

	UMRN	<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Utility Code	<input type="text"/>	<input checked="" type="checkbox"/> Create <input checked="" type="checkbox"/> Modify <input checked="" type="checkbox"/> Cancel						
Sponsor Bank Code	<input type="text"/>		I/We authorize UTI MUTUAL FUND						
To debit (tick✓)	<input type="checkbox"/> SB / CA / CC / SB-NRE / SB-NRO / OTHER		Bank a/c number <input type="text"/>						
with Bank	<input type="text"/>		IFSC / MICR <input type="text"/>						
an amount of Rupees	<input type="text"/>		₹ <input type="text"/>						
Debit Type	<input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount Frequency <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & when presented								
Reference 1	<input type="text"/>		Reference 2 <input type="text"/>						
1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity / Corporate to debit my account, based on the instructions as agreed and signed by me. 3. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.									
From	<input type="text"/>		Signature Primary Account holder						Signature of Account holder
To	<input type="text"/>		Signature of Account holder						Signature of Account holder
Or	<input checked="" type="checkbox"/> Until Cancelled								
Phone No.	<input type="text"/>		1. <input type="text"/>		2. <input type="text"/>		3. <input type="text"/>		

This is to confirm that the declaration has been carefully read, understood & made by me / us. I am authorizing the User entity/ Corporate to debit my account based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/ corporate or the bank where I have authorized the debit.

UTI SMaRT SIP Form™

- Registration of New SIP
- Renewal of Existing SIP
- Micro SIP
- Change in Existing Bank Details

ARN / RIA	EJUN	Sub ARN Code	Sub Code	MO Code	UTI RM No.
ARN-64917	E434563				

Upfront commission shall be paid directly by the investor to the AMFI / NISM certified UTI MF registered distributors based on the investors' assessment of various factors including the service rendered by the distributor. I/We confirm that the EJUN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributors personnel concerned or not withstanding the advice of in-appropriateness, if any, provided by such distributor personnel and the distributor has not charged any advisory fees for this transaction.

APPLICANT DETAILS	APPLICATION NO./FOLIO NO. <input type="text"/>
Name of Sole / 1st Holder / Beneficiary Child <input type="text"/>	
Name of Guardian (in case of Minor) <input type="text"/>	

PAN DETAILS		(If not registered in the folio already)	
First Applicant/Guardian	Second Applicant	Third Applicant	
Mandatory Enclosure	Mandatory Enclosure	Mandatory Enclosure	
<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied	
PAN Exempt KYC Ref no. (PEKRN for Micro investments)	PAN Exempt KYC Ref no. (PEKRN for Micro investments)	PAN Exempt KYC Ref no. (PEKRN for Micro investments)	

Scheme Name, Plan, Option	SIP Date	Instalment Amount	Frequency	SIP Period*		SIP Step Up	
				(MM/YY)		Amount In Multiple of ₹ 500/-	Frequency
	<input type="text"/>	<input type="checkbox"/> 5000 <input type="checkbox"/> 10000 <input type="checkbox"/> 25000 OR ₹ <input type="text"/>	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	From <input type="text"/>	To <input type="text"/>	<input type="checkbox"/> Half Yearly	<input type="checkbox"/> Yearly
	<input type="text"/>	<input type="checkbox"/> 5000 <input type="checkbox"/> 10000 <input type="checkbox"/> 25000 OR ₹ <input type="text"/>	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	From <input type="text"/>	To <input type="text"/>	<input type="checkbox"/> Half Yearly	<input type="checkbox"/> Yearly
	<input type="text"/>	<input type="checkbox"/> 5000 <input type="checkbox"/> 10000 <input type="checkbox"/> 25000 OR ₹ <input type="text"/>	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	From <input type="text"/>	To <input type="text"/>	<input type="checkbox"/> Half Yearly	<input type="checkbox"/> Yearly
Amount in the mandate to bank should be equal or more than this total amount.		Total	₹ <input type="text"/>	* Note : SIP mandate shall be registered for a maximum period of upto 30 years			

My Financial Goal for this SIP (choose anyone)

- Retirement Corpus
 Child Education
 Child Marriage
 Dream Car
 Dream House
 Marriage
 Holiday

(In case of saving for Child, mention name of Child)

