# 62 kotak

### Systematic Investment Plan Form - Top-up Facility

Mutual Fund  Distributor's ARN/ RIA Code*										•				
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#### TERMS AND CONDITIONS

#### Systematic Investments - Snapshot

Schemes where SIPs are allowed	All open-ended schemes except Kotak Liquid Fund, Kotak Overnight Fund and all Exchange Traded Funds (ETF)
SIP Frequency	Monthly or Quarterly. In case of Quarterly SIP, only the Yearly frequency is available under SIP Top-Up.
Choice of Date	Any date from 1st to 31st of a given month/ quarter. In case the chosen date is not available /non-business day, the SIP transaction will be processed on the immediate next Business Day.
Minimum Investment	100/- and any amount thereafter and Rs. 500 & in multiples of Rs. 500 in case of Kotak Tax Saver
Minimum Installments	Subject to a minimum of 10 SIP installments of Rs. 100/- each
First Auto Debit & Subsequent SIPs	First Auto Debit shall be processed on the chosen date/ default date. However, there has to be a minimum gap of 28 days between the date of submission and First Auto Debit Date.

#### **General Instructions**

- Please refer the Key Information Memorandum and Offer Document/ SAI/ SID of the respective scheme(s) for Applicable NAV, Risk Factors, Load Structure and
- other information before investing.

  (i) Existing unitholders need not fill in the Investment Application Form. However, they must compulsorily mention their Folio Number in the Systematic Investment Folio Number in the Systematic
- Investment Form.

  (ii) New Applicants need to compulsorily fill in all sections in the Investment Application Form in addition to Systematic Investment Form. The Application No. must be compulsorily mentioned in the Systematic Investment Form. In respective of the investment amount please furnish your PAN details and enclose a photocopy of PAN Card for all applications. In case of minor applicants, please furnish the PAN details and PAN proof of the Guardian. The funds should be sourced from the minor's bank account. KYC compliant is mandatory, irrespective of the amount of investment.

  (i) First SIP Installment: Your first SIP can be for any day of the month, however subject to the condition that, there shall be a minimum and 728 day between
- subject to the condition that, there shall be a minimum gap of 28 day between the first and the second SIP.
  - (ii) Second & subsequent SIP Installment : Your second and subsequent SIPs are (u) section as subsequent is in installment. Tour section a first subsequent is in a real available only on above specified dated of the month. You can choose only one of these dates for the purpose of SIP. In case the chosen date turns out to be a non-working day for the scheme, the SIP will be processed on the immediately following working day.

    We would send you an Account Statement confirming your systematic

- investment within 10 working days from the date of your first systematic investment transaction. Confirmation for subsequent Systematic Investments would be sent to you as CAS (Consolidated Account Statement) on monthly basis.
- Incorrect and ambiguous forms will not be accepted and rejection for the same shall be communicated to the investor
- incorrect and arribiguous forms will not be accepted and rejection for the same shall be communicated to the investor.

  Kotak Mahindra Mutual Fund, its Investment Manager, Trusties, Registrar and other service providers will not be liable for any transaction failures due to rejection of the transaction by your bank/ branch or its refusal to register the SIP mandate.

  You will not hold Kotak Mahindra Mutual Fund, its Investment Manager, Registrar and other service providers responsible if the transaction is delayed or not effected or your bank account is debited in advance or after the specific SIP date due to various clearing cycles of ECS. Kotak Mahindra Mutual Fund, its Investment Manager, Registrar and other service providers responsible and liable for any damages / compensation for any loss, damage, etc. incurred by you as a result of use of this facility by you.

  If you have not indicated any of your SIP preference completely in the form, or incase of any discrepancy, we would presume the same as below:

  SIP Frequency: Monthly, SIP Date: 7th, End Date: OTIM mandate end period; Scheme Name: same as mentioned on SIP form.
- 10.
- TRANSACTION CHARGES
  Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011,

- transaction charge per subscription of Rs. 10,000/- and above be allowed to be paid to the distributors of the Kotak Mahindra Mutual Fund products. The

- paid to the distributors of the Kotak Mahindra Mutual Fund products. The transaction charge shall be subject to the following:

  For existing investors (across mutual funds), the distributor shall be paid Rs. 100/-as transaction charge per subscription of Rs. 10,000/-& above.

