

Important Instructions:

- A. Fields marked with "*" are mandatory fields.
- B. Tick " " wherever applicable.
- C. Please fill the form in English and BLOCK letters.
- D. Please fill the date in DD-MM-YY format.
- E. For particular section update, please tick () in the box section number and strike off the sections not required to be updated.
- F. Please read section wise detailed guide
- G. List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H. List of two character ISO 3166 country codes is available at the end.
- I. KYC number of applicant is mandatory for update application.
- J. The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

ARN-64917 E434563

For office use only (To be filled by financial institution)	Application Type*	<input type="checkbox"/> New	<input type="checkbox"/> Update
	KYC Number	<input style="width: 100%;" type="text"/>	
	Account Type*	<input type="checkbox"/> Normal	<input type="checkbox"/> Minor
		<input type="checkbox"/> Aadhaar OTP based E-KYC (in non-face to face mode)	

1. Personal Details (Please refer instruction A at the end)

	Prefix	First Name	Middle Name	Last Name
<input type="checkbox"/> Name* (Same as ID proof)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father / Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mother Name	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of Birth*	<input style="width: 100%;" type="text"/>			
Gender*	<input type="checkbox"/> M- Male <input type="checkbox"/> F- Female <input type="checkbox"/> T- Transgender			
PAN*	<input style="width: 100%;" type="text"/> <input type="checkbox"/> FORM 60 furnished			
Marital Status*	<input type="checkbox"/> Married <input type="checkbox"/> Unmarried <input type="checkbox"/> Others			
Citizenship*	<input type="checkbox"/> IN- Indian <input type="checkbox"/> Others – Country _____ Country Code <input type="text"/>			
Residential Status*	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin			

2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/> A-Passport Number	<input type="text"/>	Passport Expiry Date	<input style="width: 100%;" type="text"/>
<input type="checkbox"/> B-Voter ID Card	<input type="text"/>		
<input type="checkbox"/> C-Driving Licence	<input type="text"/>	Driving Licence Expiry Date	<input style="width: 100%;" type="text"/>
<input type="checkbox"/> D-NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> E-National Population Register Letter	<input type="text"/>		
<input type="checkbox"/> F-Proof of Possession of Aadhaar	<i>No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer</i>		
II <input type="checkbox"/> E-KYC Authentication	<i>No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer</i>		
III <input type="checkbox"/> Offline verification of Aadhaar	<i>No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer</i>		

PHOTO*

Signature /Thumb Impression across photo without covering the face

Address [For other than resident Individual, please mention Overseas Address]

Line 1*	<input style="width: 90%;" type="text"/>
Line 2	<input style="width: 100%;" type="text"/>
Line 3	<input style="width: 100%;" type="text"/>
District*	<input type="text"/>
	Pin/Post Code* <input type="text"/> City/Town/Village* <input type="text"/>
	State/U.T Code* <input type="text"/> ISO 3166 Country Code* <input type="text"/>

3. CURRENT ADDRESS DETAILS (Please refer instruction B at the end)

Same as above mentioned address (In such cases address details as below need not be provided)

I. Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

<input type="checkbox"/> A-Passport Number	<input type="text"/>
<input type="checkbox"/> B-Voter ID Card	<input type="text"/>
<input type="checkbox"/> C-Driving Licence	<input type="text"/>
<input type="checkbox"/> D-NREGA Job Card	<input type="text"/>
<input type="checkbox"/> E-National Population Register Letter	<input type="text"/>
<input type="checkbox"/> F-Proof of Possession of Aadhaar	<i>No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer</i>
II <input type="checkbox"/> E-KYC Authentication	<i>No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer</i>
III <input type="checkbox"/> Offline verification of Aadhaar	<i>No need to attach. Aadhaar card. If submitted, Aadhaar Number to be masked by the customer</i>
IV <input type="checkbox"/> Deemed Proof of Address – Document Type code	<input type="text"/>

Address

Line 1*	<input style="width: 90%;" type="text"/>
Line 2	<input style="width: 100%;" type="text"/>
Line 3	<input style="width: 100%;" type="text"/>
District*	<input type="text"/>
	Pin/Post Code* <input type="text"/> City/Town/Village* <input type="text"/>
	State/U.T Code* <input type="text"/> ISO 3166 Country Code* <input type="text"/>



SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS - SELF CERTIFICATION FORM FOR INDIVIDUALS

(Please consult your professional tax advisor for further guidance on your tax residency and related FATCA and CRS guidelines)

This form is to be filled by each unit holder separately

A. APPLICANT / GUARDIAN

PAN		Folio No.	
Name			
Gender	M F O	Occupation Type	Service Business Others
Father's Name			
Spouse's Name			
Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes			
Type of address given at KRA	<input checked="" type="checkbox"/> Residential or Business	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Business <input checked="" type="checkbox"/> Registered Office
Permissible documents are	<input type="checkbox"/> Passport <input type="checkbox"/> Election ID Card <input type="checkbox"/> PAN Card <input type="checkbox"/> Govt. ID Card <input type="checkbox"/> Driving License <input type="checkbox"/> UIDAI Card <input type="checkbox"/> NREGA Job Card <input type="checkbox"/> Others		
Date of Birth	D D M M Y Y Y Y	Place of Birth	
Country of Birth		Nationality	
E-mail ID			(Provide if not given)
Mobile			(Provide if not given)

Are you a tax resident of any country other than India? Yes No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below.

Country [#]	Tax Identification Number [%]	Identification Type (TIN or Other, please specify)

[#]To also include USA, where the individual is a citizen/ green card holder of The USA

[%]In case Tax Identification Number is not available, kindly provide its functional equivalent⁵

B. ADDITIONAL KYC INFORMATION

Occupation Details [Please tick (✓)]

- | | | | | |
|--|--|---|--|---|
| <input checked="" type="checkbox"/> Service | <input checked="" type="checkbox"/> Private Sector | <input checked="" type="checkbox"/> Public Sector | <input checked="" type="checkbox"/> Government Service | <input checked="" type="checkbox"/> Student |
| <input checked="" type="checkbox"/> Professional | <input checked="" type="checkbox"/> Housewife | <input checked="" type="checkbox"/> Business | <input checked="" type="checkbox"/> Retired | <input checked="" type="checkbox"/> Agriculture |
| <input checked="" type="checkbox"/> Proprietorship | <input checked="" type="checkbox"/> Other _____ (please specify) | | | |

Gross Annual Income (₹) [Please tick (✓)]

- Below 1 Lac
 1 - 5 Lacs
 5 - 10 Lacs
 10 - 25 Lacs
 >25 Lacs - 1 Crore
 >1 Crore

Net-worth (Mandatory for Non-Individuals)

Rs. _____ as on DD/MM/YYYY (Not older than 1 year)

Politically Exposed Person (PEP) Status*

- I am PEP
 I am Related to PEP
 Not Applicable

*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

C. DECLARATION

I have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Kotak Mahindra Asset Management Company Limited/ Kotak Mahindra Mutual Fund/ Trustees for any modification to this information promptly. I further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)'.

Signature

Date	D	D	M	M	Y	Y	Y	Y
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Place																			
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Applicant/ Guardian

FATCA & CRS TERMS & CONDITIONS

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	<ol style="list-style-type: none"> Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality" or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/ mailing address in a country other than India	<ol style="list-style-type: none"> Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)
Telephone number in a country other than India	<p>If no Indian telephone number is provided</p> <ol style="list-style-type: none"> Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) <p>If Indian telephone number is provided along with a foreign country telephone number</p> <ol style="list-style-type: none"> Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR Documentary evidence (refer list below)
Standing instructions to transfer funds to an account maintained in a country other than India (other than depository account)	<ol style="list-style-type: none"> Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- Certificate of residence issued by an authorized government body*
- Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident

AMC CONTACT ADDRESS & CALL CENTER DETAILS

Kotak Mahindra Asset Management Company Ltd.

6th Floor, Kotak Infinity, Building No. 21, Infinity Park, Off Western Exp Highway, Goregaon-Mulund Link Road, Malad (E), Mumbai - 400097.

To know more about mutual funds, Visit: www.kotakmf.com • Toll Free Number: 18003091490/ 044-40229101 • mutual@kotak.com

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