Target Amount

I/We hereby authorize UTI Mutual Fund and their authorised service providers and my banker, to debit my/our bank account using the Mandate Form. If the transaction is delayed or not effected at all for reason of incomplete or incorrect information or other reasons, I/We would not hold UTI Mutual Fund responsible. I/We will also inform UTI Mutual Fund, about any changes in my bank account. I/We have read and understood the contents of the SAI, SID, KIM, Instructions and Addenda issued from time to time of the respective Scheme(s) of UTI Mutual Fund, have read and agreed to the instructions cum terms and conditions of SIP/Micro SIP. I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investment exceeding ₹ 50,000 in a year (applicable only for Micro SIP applicants.) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Scheme of various Mutual Fund from amongst which the Scheme is being recommended to me/us. I/We hereby authorize UTIMF/UTI AMC to share my data furnished in the Form with other service providers of the UTIMF for the purpose of servicing, issue of account statement, consolidated statement of account, etc and cross selling of products/scheme of the UTIMF. I/We hereby request you to register me/us for availing this facility and the carrying out transactions of Purchase/SIP/Redemption/Switch in my/our above mentioned folio wherever applicable. I/We have read and understood the Terms & Conditions of the facility in which I/We wish to subscribe as available on UTI MF website (<http://www.utimf.com/customerservice/Pages/default.aspx>) and also displayed/available at the AFOC wherever applicable.

By Signing this SIP enrolment form I/We understand, that the amount will be debited from the Bank account mentioned in SIP Mandate (Should be signed as per mode of holding in the folio)

1st Unit Holder / Guardian	2nd Unit Holder	3rd Unit Holder

Unit Holding Option : Demat Mode Physical Mode

DEMAT ACCOUNT DETAILS - (Please ensure that the sequence of name to mentioned in the application form matches with that of the account held with any one of the Depository Participant. Demat Account details are compulsory if demat mode is opted below. (Investor client ID should be printed in proof.)

Central Depository Securities Limited	Depository participant Name _____ Target ID _____	National Securities Depository Limited	Depository participant Name _____ DP ID No. _____ Target ID _____
<input type="checkbox"/> Client Master List (CML)		<input type="checkbox"/> Transaction cum Holding Statement	
<input type="checkbox"/> Proof enclosed (Any one)		<input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS)	



Haq, ek behtar zindagi ka.

UTI SMaRT SIP Form TM

For Post Dated Cheque (Only CTS - 2010 compliant cheques are allowed)

- Registration of SIP
- Renewal of SIP
- Micro SIP
- Salary Saving SIP
- Change in Bank Details

ARN / RIA	EUIN	Sub ARN Code	Sub Code	MO Code	UTI RM No.
ARN-64917	E434563				

Upfront commission shall be paid directly by the investor to the AMFI / NISM certified UTI MF registered distributors based on the investors' assessment of various factors including the service rendered by the distributor. I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributors personnel concerned or not withstanding the advice of in-appropriateness, if any, provided by such distributor personnel and the distributor has not charged any advisory fees for this transaction.

APPLICANT DETAILS	APPLICATION NO./FOLIO NO.
Name of Sole / 1st Holder / Beneficiary Child	
Name of Guardian (in case of Minor)	

PAN DETAILS	(If not registered in the folio already)	
First Applicant/Guardian	Second Applicant	Third Applicant
Mandatory Enclosure	Mandatory Enclosure	Mandatory Enclosure
<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied	<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied
PAN Exempt KYC Ref no (PEKRN for Micro investments)	PAN Exempt KYC Ref no (PEKRN for Micro investments)	PAN Exempt KYC Ref no (PEKRN for Micro investments)

DETAILS OF SIP (For "DIRECT PLAN" please tick here & write the Scheme Name, Plan/Option below)

Scheme	UTI	PLAN	OPTION
Initial Investment Amount (₹)	Each SIP/Micro SIP Amount (₹) # (Default amount is ₹ 500)		
SIP / Micro SIP Date (Please tick) <input type="checkbox"/> 01 <input type="checkbox"/> 07 <input type="checkbox"/> 15 <input type="checkbox"/> 25	Frequency : <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	Post Dtd. Chq. Amt. (₹)	
SIP / Micro SIP Period : Start from M M Y Y	End On M M Y Y		
Cheque Nos. From	To	No. of Cheques	
Account No.	Drawn on		
Branch	PIN Code		

Mandatory Enclosure (if 1st instalment is not by cheque) Bank cancelled cheque Copy of cheque

1st Unit Holder / Guardian	2nd Unit Holder	3rd Unit Holder

SIP by cheque/s (only CTS – 2010 compliant cheques)

Not available under daily/weekly frequency

1. The submission of cheques could be as under :

Investor Type	Monthly Option	Quarterly Option
New investor	Cheque of initial investment + 5 cheques (Min)	Cheque of initial investment + 3 cheques (Min)
Existing investor	6 cheques (Min)	4 cheques (Min)

Note: if a unit holder desires to submit 12 cheques under the monthly option, the same will be accepted. No up country cheques (UCC) shall be accepted. Only local country cheques (LCC) i.e. from location where Axis Bank branch is present shall be accepted as a mode of payment under PDC w.e.f. 17th July 2012, CTS 2010 standard complied cheques shall be accepted w.e.f. 1st January 2013.