  For first time investors, facross Mutual Funds), the distributor shall be paid Rs. 150/-as transaction charge for subscription of Rs. 10,000/-& above.

  The transaction charge shall be deducted by Kotak AMC from the subscription amount & paid to the distributor (will be subject to statutory levies, as applicable) & the balance amount shall be invested.

  In case off Systematic Investment Plan(s), the transaction charge shall be applicable only if the total commitment through SIPs amounts to Rs. 10,000/- & above. In such cases the transaction charge shall be recovered in first 3/4 successful installments.

  Transaction charges shall not be deducted/applicable for:

  (a) Transaction other than purchases/subscriptions such as Switch/Systematic Transfer Plan (STP)/ Transfer of Income Distribution cum capital withdraway plan (IDCVV), etc.

- withdrawal plan (IDCW), etc.
- winniawan justif (UC-W), etc.

  (b) PurchaseSSUbscriptions made directly with the Fund without any ARN code.

  (c) Distributors who have chosen 'Opt Out' of charging the transaction charge.

  One Time Debit Mandate Form can be used for Systematic Purchase as well as
  Lump Sum Purchase 12.

#### SIP Payment through OTM / NACH/ Auto Debit

- Instructions for SIP Payment registered through NACH/ECS/SI/Auto Debit

  1. The bank account provided for SIP to be registered through NACH/ECS/SI/Auto Debit should be participating in NACH and MICR clearing respectively.

  2. In the case of failure of SIP credit due to Account closed SIP will be ceased after one Failure. In the case of failure of SIP Credit for any other reasons SIP will be account of the failure of SIP Credit for any other reasons SIP will be accounted for four consecutive Failures. eased after four consecutive Failures.
- The TAT for SIP Debit Mandate Form registration (with or without initial cheque) will be 28 days. Therefore, there has to be a minimum gap of 28 days between date of submission of SIP Mandate and subsequent SIP Auto Debit. Incase, the gap is less than 28 days, the SIP shall be registered from the subsequent SIP
- Date:

  In case of incomplete SIP Debit Mandate Forms or SIP Registration pending due to technical problems at NPCI/ Bankers end, AMC may seek remediation process to obtain incomplete details. In such cases registration process may exceed 30 days and hence may also impact processing of subsequent SIP
- SIP start date shall not be beyond 90 days for Monthly and Quarterly SIPs from
- and start case siam on be seen a days for who they are Quarterly and some the date of summission of SIP application.

  The investor will have the right to discontinue SIP at any time he or she so desires by providing a written request to this effect at least 28 days prior to the subsequent SIP date. Alternatively, investor can also use online login access to
- subsequent SIP date. Alternatively, investor can also use online login access to our website https://kotakhr.com/ to discontinue any of his existing SIPs registered through online or offline (Physical) mode. Investors who have already submitted a One Time Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account thowards OTM facility may fill the form. Investors, who have not registered for OTM facility, may fill the OTM form and

- submit duly signed with their name mentioned. Investors need to ensure that the MICR number of his actual bank branch (and not 'At Par' MICR Code) is mentioned on the SIP Debit Mandate Form, for
- seamless SIP Registration. New Investor: If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the investment application form. Incase multiple schemes are mentioned in the main application form, the Fund reserves the
- schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request. Existing Investor: If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme (elgible for SIP) available in the investor's foilo. Incase multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the Fund reserves the right to reject the SIP request. Incase SIP date is not selected or the date mentioned is not legible or clear or multiple SIP date are opted, then the SIP will be registered on 7th (default date) of each Month/Quarter as applicable. If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 28 days lead time from the receipt
- applicable month, subject to completion of 28 days lead time from the receipt of SIP request
- Incase the SIP 'End Period' is incorrect or not mentioned by the investor in the SIP form, then End Date: OTM mandate end period shall be considered as default 'End Period' Maximum Amount: The Maximum Amount in SIP NACH Debit Mandate Form
- MAXIMUM ATTOURL. THE MAXIMUM INDUSTRIES AND PERIOD FOR MAINTAINE FOR Trefers to the maximum SIP debit limit per transaction permitted by the investor to be debited form his bank account. At any given point of time SIP instalment amount should not exceed Maximum Amount mentioned in the SIP NACH Mandate Form. In case of SIP TOP UP, please refer to the specific instructions

- mentioned under SIP TOP UP Facility.

