

Transmission Request Form
(In case of death of the sole holder)

Application No.		Date																	
-----------------	--	------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please fill all the details in Block Letters in English)

To,
Systematix Shares and Stocks (I) Ltd.
2nd Floor, J.K Somani Bldg.
British hotel lane, Fort
Mumbai 400001.

Dear Sir / Madam,

PART – I : (where nomination is recorded)

I, Nominee / Successor/ Guardian of the successor or nominee (in case of Minor) request you to transmit the following securities due to the death of the sole account holder. Original Death Certificate / copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

Name of the deceased BO:

Account Number of the deceased BO:

DP ID		1	2	0	3	4	6	0	0	Client ID									
-------	--	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--

Kindly transmit all securities in the deceased BO's account mentioned above to the BO account mentioned below.
Successor BO Account Number

DP ID										Client ID									
Name																			

Details of Transmission			
Sr. No	Name of the Security	ISIN	Quantity of securities to be transmitted

Attach an annexure duly signed by the Nominee / Successor / Guardian of the successor or nominee (in case of Minor), if the space above is insufficient.

(Nominees / Successor / Guardian of successor or nominee (in case of Minor))

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature			

PART – II : (where nomination is not recorded)
No Objection Statement from other heirs/successors who are non-applicants

1. I/We, the undersigned, residing at _____, am/are legal heir(s) of the said deceased.
2. I/We do not desire to make any claim of title of the said securities and have no objection whatsoever in transmitting the said securities in the name(s) of Mr. / Mrs. _____ who has/have opened a beneficial owner account(s) under Client ID _____ and DP ID _____.
3. In consideration of registration of the aforesaid securities in the client account of Mrs. / Mrs. _____ under DP ID _____ Client ID _____ at my request, I/We hereby renounce all my/our rights existing as well as those that may accrue to me/us in future in respect of the aforesaid securities.

Signed in the presence of

_____ **Bank Manager**

_____ **Signature of the legal heir**

Full Name and Address of Bank Manager:

Name : _____
 Address : _____

Note for all legal heirs/successors who are applicants / non-applicants:
 Only one Transmission Request Form is to be submitted by claimants/non-claimants to the DP of the deceased BO for the transmission of securities wherein the intentions of the legal heirs/successors are collectively stipulated.

======(Please tear here)=====

Acknowledgement Receipt

Application No.

Date: -

We hereby acknowledge receipt of the instructions for transmission of securities from the deceased BO's account to the account of the Nominee / Successor / Guardian of the successor or nominee (in case of Minor), as per details given on the transmission form.

Account number of the deceased BO

DP ID	1	2	0	3	4	6	0	0	Client ID								
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--

Successor BO Name(s)		
First / Sole Holder	Second Holder	Third Holder
Documents Submitted		

Subject to verification.

Depository Participants Seal & Signature

Transmission Request Form
(In case of death of one / more of the joint holders)

Application No.		Date												
-----------------	--	------	--	--	--	--	--	--	--	--	--	--	--	--

(Please fill all the details in **Block Letters** in English)

To,
Systematix Shares and Stocks (I) Ltd.
2nd Floor, J.K Somani Bldg
British hotel lane, Fort
Mumbai 400001

Dear Sir / Madam,

I / We, the joint holder(s) / Successors request you to **transmit** the securities balance from:

DP ID		1	2	0	3	4	6	0	0	Client ID									
-------	--	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--

To

DP ID		1	2	0	3	4	6	0	0	Client ID									
-------	--	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--

Due to the death of _____ (Name of the deceased account holder(s)).
Original Death Certificate / copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

	First / Sole Holder	Second Holder
Name(s) of the surviving holder(s)		
Signature(s) of the surviving holder(s)		

===== (Please tear here) =====
Acknowledgement Receipt

Application No.

Date: -

We hereby acknowledge the receipt of the following instructions for transmission form:

DP ID		1	2	0	3	4	6	0	0	Client ID									
-------	--	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--

To

DP ID		1	2	0	3	4	6	0	0	Client ID									
-------	--	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--	--

Surviving Holder(s) Name(s)	
First/Sole Holder	Second Holder
Documents Submitted	

Subject to verification.