2. All instalment cheques under Monthly SIP (MSIP) and Quarterly SIP (QSIP) should be of uniform amount. For example; if an investor enrolls under MSIP say under UTI Flexi Cap Fund for the period May 2019 to April 2020, the first cheque can be of any amount drawn on any date of May 2019. The remaining cheques should be of uniform date. SIP date chosen by investor for uniform amount i.e. ₹ 2000/-. Similarly for quarterly option the first cheque could be of any amount and of any date of May 2019 and subsequent cheques should be of uniform date and amount.
3. Please draw your cheques in the name of the Scheme/Plan in which the amount is invested.
4. Returned cheques, if any will not be presented again.

SIP is available under the Regular Plan and Direct Plan of the below mentioned schemes

SI No.	Equity Schemes	SI No.	Overnight, Debt & Fund of Funds	SI No.	Hybrid & Solution Schemes
1	UTI Banking and Financial Services Fund	1	UTI Overnight Fund	1	UTI Arbitrage Fund
2	UTI Dividend Yield Fund	2	UTI Banking & PSU Fund	2	UTI Aggressive Hybrid Fund
3	UTI ELSS Tax Saver Fund	3	UTI Corporate Bond Fund	3	UTI Balanced Advantage Fund
4	UTI Flexi Cap Fund	4	UTI Credit Risk Fund	4	UTI Conservative Hybrid Fund
5	UTI Focused Fund	5	UTI Dynamic Bond Fund	5	UTI Equity Savings Fund
6	UTI Healthcare Fund	6	UTI Floater Fund	6	UTI Multi Asset Allocation Fund
7	UTI India Consumer Fund	7	UTI Gilt Fund	7	UTI Childrens Career Fund - Investment Plan
8	UTI Infrastructure Fund	8	UTI Gilt Fund with 10 year Constant Duration	8	UTI Childrens Career Fund - Savings Plan
9	UTI Innovation Fund	9	UTI Long Duration Fund	9	UTI Retirement Fund
10	UTI Large Cap Fund	10	UTI Low Duration Fund		
11	UTI Large & Mid Cap Fund	11	UTI Medium Duration Fund		
12	UTI Mid Cap Fund	12	UTI Medium to Long Duration Fund		
13	UTI MNC Fund	13	UTI Money Market Fund		
14	UTI Small Cap Fund	14	UTI Short Duration Fund		
15	UTI Transportation and Logistics Fund	15	UTI Ultra Short Duration Fund		
16	UTI Value Fund	16	UTI Gold ETF Fund of Fund		
		17	UTI Silver ETF Fund of Fund		

SI No.	Index Schemes
1	UTI S&P BSE Sensex Index Fund
2	UTI Nifty 50 Index Fund
3	UTI Nifty 50 Equal Weight Index Fund
4	UTI Nifty Next 50 Index Fund
5	UTI Nifty 500 Value 50 Index Fund
6	UTI Nifty 200 Momentum 30 Index Fund
7	UTI S&P BSE Low Volatility Index Fund
8	UTI Nifty Midcap 150 Quality 50 Index Fund
9	UTI S&P BSE Housing Index Fund
10	UTI CRISIL SDL Maturity June 2027 Index Fund
11	UTI CRISIL SDL Maturity April 2033 Index Fund
12	UTI Nifty SDL Plus AAA PSU Bond Apr 2028 75:25 Index Fund
13	UTI Nifty SDL Plus AAA PSU Bond Apr 2026 75:25 Index Fund

Schemes available in Demat Mode

SI No.	Equity Schemes	SI No.	Overnight, Debt & Fund of Funds	SI No.	Hybrid & Solution Schemes
1	UTI Banking and Financial Services Fund	1	UTI Overnight Fund	1	UTI Arbitrage Fund
2	UTI Dividend Yield Fund	2	UTI Banking & PSU Fund	2	UTI Aggressive Hybrid Fund
3	UTI ELSS Tax Saver Fund	3	UTI Corporate Bond Fund	3	UTI Balanced Advantage Fund
4	UTI Flexi Cap Fund	4	UTI Credit Risk Fund	4	UTI Conservative Hybrid Fund
5	UTI Focused Fund	5	UTI Dynamic Bond Fund	5	UTI Equity Savings Fund
6	UTI Healthcare Fund	6	UTI Floater Fund	6	UTI Multi Asset Allocation Fund
7	UTI India Consumer Fund	7	UTI Gilt Fund		
8	UTI Infrastructure Fund	8	UTI Gilt Fund with 10 year Constant Duration		
9	UTI Innovation Fund	9	UTI Long Duration Fund		
10	UTI Large Cap Fund	10	UTI Low Duration Fund		
11	UTI Large & Mid Cap Fund	11	UTI Medium Duration Fund		
12	UTI Mid Cap Fund	12	UTI Medium to Long Duration Fund		
13	UTI MNC Fund	13	UTI Money Market Fund		
14	UTI Small Cap Fund	14	UTI Short Duration Fund		
15	UTI Transportation and Logistics Fund	15	UTI Ultra Short Duration Fund		
16	UTI Value Fund	16	UTI Gold ETF Fund of Fund		
		17	UTI Silver ETF Fund of Fund		