  For Change of SIP Amount or Change in Bank details or for any Modification request in your existing SIP, investor is required to submit the following documents 28 days prior to the next SIP Date.

  1) A duly filled in new "SIP Form" with revised details. Please ensure that the section on SIP NACH Debt Form is also filled in. Do not forget to tick the relevant column in the Section "REQUEST FOR" on the SIP Form.

- section on SIP NACH Debit Form is also filled in. Do not forget to tick the relevant column in the Section "REQUEST FOR" on the SIP Form.

  ii) Letter to discontinue the existing SIP.
  Extension of SIP needs to be accompanied with cancelled cheque leaf.
  Mandatory fields in SIP NACH DEBIT MANDATE form as per NPCI:

  OTM mandate date OTM period 'From' and 'To' in the OTM mandate form

  Submission Date Bank Account type to be selected Bank account number
  and Bank name IPSC and/or MICR Code Folio number or application number

   Phone number and email id SIP From date Signatures as per bank records

   Name as per bank records

  All terms and conditions for SIP, including Exit Load, if any, prevailing at the time
  of SIP enrolment by the fund shall be leved in the Scheme.

  The investor agrees to abite by the terms and conditions of NACH/ECS/SI/Auto
  Debit facilities of Reserve Bank of India (RBI)' NPCI(National Payment
  Corporation of India) Investor will not hold Kotak Mutual Fund, Kotak
  Mahindra Asset Management Company Limited (the AMC), Kotak Mahindra

  Trust Limited (the Trustee), its registrars and other service providers responsible
  and/or liable if the transaction is delayed or not effected or the investor bank
  account is debited in advance or after the specific SIP date due to various
  clearing cycles for NACH/ECS/SI/Auto Debit or any other reason/fault not
  attributable to Kotak Mahindra Mutual Fund/the AMC/The Trustee. Kotak
  Mutual Fund reserves the right to reject any SIP application without assigning
  any reason thereof.

#### Fixed Top-Up option or Variable Top-Up option

A. Introduction of Fixed Top-Up option or Variable Top-Up option: SIP Top-Up facility with Fixed Top-Up option or Variable Top-Up option will be available to the investors, wherein the amount of SIP can be increased at fixed intervals.

#### Basic Terms and conditions are as follows:

- investors can opt for SIP Top-Up facility with Fixed Top-Up option or Variable Top-Up option, wherein the amount of SIP can be increased at fixed intervals. The Fixed Top-Up amount shall be in multiples of 100/- and thereafter. Variable Top-Up option will be available at 10%, 15%, and 20% and such other denominations (over and above 10%, 15% and 20%) as opted by the investor in multiples of 5%. The frequency is fixed at Yearly and Half Yearly basis. In case of Quarterly SIP, only the Yearly frequency is available under SIP Top-Up. SIP Top-Up facility shall also be available for the existing investors who have already registered for SIP facility without Top-Up option. In case the investor obto option, the Variable Top-Up options hall be triggered. In case the investor does not select the frequency for Top-Up or selects both frequencies, the Top-up facility shall be registered at Vearly basis. Incase, an investor has more than one existing SIP in a single folio in the same scheme, with a single distributor, unless specifically instructed by the investor, the SIP TOP UP request shall be considered for the first Registered SIP. Investor/ unit holders subscribing for this facility are required to submit the request at least 28 days prior to the next SIP date. SIP TOP UP will be applicable from the next effective SIP instalment. For Cancellation of SIP TOP UP facility, the investor has to submit a written request at least 28 days prior to the next SIP TOP UP date. However, the SIP in the respective scheme shall continue with last SIP TOP UP Instalment amount, till the SIP End Dub date as specified in the SIP Registration Form or till receipt of a valid SIP Caesa Request. For Cancellation of SIP TOP UP facility, the investor has to submit the victor of submit solved and the submit of the sip SIP TOP UP facility the investor has to submit the victor of submit solved and such submit solved and su
- Modification of the SIPTOP UP amount is not possible, alternatively investor will have to cancel the existing facility and start Modification of the SIP TOP UP amount is not possible, alternatively investor will have to cancel the existing facility and start a new SIP with modified date. Maximum Amount in NACH Debit Mandate Form: It is the maximum limit amount per transaction set by investor for his registered bank AC to be debited. In case of Variable SIP TOP UP, the SIP instalment amount will be rounded off to the nearest multiple of Rs, 10 For Kolak Tax Saver Fund, SIP Top up will be available only under the fixed option, for minimum Rs. 5004- and in multiples of Rs, 5004- thereafter.