Depository Participants Seal & Signature

Affidavit

I, _____ son/daughter/spouse of _____ residing at _____ do hereby solemnly affirm an oath and state as under: -

1. That Mr. / Mrs. _____ the deceased was holding a Client Account No. _____ with _____ a Depository Participant having DP ID _____. The said deceased was holding the following securities:

ISIN	Name of Company	Number of securites

2. That the deceased had died intestate on _____ at _____.

3. That the following are the only legal heir(s) of late Mr. / Mrs. _____.

	Name	Address	Age	Relationship with the deceased
1				
2				
3				

4. That out of aforesaid legal heirs Master / Kumari _____ aged _____ years is a minor and he / she is being represented by his / her father/mother and natural guardian Mr. / Mrs. _____.

5. That all the legal heirs of my deceased _____ have applied to _____ (DP name) to register the aforesaid securities in my/our individual/joint beneficial owner account and have executed a Letter of Indemnity in favour of the Participant/CDSL holding the Participant / CDSL indemnified against any loss, cost, expenses or damages which may be caused to them in consequence of any claim which may be made by or on behalf of any person claiming any interest in the said shares.

DEPONENT

VERIFICATION

I hereby solemnly affirm and say that what is stated herein above is true to my knowledge and nothing has been concealed therein and that I am competent to contract and entitled to rights and benefits of the above securities.

Solemnly affirmed at _____ on the _____ day of _____ of _____.

Full Name and Address of Magistrate / Notary

Signed in the presence of
Name : _____

Address : _____

City _____ Pin _____

Registration No : _____

(Signature of Magistrate / Notary)

Use space below to affix:

Notarial / Court Fee Stamp	Official Seal of Magistrate / Notary
-----------------------------------	---

Notes:

1. This affidavit is to be executed in the presence of a first class or stipendiary Magistrate / Public notary / Judicial.
2. Each deponent should sign this affidavit separately.

Letter of Indemnity

To,
Systematix Shares and Stocks (I) Ltd.
2nd Floor, J.K Somani Bldg.
British hotel lane, Fort
Mumbai 400001.

Dear Sirs,

Sub: Transmission of securities standing in the name of Late Mr/Mrs. _____.

I/We hereby inform you that Mr./Mrs. _____ the deceased, was holding a Client account no. _____ with _____ a Depository Participant having DP ID _____. The said deceased BO was holding the following securities:

ISIN	Name of Company	Number of securites

The said deceased died intestate without leaving a Will on the ____ day of _____. We further inform you that he/she left behind him/her only surviving heirs and next of kin, the following persons according to the Law of Intestate Succession application to him/her by which he/she was governed at the time of his/her death.

(a) _____

(b) _____

(c) _____

We have, therefore, approached you with a request to transfer the aforesaid securities in the name of the undersigned Mr. / Mrs. / Ms. _____ on my/our behalf without insisting on the production of a Succession Certificate or an Order of the Court of competent jurisdiction and you have kindly agreed to do so on my/our executing an indemnity as is herein contained and on relying on the information herein given by us believing the same to be true.

In consideration, therefore, of your having at our request agreed to transfer securities to the name of the undersigned _____, I / we hereby jointly and severely agree and undertake to indemnify and keep indemnified, saved, defended, harmless you and your successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, transferring the said securities as herein above mentioned, to the undersigned

_____ without insisting on production of a Succession Certificate or an Order of the Court of competent jurisdiction.

IN WITNESS WHEREOF THE said _____ have here unto set their
[Name(s) of applicant(s)]

respective hands and seals this _____ day of _____ of _____.

Signed and delivered by the said applicant(s)

Signature(s) of applicant(s)

Date: _____

Place: _____

(Signature of Magistrate/Notary)

Full Name and Address of Magistrate /Notary:

Name : _____

Address : _____

PIN _____

Registration No : _____

Use space below to affix:

Notarial / Court Fee Stamp	Official Seal of Magistrate / Notary

Note: This indemnity is to be executed in the presence of a first class or stipendiary Magistrate / Public notary / Judicial.