SI No.	Index Schemes
1	UTI S&P BSE Sensex Index Fund
2	UTI Nifty 50 Index Fund
3	UTI Nifty 50 Equal Weight Index Fund
4	UTI Nifty Next 50 Index Fund
5	UTI Nifty 500 Value 50 Index Fund
6	UTI Nifty 200 Momentum 30 Index Fund
7	UTI S&P BSE Low Volatility Index Fund
8	UTI Nifty Midcap 150 Quality 50 Index Fund
9	UTI S&P BSE Housing Index Fund
10	UTI CRISIL SDL Maturity June 2027 Index Fund
11	UTI CRISIL SDL Maturity April 2033 Index Fund
12	UTI Nifty SDL Plus AAA PSU Bond Apr 2028 75:25 Index Fund
13	UTI Nifty SDL Plus AAA PSU Bond Apr 2026 75:25 Index Fund

“Note: Please refer Scheme Information Document (SID) for the applicable load structure of the above Listed Scheme.”

Instructions Cum Terms and Conditions For SIP & Micro SIP

1. Please refer the SID, SAI, Key Information Memorandum, all instructions, Addenda issued from time to time and our website www.UTIMF.Com for instructions, terms and conditions, applicable NAV, risk factors, load and other information, various requirements of the respective schemes / plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application / investment. SIP / Micro SIP is offered with Daily, Weekly, Monthly and Quarterly Frequency for all schemes except UTI-ULIP. UTI-ULIP is offered with the frequencies of Monthly, Quarterly, Half Yearly and Annual.
2. Investor understands and agrees that mobile number and email ID registered / updated in the folio will be used by UTI MF to send alerts with regard to transactions including SIP renewals. Investor authorizes UTI MF to act on the instructions on SIP sent by him through the registered mobile number/ email id.
3. Any Day SIP: Investor may choose any date between 1st to 31st of the month as their SIP date. In case the SIP date chosen by the investor does not occur in any month, the SIP installment will be processed for last business day of the month. In daily SIP frequency transaction will be processed on Business days only. In case the chosen date falls on a Non-Business Day in any month, the SIP will be processed on the immediate next scheduled Business day. The investor will not hold AMC/Bank liable for processing such transactions the next day.
4. In case of incomplete mandate form, AMC may initiate remediation process to obtain incomplete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent instalments. The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI). Pursuant to the AMFI Best Practice Guidelines circular on 'Risk mitigation process against Third-Party Cheques in mutual fund subscriptions' read with compliance with 'Know your Customer (KYC)' norms under Prevention of Money Laundering Act, 2002 (PMLA), UTI Asset Management Company Ltd shall not accept applications for SIP accompanied with Mandates from Third Parties.
5. Default Values: In case the SIP date is left blank by the investor the SIP will be registered with a default date of 7th of every month. In case the "SIP From date" is left blank, then by default the SIP will be registered from the next month of the month in which the SIP application is submitted. If the Frequency is not ticked, then by default the SIP will be registered with Monthly frequency. If End date is not mentioned by the investor, SIP will be registered as Perpetual SIP. If the SIP / Micro SIP amount is left blank by the investor, then by default SIP will be registered for Rs. 500 for Daily, Weekly and Monthly frequencies and Rs. 1500 for Quarterly frequencies. Investors are required to submit the SIP forms at least 21 days prior to the first SIP date opted.
6. New Fund Offer of Open Ended Schemes: If the SIP is allowed as per the Scheme Information Document, during the NFO, the investor may submit the SIP form during the NFO period. However, the SIP start date shall be post the date on which the Scheme reopens for subscriptions, subject to the 21 days gap between date of submission and SIP start date as described in point no. 5.
7. Minimum Amount: Please refer the Scheme Information Document and the Addenda issued from time to time.
8. SIP in folio of a Minor: Name of Guardian must be mentioned if investments are being made on behalf of a minor. Date of birth is mandatory in case of minor. The minor shall be the first and the soleholder in the account (folio). Minor's name must one of the holders in Bank Account on which the mandate is being submitted alongwith the SIP Form. This conditions shall not apply to SIP under UTI CCF - Scholarship option.
9. Unit Allotment: Unit allotment will be as per the NAV applicable for the date of realization of the investment amount.
10. The applications will be received at all Official Points of Acceptance of UTI Asset Management Company. In case of SIP with post dated cheques, only CTS cheques will be accepted. No outstation cheques will be accepted.
11. Account Statement: An account statement will be sent to the Unit Holders through email wherever email id is registered. The physical statement would be despatched to the investors once in 6 months.
12. Investors can choose to discontinue the SIP by submitting a request giving at least 1 week notice to the registrar of the schemes. In case of 4 consequent failures of SIP installments, the SIP will be paused automatically without any written request from the investor.
13. It will be sole responsibility of the investor's bank and / or branch to ensure proper registration of the debit mandate and confirm the registration. Investor will not hold UTIMF or its service providers responsible if the transaction is delayed or declined or not effected or the investor's bank account is debited in advance or after the specific SIP due date to various clearing cycles of local holidays. Investors will not hold UTIMF or its service providers responsible for non allotment of Units of SIP / Micro SIP if the payment is not received from the Unit Holder's bank for any reason.