B. Introduction of Top-Up Cap amount or Top-Up Cap month-year

1. Top-Up Cap amount: In this facility the investor has an option to freeze the SIP Top-Up amount once it reaches a fixed predefined amount. The like do predefined amount should be same as the maximum amount mentioned by the investor in the bank mandate/ existing registered One-Time Mandate (OTM). In case of difference between the Cap amount & the

- maximum amount mentioned in Bank mandate, then amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount. Top-Up Cap mount year. The facility for SIP Top-Up amount will cease and last SIP instalment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.

#### Basic Terms and conditions are as follows:

- The date from which Investors have opted the SIP Top-Up amount will cease and last SIP instalment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.
- Investor shall have flexibility to choose either Top-Up Cap amount or Top-Up Cap month-year. In case of multiple selection,

- Investor's nail have flexibility to choose either lop-Up Cap amount or lop-Up Cap month-year. In case of multiple selection, Top-Up Cap is applicable for Fixed Top-Up option as well als Variable Top-Up option. All the investors of the fund availing the facility under SIP Variable Top-Up feature are hereby requested to select either Top-Up Cap amount or Top-Up Cap month year. In case of no selection, the SIP Variable Top-Up amount will be capped at a default amount of '10 Lakhs. Under the said facility, SIP amount will remain constant from Top-Up Cap date/amount till the end of SIP Tenure.

Illustration explaining the Top-Up Cap month-year 2022 to 01-Dec-2024 (3 Years Monthly SIP Installment Amount: Rs. 2.000

SIP Date: 1st of every month (36 installments)
Top-Up Amount: Rs. 1.000

Top-Up Frequency: Half Yearly
Top-Up cap month - year: 01-Jul-2023

#### SIP Installments shall be as follows

Installment Nos.	From Date	To Date	Monthly SIP Installment Amount (Rs)	SIP Top-Up Amount (Rs)	Increased Monthly SIP Installment Amount (Rs)
1 to 6	1-Jan-22	1-Jun-22	2,000	N.A.	2,000
7 to 12	1-Jul-22	1-Dec-22	2,000	1,000	3,000
13 to 18	1-Jan-23	1-Jun-23	3,000	1,000	4,000
19 to 24	1-Jul-23	1-Dec-23	4,000	1,000	4,000
25 to 30	1-Jan-24	1-Jun-24	4,000	N.A.	4,000
31 to 36	1_lul_2/I	1 Doc 24	4.000	NI A	4.000

All other terms & conditions applicable for regular SIP will also be applicable to SIP TOP UP facility.

#### Checklist

- Please ensure that:

   If you are an existing investor, you have quoted your Folio No. with Kotak Mahindra Mutual Fund on the Systematic Investment Form.

   If you are a new investor, your Application Number or PAN is quoted on the Systematic Investment
- Scheme (Plan) Option in which you wish to do your systematic investments is clearly indicated in the Systematic Investment Form. The SIP Amount, the Frequency, your preferred Date and Period are clearly indicated. There is a minimum gap of 28 days between the date of submission and first auto debit date.

No 178/10, Kodambakkam High Road, Ground Floor, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034. 🛣: 044 - 6110 4034 Registrar: Computer Age Management Services Ltd. https://kotakmf.com/

