / Service Provider as per rules, procedures and practices in force.. I/We shall not dispute any debit raised under this mandate and as specified therein and during or for the validity period. I/We shall keep indemnified for claims that Bank may incur for reason of execution in conformity with this mandate.

SIGNATURE/S AS PER IIFL MUTUAL FUND RECORDS (MANDATORY):

Sole /1st AccountHolder's Signature	2nd Account Holder's Signature	3rd Account Holder's Signature

Mandate Form for NACH/ECS/DIRECT DEBIT (Applicable for Lumpsum Additional Purchases as well as SIP Registrations)

UMRN: [XX] Date: [D][D][M][M][Y][Y][Y][Y]

Tick (✓) Sponsor Bank Code: [XXXXXXXXXXXX] Utility Code: [XXXXXXXXXXXX] FOR OFFICE USE ONLY
 CREATE MODIFY CANCEL
 I/We hereby authorise **IIFL MUTUAL FUND** to debit (tick ✓) SB/ CA/ CC/ SB-NRE/ SB-NRO/ Other _____

Bank a/c number: _____ with Bank: **NAME OF INVESTOR'S BANK** IFSC: _____ or MICR: _____
 an amount of Rupees: _____ ₹

FREQUENCY: Monthly Quarterly Half Yearly As & when presented DEBIT TYPE: Fixed Amount Maximum Amount

Reference 1: FOLIO NO. _____ Phone No. _____
 Reference 2: APPLICATION NO. _____ Email ID _____

I Agree for the debit of mandate processing charges by the bank whom I am authorising to debit my account as per latest schedule of charges of the bank.

PERIOD: From [D][D][M][M][Y][Y][Y][Y] To [D][D][M][M][Y][Y][Y][Y] Or Until Cancelled
 Signature of Primary Account Holder _____ Signature of Account Holder _____ Signature of Account Holder _____
 1. _____ Name as in Bank Records 2. _____ Name as in Bank Records 3. _____ Name as in Bank Records

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorise to make payments referred above through participation in NACH/ECS/Direct Debit/Standing Instructions. I/We hereby confirm adherence to the terms of Mandate Facility offered by IIFL Mutual Fund and as amended form time to time and of NACH/ECS (Debits)/Direct Debits/Standing Instructions.

Authorisation to Bank: This is to inform that I/We have registered for ECS/NACH (Debit Clearing) I Direct Debit I Standing instructions facility and that my/our payment towards my/our investment in IIFL Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We authorise the representatives of IIFL Mutual Fund carrying this mandate form to get it verified and executed. I/We authorise the bank to debit my account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable.

The above Mandate needs to be submitted only once for registration with or without SIP form. Once the mandate is registered, investor need not submit mandate again and can do lump sum investments, start new SIP registration, using Physical Forms.

SIP Acknowledgement Slip Investor Name: _____ Scheme Name: _____	IIFL Mutual Fund Folio No./ Application No. _____ Plan: _____ Option: _____ Amount: _____	ISC Stamp
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SIP payment through NACH/ECS/SI/Auto Debit