14. UTIMF reserves the right to reject the SIP / Micro SIP / Debit Mandate without assigning any reasons therefor.
15. If the investor is investing through an Financial / Investment Advisor, the investor must clearly mention the ARN / RIA code and the name of the Advisor, Sub ARN Code and EUIN in the application. In case nothing is mentioned, the application will be processed in the Direct Plan of the Scheme.
16. Direct Plan: Investors subscribing under direct plan of a Scheme will have to indicate "Direct Plan" against the Scheme Name in the application form for Example "UTI Dividend Yield Fund - Direct Plan. Investors are advised to write "Direct" in the distributor column when subscribing units in Direct Plan of a scheme. However, even if an Advisor code is mentioned on the application where the scheme name is mentioned with Direct Plan, the Advisor code shall be ignored and application shall be processed in Direct Plan only.
17. The Unit Holder(s) agree that, UTIMF / its Registrar and their agents shall not be held liable for any unsuccessful registration and / or transaction due to any action / inaction of the unit holder's bank including but not limited to the reasons mentioned below and agree to indemnify UTIMF / UTIAMC / RTA for all liabilities, losses, damages and expenses which they may consequently incur or sustain either directly or indirectly.
 - a. Non acceptance or rejection of debit mandate for whatsoever reason by the unit holder's bank/ branch with or without any reason assigned by the unit holder's bank.
 - b. Loss of debit mandate form in transaction from RTA to the unit holder(s) bank / branch.
 - c. Non-registration of the debit mandate by the unit holder(s) bank/ branch.
 - d. Deemed registration due to Non confirmation of registration or rejection by the bank and any subsequent rejection of the debit of bank account for funds.
 - e. Non availability of funds in the bank account of Unit Holder at the time of debit.
 - f. Rejection of registration or transaction debit for any reason or without assigning any reason whatsoever.
18. SIP Step Up: By opting for this facility investor can choose to increase the SIP amount (SIP / Micro SIP) by a predefined amount at a predefined interval of time (Half Yearly or Yearly). For availing this facility the investor has to, a) provide the details of the SIP step up in the SIP enrolment form, b) SIP Mandate to bank with Debit Type as Maximum Amount, c) Bank Debit amount sufficient to accommodate the maximum stepped amount. In case the amount mentioned in the amount column of bank mandate falls short of the stepped amount, the Step Up will be terminated and the normal SIP or latest stepped amount shall continue to be debited. d) the minimum step amount is Rs. 500/- in multiples of Rs. 500/- e) if Step Up frequency is not mentioned, the default frequency will be taken as Yearly. f) in case request for cancellation of step up is received from the investor, the last stepped up amount will continue for the rest of the period of the SIP unless modified by the investor.
19. SIP Pause: Pause facility is available for all eligible schemes except UTI-ULIP scheme. This facility can be availed for a period of 6 months. SIP Pause can be exercised by the investor 2 times during the tenure of the SIP. Investor can pause his SIP for a minimum of 1 month and a maximum of 6 months under daily, weekly and Monthly frequencies and a minimum of 1 Quarter and a maximum of 2 Quarters under Quarterly frequency. In case the investor's KYC is not complied as per the SEBI guidelines at the time of processing the SIP installment the SIP shall be paused automatically and installment shall be presented to investor's bank till investor complies with KYC guidelines. Upon subsequent compliance with KYC guidelines, the SIP installment falling due post compliance will be presented to the investor's bank. The installments that have fallen due prior to KYC Compliance shall not be presented for debit.
20. Transaction Charges: For applications received in Regular Plan through mutual fund distributors who have opted in for the transactions charges where subscription amount of Rs. 10,000 or more transaction charges of Rs. 150/- (for first time mutual fund investors) and Rs. 100 (for existing mutual fund investors) would be deducted from the subscription amount and paid to the distributors. Units will be issued against the balance amount invested.
21. Multi SIP: Investor can start an SIP in multiple schemes (3 schemes) using one form with all the parameters suiting his requirement. In case investor wants to register SIP in more than 3 schemes he may use an additional SIP enrollment form.
22. Change of Bank: Investor can change the bank from where the debits of SIP installments are happening by submitting the SIP enrolment form by ticking the change of bank option in the SIP enrolment form. Since the mandate is to be registered on his new bank, the form must be submitted at least 21 days prior to the next installment.
23. Pause facility is available for all eligible schemes except UTI ULIP. Can be opted only after payment of 6 installments and twice in entire life span of SIP tenure. SIP can be paused for minimum of 1 installment and maximum of 6 installments (Monthly Frequency) and minimum of 1 quarterly installment and maximum of 2 quarterly installments (Quarterly Frequency).