**1800 309 1490** (Toll-free)/ **044-4022 9101** 



## Multiple SIP Form (Debit Mandate Form OTM/ NACH/ ECS/ Direct Debit)

	Distributor's ARN/ RIA Code <sup>†</sup> ARN-64917		Sub-Bro	ker's AR	:N	Τ	Sub-I	Broker's	Code					<sub>ЛІМ</sub> 4563	
☐ *By mentioning F	ARIN-04917 RIA code, I/We authorize you to sl	hare with the Dist	ributor, the de	tails of	mv/our	transa	ctions	s in the	schem	e(s) o	f Kot	ak M			al Fund.
Declaration for "E	xecution-only" transactions (only v	where EUIN box is I	eft blank)		•										
employee/relation	nfirm that the EUIN box has bee enship manager/sales person of tle enship manager/sales person of the	he above distribut	tor/sub broker	or noty	tnis trai vithstar	nsaction ding t	he ad	lvice of	in-app	out a propria	ny in atene	ess, if	any, p	or advice provided	by the
employee/relatio	onsnip manager/sales person of the	uistributor/sub br	oker.												
E(S)															
ATURE signed plican															
IIGNA To be	Sole / First Applicant		Second	Applican	nt	-	_		-	Т	nird A	nnlic	ant		
TRANSACTION CHARGE	S for Applications routed through distri	butor/agents only (Ki				er the h	neading	g 'Checkli	st' for			фис	arre		
REQUEST FOR:															
	SIP + OTM Registration	Registra	tion of SIP (for	existing	OTM)*				Regist	ration	of To	p-Up	plan fo	or existin	g SIP
INVESTOR'S INFO	PMATION														
FOLIO NO.	RWATION		Application No.												
	  e/ First Applicant		(For New Investors,   Second Appli		the applicat	tion form	)			Thi	rd Ap	nlicar	nt		
Name of Applicant	ел пос друшане	Name of Applica		carre			Nar	ne of Ap	plicar		и др	piicai			
PAN		PAN					PAN								
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0	ne Time Mandate Reg	istration For	m/ Dehit	Mano	date I	Form	NΔ	CH/ I	FCS/	Dir	ect	De	hit		
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TICK (√)	Sponsor Bank Code	For Office U	Jse	Uti	ility Code	е			F	or Offic	e Use	į			
CREATE V I/W	Ve hereby authorize	Kotak Mahind	ra Mutual Fund				to de	ebit (tick	√) s	в са	сс	SB-I	NRE SB	-NRO Ot	her
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with Bank			IFSC					10	r MICR						
an amount of Rupe	es										₹				
FREQUENCY —	Mthly Qylt H-Yrly X		en presented		DE	BIT TY	DF -	∀ Fixed	Amour	_	<b>V</b>	Mavin	num An	nount	
		alia Niverban	<u> </u>				Г	Z TIXCU	Amour			TVIGATI		nount	
Reference 1		olio Number				Phon	F								
Reference 2		lication Number					ail ID								
I Agree for the del	bit of mandate processing charges by	y the bank whom I a	am authorizing	to debit	my acco	unts as	per la	test sche	edule c	of char	ges o	f the	bank.		
From															
То		Signature Primary A	ccount holder		Signatur	e of Aco	ount h	older	_		Signa	ture o	f Accou	ınt holder	
	1	Name as in Bar		2		as in Ba			_ 3.					records	
This is to confirm the and signed by me. I h	at the declaration has been carefully read have understood that I am authorized to c	, understood& made b ancel/amend this man	by me/us. I am aut Idate by appropria	horizing tately com	the user e municatin	ntity/co	rporate ancellat	to debit	my aco	count, b	ased t to th	on the	instruc entity/c	tions as ag	greed or the
bank where I have au															
☐ Existing SIP De	etails for which SIP Top-Up needs	to be registered					Fresh	SIP Regi	istrati	on					
Scheme						Opt	ion [	]Growth		DCW:	(	) Pay	out C	Re-inves	tment
Plan									IDCV	V Freq	uency	, [			
Investment Frequenc		Quarterly													
·	. 🗆 20000 🗖 10000 🗆 5000 🗖 1000		nt Rs.	Fi	rst SIP vio	de Che	aue No	).			Date	d D	D / M	M / Y Y Y	Y
SIP Date:	(Please mention any date of the month I	between 1st to 31st)		SI	IP Period:	From	D	D / M M	/ Y Y Y	/ Y	То	D	D/M	M / YYY	Υ
*□ Use existing One	Time Debit Mandate (if already registe	red in the Folio)	_												
Bank Name			Bank A/c N	o											
☐ SIP TOP UP (Or	otional) (Please refer instructions overle	af)													
Frequency (Please 🗸 )	Fixed TOP LIP Amount (Rs.)		□ 100 □	Any othe	r amount	Rs.			(Min	imum I	Rs. 10	0/- an	d any a	mount the	ereafter)
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	SIP TOP UP Cap Amount Rs.		OR Top-Up Ca	ıp Month	n-Year	/ M / Y	Y Y Y	(Mand	atory f	or Var	iable	SIP To	p-Up P	lan)	
	' ' '		, .,						,						