- 1) The bank account provided for NACH/ECS/SI/Auto Debit should be participating in MICR and NACH clearing respectively.
- 2) In case of "At Par" cheques, investors need to mention the MICR number of his/her actual bank branch. In case MICR code is not provided or incorrect code is mentioned on the application form, the application for SIP will be liable to be rejected.
- 3) The investor agrees to abide by the terms and conditions of NACH/ECS/Direct Debit facility of Reserve Bank of India/Banks. IIFL Mutual Fund/ IIFL Asset Management Limited (IIFL AMC), its registrars and other service providers shall not be held responsible or will not be liable for any damages and will not compensate for any loss, damage etc. incurred to the investor. The investor assumes the entire risk of using this facility and takes full responsibility. Investor will not hold IIFL AMC, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of Auto Debit/ local holidays.
- 4) Please read the Key Information Memorandum, Statement of Additional Information (SAI) and Scheme Information Document (SID) of respective Scheme(s) carefully before investing.
- 5) Existing investors are not required to submit the Investment Application form at least 30 days before the first SIP Installment date for SIP Registration. The date of subsequent SIP Installment shall be 1st, 7th, 14th & 21st of a particular month, after completing a minimum time gap of 30 days from the date of allotment of the 1st SIP Installment amount.
New Investors are required to submit the following documents:
 - a) Investment Application Form with SIP Registration Form.
 - b) The Initial investment amount cheque should be issued from the same bank account which is to be debited under ECS/ Direct Debit for SIP installments.
 - c) A photo copy/ cancelled cheque from ECS Debit Account (as mentioned on the application form should be submitted along with other requirements.
- 6) An investor can opt for Monthly or Quarterly frequency. SIP Auto debit is available only on specific dates of the month i.e. 1st or 7th or 14th or 21st. In cases where an investor opts for SIP facility without mentioning the date of installment, 7th will be considered as the default date. An investor shall have the option of choosing for 1 or more than 1 SIP in the same scheme and in the same month. SIP debit dates shall be 1st, 7th, 14th or 21st. To register multiple SIPs in the same scheme/ same month, please submit separate SIP Registration Form.
- 7) If the SIP Debit is a non- Business Day for the scheme, then the units shall be allotted on the next Business Day.
- 8) For SIP through monthly option - Rs.1000/- per month for a minimum period of six months. For Quarterly Option - Rs.1500/- per quarter for a minimum period of 4 quarters. Investments above the minimum amount mentioned, shall be made in multiples of Rs.100/- for all SIP irrespective of frequency of SIP or the Option.
- 9) Modification/Cancellation of SIP : You can request for a modification/cancellation of your SIP at any time. Your modification/cancellation request should be submitted 30 days prior the next Auto Debit date. Any modification request should be accompanied by : (i) A new SIP duly filled in and carrying the revise SIP request details (ii) A written and signed confirmation for discontinuance of existing SIP.
- 10) For MICRO SIP maximum SIP amount of Rs.50000/- per year per investor shall be exempt from the requirement of PAN.
- 11) SIP is available in selected schemes of IIFL Mutual Fund. The initial cheque should be drawn in favor of the "Name of The Scheme A/c. First Investor Name" or "Name of The Scheme A/c. Permanent Account Number" or "Name of The Scheme A/c. Folio Number" and marked "Account Payee" payable locally in the city where the application is submitted. Post dated or outstation cheques/ draft are not permitted. Application received with outstation cheque/ demand draft shall be rejected.
- 12) "Perpetual SIP" will be the default option in case end date for enrolment period is not provided. In case an investor, who has opted for Perpetual SIP, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished. In case the SIP end date is not mentioned while submitting the application, the SIP mandate will be construed to be perpetual, till instruction to the contrary is received from the investor.
- 13) Allotment of units would be subject to realization of credit.
- 14) The Unit holders can choose to opt out from the SIP at any point of time by submitting a written request to the nearest investor service center. Such request for discontinuation should be received at least 30 days prior to the next due date of the SIP. On receipt of such a request, the SIP will be discontinued for the respective scheme(s).
- 15) The SIP Registration Form along with Application Form in all respects should be submitted at any of the Investor Service Centers.
- 16) Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the existing Account.
- 17) IIFL AMC reserves the right to reject any application without assigning any reason thereof. IIFL AMC in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
- 18) If the investment is done on behalf of a minor then the guardian cannot undertake any financial and non-financial transactions including fresh registration of Systematic Investment Plan (SIP) and any other options, after the date of the minor attaining majority till the documents for the change in status are provided. In case of existing SIP registered prior to the minor attaining majority, the systematic investment will be continued to be processed beyond the date of the minor attaining majority and till the time a instruction from the major to terminate the SIP is received along with the required documents for change in the status of the folio.
- 19) SIP enrollment will be discontinued by IIFL Asset Management Limited (AMC) in case [a] the SIP installment is not honored consecutively for three SIP installments [b] the Bank account [for ECS (Debit Clearing) is closed and the request for change in bank account / Bank Branch is not submitted by the concerned unit holder at least 30 working days before the due date of next SIP installment.
- 20) Investors will not hold AMC, IIFL Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP start or end date.
- 21) The SIP facility would be made available by the company through a system made available through Bill Desk payment services provided by India Ideas.com Ltd.

Instructions to fill Auto Debit Form and Terms & Conditions :**• Following fields need to be filled mandatorily :**

- 1.Date : in format DD/MM/YYYY
- 2.Bank A/c Type : Tick the relevant box
- 3.Fill bank account number
- 4.Fill name of Destination Bank
- 5.IFSC/MICR code : Fill respective code
- 6.Default is maximum amount
- 7.Reference 1 : Mention Folio number
- 8.Reference 2 : Mention Application number
- 9.Period : Starting date of NACH registration (in format DD/MM/YYYY)
- 10.Telephone number : Optional
- 11.E-mail ID
- 12.Signature as per bank account
- 13.Name : Mention Holder name as per Bank record