Haq, ek behtar zindagi ka.

ACKNOWLEDGEMENT

UTI SMaRT SIP Form TM

UTI Mutual Fund, UTI Tower, 'Gn' Block, Bandra-Kurla Complex, Bandra (East), Mumbai - 400 051

Received from Mr./Ms./Mrs.

Date

Folio No./Investor Id/Application No.

SIP/Micro SIP Application for Scheme/Plan/Option

Scheme	Plan	Option
Scheme	Plan	Option
Scheme	Plan	Option

Unit Holding Option	Payment Mechanism
<input type="checkbox"/> Physical Mode	<input type="checkbox"/> Electronic
<input type="checkbox"/> Demat Mode	<input type="checkbox"/> Cheque

TIME STAMP

Note: All purchases are subject to realisation of Cheque/receipt of funds

SYSTEMATIC**TRANSFER****INVESTMENT****PLAN**

STRIP ENROLMENT FORM

(Please read terms and conditions/instructions overleaf)

(OCBs & US Persons Including Qualified Foreign Investors Registered in USA and Canada and Residents of Canada are not allowed to Invest in Units of any of the schemes of UTI MF)



For office use only

Name of Financial Advisor and ARN	Sub ARN Code	Sub Code	EUI No.	MO Code	UTI RM No.	IH NO.	Reporting Branch Name
ARN-64917			E434563				

Upfront Commission shall be paid directly by the investor to the AMFI/NISM registered Distributor based on the investors' assessment of various factors including the service rendered by the distributor. I/We confirm that the EUI box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned or notwithstanding the advice of in-appropriateness, if any, provided by such distributor personnel and the distributor has not charged any advisory fees for this transaction. (Please tick only when EUI box is left blank)

Signature of 1st Applicant / Guardian	Signature of 2nd Applicant	Signature of 3rd Applicant
---------------------------------------	----------------------------	----------------------------

Application / Folio No. of Source Scheme Date:

1. FIRST / SOLE APPLICANT INFORMATION (MANDATORY)Name of First / Sole Applicant Name of the Second Applicant Name of the Third Applicant Name of the Guardian (in case First / Sole Applicant is a minor)

APPLICANT	PAN (Mandatory)	KYC Complied	Mobile No.	E-mail ID
First/Sole Applicant	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Second Applicant	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Third Applicant	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
Guardian	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>

2. SYSTEMATIC TRANSFER INVESTMENT PLAN DETAILS (Please note that it will take 7 days to Register STRIP)

Frequency of STRIP	Daily	Weekly	Monthly	Quarterly
Minimum No. of STRIP	20	6	6	2
Minimum amount	₹ 100	₹ 1000	₹ 1000	₹ 3000
Dates of transfer	All business days	1st, 7th, 15th and 25th	1st, 7th, 15th and 25th	1st, 7th, 15th and 25th

FROM - UTI	SCHEME NAME	Plan	Option
------------	-------------	------	--------

TO - UTI	SCHEME NAME	Plan	Option
----------	-------------	------	--------

Fixed Amount per transfer Frequency (Please ✓ Tick) DAILY WEEKLY MONTHLY QUARTERLY

Dates (please ✓ tick) 1st 7th 15th 25th Number of transfers

Transfer period from To

3. DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Scheme Information Document (SID) and Key Information Memorandum (KIM), addenda issued till date of the source scheme as well as destination scheme and the terms/conditions overleaf. I/We hereby apply for enrolment under STRIP and agree to abide by the terms and conditions of STRIP. I/We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements.

I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

* I/We confirm that we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO Account.

I/We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund.