Multiple SIP Form
(Debit Mandate Form OTM/ NACH/ ECS/ Direct Debit)

ARN-64917 E434563

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SIP Amount (✓) Rs. 🔲 2	20000 □ 10000 □ 5000 □ 1000 □ AI	ny otner amount [K	S.	First SIP v	ide Che	eque No	).			Dated	DD	) / IVI	M / Y	YYY	
SIP Date: (Pleas	e mention any date of the month betwee	en 1st to 31st)		SIP Period	d: From	D	D/MN	1 / Y Y	ΥY	То	DD	/ M	M / Y	YYY	
	Debit Mandate (if already registered in														
Bank Name			Bank A/c No.									Т			
	1) (5)						_								
	I) (Please refer instructions overleaf) Fixed TOP UP Amount (Rs.) 3000	0 1000 [	]100	her amour	nt Rs			T (Min	nimum F	2s 100	/- and	anv a	mount	thereafter)	
Frequency (Please√)  ☐ Half Yearly ☐ Yearly			,	_	11 113.		· · · · ·					,			
	Variable TOP UP Amount (%) ☐ 20%		% ∐Any otner pe				<u>%</u> _] (Mi ¬	inimum	10% ar	nd in m	iultiple	s of 5	% the	reot)	
	SIP TOP UP Cap Amount Rs.	01	<b>R</b> Top-Up Cap Mo	nth-Year	MM/	YYYY	(Man	datory	for Vari	able S	IP Top	-Up P	lan)		
	for which SIP Top-Up needs to b	e registered					SIP Reg				V D		\ D		
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	Debit Mandate (if already registered in			SIP PERIOR	u. FIOIII					10			,		
	Debit Mandate (ii alleady registered iii	trie rollo)	B. J. A/AN									1			
Bank Name			Bank A/c No.												
SIP TOP UP (Optional	l) (Please refer instructions overleaf)														
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			70 □ Any other pe	Lentage [			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	mirnum	10% ar	nd in m	ıultiple	s of 5	% the	reof)	
	SIP TOP UP Cap Amount Rs.		R Top-Up Cap Mo		MM/		7		for Vari		·			reof)	
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	SIP TOP UP Cap Amount Rs.	Ol				YYYY	(Man	datory	for Vari	able S	IP Top	-Up P	lan)		
☐ Existing SIP Details		Ol				YYYY	(Man	gistrat	for Vari	able S	IP Top	-Up P	lan)	vestment	
		Ol				YYYY	(Man	gistrat	for Vari	able S	IP Top	-Up P	lan)		
Scheme	s for which SIP Top-Up needs to b	e registered				YYYY	(Man	gistrat	for Vari	able S	IP Top	-Up P	lan)		
Scheme Plan Investment Frequency (Ple	s for which SIP Top-Up needs to b	e registered	R Top-Up Cap Mo		Ор	Fresh	(Man	gistrat	for Vari	able S	Payou	-Up P	lan)	vestment	
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To be signed by All Applicant's if mode of operation is "Joint". (As in Bank Records)



