

To be submitted mandatorily: 1. Your FATCA (Foreign Account Tax Compliance Act) Details (if not already submitted) and 2.Ultimate Beneficial Owner (UBO) information (for non-individuals only) using the attached forms.

KYC acknowledgement is mandatory for all investors w.e.f. 01/01/2011.

KYC compliant  Yes  No (if no, please provide KYC proof/additional documents if not submitted earlier)

CKYC compliant  Yes  No (if no, please provide CKYC form & proof/additional documents.

If yes, please provide 14 digit CKYC Number)

ISC's signature & Time Stamping

Distributor's ARN & Name <b>ARN-64917</b>	Sub-broker's ARN (code)	Sub-broker Code (internal)	EUIN* (Employee Unique Identification Number) <b>E434563</b>	Registered Investment Adviser (RIA) Code
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\* Declaration for "Execution only" transaction (only where EUIN box is left blank)

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness,if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Signature of First/Sole Applicant/Guardian	Signature of Second Applicant	Signature of Third Applicant
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Transaction charges For Rs. 10,000 and above: <input type="checkbox"/> Existing Investor-Rs.100 <input type="checkbox"/> New Investor-Rs.150	Upfront commission shall be paid directly by the investor to the AMFI-registered distributors based on the investors' assessment of various factors including services rendered by the distributor.	Folio No
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Name of First/Sole Applicant (capital Letters)			
Permanent Account Number (PAN)*	PEKRN	Central KYC Number	<input type="checkbox"/> CKYC Proof attached (Mandatory)
First/Sole Applicant/Guardian			
Second Applicant			
Third Applicant			
E-Mail*		Mobile No*	

Please tick the Family Code for the Mobile Number and Email ID provided

Mobile:  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian Email:  Self  Spouse  Dependent Children  Dependent Siblings  Dependent Parents  Guardian  
Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: Please tick (✓)

Account Statement  Annual Report  Other Statutory Information

<input type="checkbox"/> Additional Purchase	Fund Name:
Plans: <input type="checkbox"/> Regular <input type="checkbox"/> Direct	Options: Income Distribution cum Capital Withdrawal (IDCW) <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment <input type="checkbox"/> Transfer <input type="checkbox"/> Growth IDCW Frequency: (For Fixed Income Funds only) <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Annual Transfer IDCW Target Scheme..... (If an investor fails to specify the target scheme, he will be allotted units under the default option/suboption of Sundaram Liquid Fund.) Any / each correction carried out in selecting the target scheme has to be counter-signed by the investor(s) to make it a valid selection

Mode of payment:  Cheque/DD  RTGS/NEFT  Fund Transfer  One Time Mandate (OTM)

Bank (on which Cheque is drawn or by which Demand Draft is issued)	Branch

Amount (figures)	Amount (in words)	Cheque/DD No (attach a cancelled cheque leaf)	Cheque/DD Date
Rs			DDMMYYYY

Legal Entity Identifier (Mandatory) - (Only for Non-Individuals including HUF)	Validity Date of LEI
	DDMMYYYY

DEMAT Account Details	
<input type="checkbox"/> National Securities Depository Ltd. <input type="checkbox"/> Central Depository Services (India) Ltd.	Depository Participant DP ID Number _____ Beneficiary Account Number _____

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form.

<input type="checkbox"/> Switch <input type="checkbox"/> Amount..... <input type="checkbox"/> Units.....	Target Scheme:..... (Fund) Plan: <input type="checkbox"/> Regular <input type="checkbox"/> Direct Options: Income Distribution cum Capital Withdrawal (IDCW) <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment <input type="checkbox"/> Transfer <input type="checkbox"/> Growth IDCW Frequency: (For Fixed Income Funds only) <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Annual Transfer IDCW Target Scheme..... (If an investor fails to specify the target scheme, he will be allotted units under the default option/suboption of Sundaram Liquid Fund.) Any / each correction carried out in selecting the target scheme has to be counter-signed by the investor(s) to make it a valid selection
Source Scheme:.....(Fund) Plan: <input type="checkbox"/> Regular <input type="checkbox"/> Direct Options: Income Distribution cum Capital Withdrawal (IDCW) <input type="checkbox"/> Payout <input type="checkbox"/> Re-Investment <input type="checkbox"/> Transfer <input type="checkbox"/> Growth <input type="checkbox"/> Others ..... IDCW Frequency: <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Annual	<b>TO</b>

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for Auto Debit • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding Rs. 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: Please (✓)  I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a  Repatriation Basis  Non-Repatriation Basis.

I / We hereby declare that all the particulars given herein are true, correct and complete to the best of my / our knowledge and belief. I / We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences / losses / costs / damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I / We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all / any of the information provided by me / us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities / agencies, the tax / revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information / documentation that may be required in connection with this application.

Stamp Duty: Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of The Finance Act, 2019, notified on February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India, a stamp duty @0.005% of the transaction value of units would be levied on applicable mutual fund inflow transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including reinvestment IDCW and switch-in) to the Unit holders would be reduced to that extent.

Signature	
First / Sole Applicant / Guardian	
Second Applicant	
Third Applicant	
Request Date	DDMMYYYY

Acknowledgement <input type="checkbox"/> Purchase Cheque /DD Number.....	Request Date: DDMMYYYY
<input type="checkbox"/> Switch From..... (Scheme) To.....	
Folio No	Fund
Plans: <input type="checkbox"/> Regular <input type="checkbox"/> Direct	Options: IDCW <input type="checkbox"/> Payout <input type="checkbox"/> Reinvestment <input type="checkbox"/> Transfer or <input type="checkbox"/> Growth
IDCW Frequency: <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half-Yearly <input type="checkbox"/> Annual	Amount

Time Stamp/Seal

Contact No. 1860 425 7237 (India)  
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