* Applicable to NRIs

(Signature)
First /Sole Unitholder /Guardian

(Signature)
Second Unitholder

(Signature)
Third Unitholder

Acknowledgement of STRIP Enrolment Form (To be filled in by the Unit holder)(for existing unitholder) Folio No. Received from Mr./Miss/Mrs : STRIP application.Amount of transfer per installment ₹ From Scheme / Plan to Scheme/Plan

Transfer Frequency <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	STRIP Date <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 15th <input type="checkbox"/> 25th	Transfer Period From <input type="text"/> DD / MM / YYYY to <input type="text"/> DD / MM / YYYY Fixed Amount per Transfer <input type="text"/> in figures	Date & Stamp of Receiving UFC
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Features and Terms & Conditions

1. **Systematic Transfer Investment Plan:** is a facility wherein investor can opt to transfer a fixed amount at regular intervals from one designated scheme to another designated scheme of UTI Mutual Fund.
2. **Minimum amount of investment:** in the source scheme is Rs 12,000/-. This is applicable for the initial investment in the case of new investors and outstanding balance in the case of existing Unitholders.
The minimum amount of investment for "Institutional Plan" under the Source Schemes, wherever applicable, for Systematic Transfer Investment Plan (STRIP) will be the same as applicable for normal investment under "Institutional Plan" as mentioned in the Scheme Information Document of the respective Schemes. Where the Destination Scheme is UTI-Floating Rate Fund (Short Term Plan) / UTI-Liquid Cash Plan / UTI-Money Market Fund / UTI-Treasury Advantage Fund, an Investor is required to maintain the minimum amount required for the scheme as applicable for the respective schemes.
3. **Default Investment:** In the "To Scheme" if no investment option is ticked default option will be Growth Option. If no dividend option type is selected, default option will be "Reinvestment". If no frequency is ticked, however date of transfer is ticked by the investor, then by default Monthly option will be considered. If no frequency is ticked and if all 4 dates are ticked, same will be treated as weekly option and processed accordingly.
If frequency and Date are ticked however no period is mentioned / Number of transfer is left blank, STP shall commence immediately on the next STP date (Business Day) after 7 days from the time stamping date of the form and will continue until default period of 5 years.
Default amount:- If no amount is mentioned then by default minimum amount as prescribed under each frequency shall be considered as the STRIP amount.
4. **Periodicity of transfer:** STRIP facility is offered with the following periodicity: Daily, Weekly, Monthly and Quarterly. Weekly STRIP will not be allowed, if the STRIP under the folio is already registered with any other frequency (Daily / Monthly / Quarterly).
5. **Minimum No. of Days for Registration of STRIP:** The application for enrolment of STRIP should be submitted atleast 7 days prior to the commencement of first STRIP date.
6. **Transfer of funds:** Transfer of funds will take place from source scheme to destination scheme for the schemes mentioned below in the table.
7. **Date of transfer:** Unitholders will be eligible to transfer a fixed amount on daily basis i.e. on every business day, on specified date i.e. 1st, 7th, 15th, & 25th of each month under Weekly periodicity; on a pre-specified date i.e. on 1st or 7th or 15th or 25th of each month under the Monthly periodicity and on 1st or 7th or 15th or 25th of the first month of each quarter, under Quarterly periodicity. If that day being a holiday, next business day would be considered for the transaction.
8. **Minimum amount of transfer:** The minimum amount to be transferred is Rs.100/- per business day under Daily Periodicity; Rs.1000/- under the Weekly Periodicity; Rs.1,000/- under the Monthly Periodicity and Rs.3,000/- under the Quarterly Periodicity.

9. **Maximum amount of transfer:** There is no upper limit for transfer under all the periodicities.
10. **Minimum Number of STRIPS:** Minimum number of STRIP will be 20 under Daily Periodicity, 6 under Weekly Periodicity, 6 under Monthly Periodicity and 2 under Quarterly Periodicity. There will be no upper limit. If the minimum number is not mentioned, then by default, the transaction to be continued till the amount in the source scheme gets exhausted.
11. **Transfer of amount:** The transfer of amount from the source scheme to the destination scheme will be effected by redeeming the units of the source scheme at applicable NAV/redemption price as on specified date and the amount will be converted into units as per applicable NAV/purchase price under the destination scheme as on the specified date.
12. **Load:** Load structure existing at the time of investment under source / destination scheme will be applicable under the respective schemes.
In terms of SEBI circular no. SEBI/MD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. No entry load shall be charged for direct applications received by the Asset Management Company (AMC) i.e. applications received through internet, submitted to AMC or collection centre that are not routed through any distributor/agent/broker. No entry load shall also be charged for additional purchases done directly by the investor under the same folio and switch in to a scheme from other schemes if such a transaction is done directly by the investor.
Wherever the ARN/Code of a broker/IFA/sub-broker/distributor given in the application form has to be struck off, it has to be counter signed by the first applicant. In case the first applicant does not counter sign it the application will not be treated as a direct application. For direct applications, the area for providing ARN/ Code of a broker/IFA/subbroker/ distributor should not be left blank. It should be marked "Direct" or "Not Applicable".
All Official Points of Acceptance will be available on the website of UTI Mutual Fund www.utimf.com.
No entry and exit load will be charged on Bonus Units issued and on Units allotted on Re-investment of Dividend.
13. **Termination of STRIP:** STRIP will automatically get terminated under the following conditions
 - The unit holdings under the source scheme becomes nil or lower than minimum amount to be transferred as stipulated.
 - In the case of death of the first unit holder.
 - If the unit holder wishes to terminate at any time by sending a written request to official point of acceptance. Such notice will have to be sent at least 15 calendar days prior to the due date of the next transfer date.
 - If lien or pledge or STOP is marked against the units in the source scheme.
 - If redemption is put for all units or entire amount during the STRIP transfer then the STRIP would cease off after units are redeemed.