## Systematic Transfer / Withdrawal Form Strike off sections that are not applicable

Mutual Fund				
DIARN-64917IA Code#	Sub-Broker's	s ARN Sub-B	roker's Code	E434563
By mentioning RIA/PMS code, I/ We authorize yo Kotak Mahindra Mutual Fund. Declaration for Ex	xecution-only" transactions (only	where EUIN box is left blar	ık)	
"I/We hereby confirm that the EUIN box has been intentic person of the above distributor/sub broker or notwithstand	nally left blank by me/us as this transac ding the advice of in-appropriateness, if a	ction is executed without any int any, provided by the employee/re	eraction or advice by the lationship manager/sales	employee/relationship manager/sales person of the distributor/sub broker."
\$n			No.	
Sole/Frist Applicant	Second Ap			ird Applicant
front commission shall be paid directly by the inves	be signed by <b>All Applicants</b> if is stor to the AMFI registered distrib			ious factors including the servic
dered by the distributor.				
Investor's Information olion	App	lication No.		
For Existing Investors)	(For N	lew Investors, Please attach the app		Coll A colling of
Sole/ First Applicant  Jame of Applicant	Second Ap  Name of Applicant	pplicant	Name of Applicant	ird Applicant
			The state of the s	
YAN	PAN		PAN	
would like to opt for Systematic	Transfer Plan	Systematic Withdra	wal Plan	
Systematic Transfer Plan: Kindly strike	-off unused rows (which are no	rt being filled-out by you)		
From Source Scheme: Kotak	,	To Destination Scheme	e: Kotak	
Frequency: Daily Weekly Spe	Mention any day, Monday to Friday	STP Period: Start Date	D D M M Y Y	End Date D D M M Y Y
☐ Monthly ☐ Quarterly	Mention any date of the month	<b>Amount (Rs.)</b> □1,000	□2,000 □5,000	
From Source Scheme: Kotak	of the month	To Destination Scheme		
Frequency: Daily Weekly Spe	Mention any day, Monday to Friday	STP Period: Start Date	D D M M Y Y	End Date D D M M Y Y
☐ Monthly ☐ Quarterly	Mention any date	<b>Amount (Rs.)</b> □1,000		Other
From Source Scheme: Kotak	of the month	To Destination Scheme		<u> </u>
Frequency: Daily Weekly Spe	Mention any day, Monday to Friday	STP Period: Start Date		End Date D D M M Y Y
☐ Monthly ☐ Quarterly	Mention any date of the month	<b>Amount (Rs.)</b> □1,000	☐ 2,000 ☐ 5,000	_
Systematic Withdrawal Plan	of the month			
From Source Scheme: Kotak		<b>Plan</b> □ Regular	<b>Option</b> □ Grov	,
Withdrawal Option (Please ✓) ☐ Fixed		Direct		V Reinvestment
	Mention any day,	Min. Rs. 1000	)/-	
Frequency: Weekly Specify Day	Monday to Friday  Mention any date	Commencement Date	DDMMY	Y To D D M M Y
☐ Monthly ☐ Quarterly  From Source Scheme: Kotak	of the month	No. of Installments  Plan □ Regular	<b>Option</b> □ Grov	vth □IDCW Payout
		Plan □ Regular □ Direct	•	V Reinvestment
Withdrawal Option (Please ✓) ☐ Fixed	d Sum OR	Min. Rs. 1000	)/-	
Frequency: Weekly Specify Day	Mention any day, Monday to Friday	Commencement Date	D D M M Y	Y To D D M M Y Y
☐ Monthly ☐ Quarterly	Mention any date of the month	No. of Installments		
Declaration and Signatures				
We have read and understood the contents of the SID/SAI of the above ref rms and conditions applicable there to. IWe hereby declare that I We au seigned for the purpose of any contravention or evasion of any Act, Rules, i e Government of India from time to time. IWe hereby authorize Kotak Ma	erred Scheme(s) of Kotak Mahindra Mutual Fun ithorized to make this investment in the above Regulations, Notifications or Directions of the pr	d. I/We hereby apply for allotment / pu mentioned Scheme(s) and that the am ovisions of Income Tax Act, Anti Money	rchase of Units in the Scheme(s) ount invested in the Scheme(s) Laundering Act, Anti Corruptio	indicated as above and agree to abide by the is through legitimate sources only and is not in Act or any other applicable laws enacted by
e Government of India from time to time. I/We nereby authorize Kotak Ma We have neither received nor been induced by any rebate or gifts, directly, ir	nindra Mutual Fund, its investment Manager and making this investment.	d its agents to disclose details of my inve	estment to my / our investment /	Advisor and / or banks.
Sole/Frist Applicant	Second Ap	pplicant		
To	be signed by <b>All Applicants</b> if	mode of operation is <b>"Joi</b> n	nt"	
Acknowledgement Slip (To be	filled by Applicant)			
Please retain this Acknowledger				
Mutual Fund  Received from (Investor's Name)		D D M M Y Y	YY	
Folio Number				
Request for STP S	SWP		Official A	cceptance Point Stamp & Sign