GENERAL INSTRUCTIONS

- (i) The name of unitholders (1st, 2nd & 3rd) and their order should be identically mentioned in the source scheme application form as well as STRIP enrolment form.
- (ii) New Investor desiring to opt for STRIP facility is required to fill in source scheme application form as well as STRIP enrolment form.
- (iii) The provision of "Minimum Application Amount" and "Minimum Redemption Amount" if specified in the respective scheme information document of the source and destination scheme will not be applicable in the case of transaction through STRIP except Institutional Plan.
- (iv) STRIP request will be registered for a folio, even if it is already under Systematic Investment Plan (SIP), Monthly Withdrawal Plan (MWP) or Fixed Withdrawal Plan (FWP).
- (v) If the residual amount in source Scheme is less than the scheme minimum amount or strip amount whichever is less, then the residual amount will be included in the last STRIP installment. However, on termination of STRIP, if the balance in the destination scheme is found to be below the minimum amount as per the provisions of scheme, the same will be redeemed at the redemption price applicable on the effective date of termination under the conditions stated in point no. 13 above.
- (vi) If the total investment amount to be transferred is not mentioned then the entire invested amount will be transferred.
- (vii) UTI Asset Management Co. Ltd. reserves the right to reject STRIP mandate without assigning any reasons thereof.
- (viii) UTI AMC reserves the right to change / modify the terms & conditions of STRIP facility at any time.
- (ix) SoAs under STRIP - Daily / Weekly Periodicity will be issued on a quarterly basis viz December, March, June & September respectively.
- (x) Know Your Client (KYC) requirement is now mandatory for all investors, irrespective of the amount of investment w.e.f. January 01, 2011. For details, please refer to Statement of Additional Information (SAI).
- (xi) **Note on EUIN:** Investors should mention the EUIN of the person who has advised the investor. If left blank, please sign the declaration provided in the application form. EUIN will assist in tackling the problem of mis-selling even if the employee/ relationship manager/sales person leave the employment of the ARN holder /Sub broker.
Applicability of EUIN :
 - a. Transactions to be included - Purchases, Switches, SIP/ STP / STP Triggers registration, Dividend Transfer Plan registration.
 - b. Transactions to be excluded - Auto SIP/ STP / SWP / STP Triggers Installments, Dividend Reinvestments, Bonus Units, Redemption, SWP Registration, Zero Balance Folio creation and Dividend Transfer Plan installments.

List of Schemes where STRIP facility is available

STRIP facility is available under all Plans and Options of the below mentioned Source/Destination Schemes.

Source Scheme	Destination Schemes (To any one of the schemes)
UTI-Banking & PSU Debt Fund	UTI Dividend Yield Fund
UTI-Bond Fund	UTI Energy Fund
UTI-Dynamic Bond Fund	UTI Equity Fund
UTI-Gilt Advantage Fund – LTP	UTI Equity Tax Savings Plan
UTI-G-Sec Fund Short Term Plan	UTI Infrastructure Fund
UTI-Income Opportunities Fund	UTI Leadership Equity Fund
UTI-MIS Advantage Plan	UTI Mastershare Unit Scheme
UTI-Monthly Income Scheme	UTI Mid Cap Fund
UTI-Short Term Income Fund	UTI MNC Fund
UTI-Floating Rate Fund (Short Term Plan)	UTI Multi Cap Fund
UTI-Liquid Cash Plan	UTI Nifty Index Fund
UTI-Money Market Fund	UTI Opportunities Fund
UTI-Treasury Advantage Fund	UTI Pharma & Healthcare Fund
UTI-SPrEAD Fund	UTI SPrEAD Fund
	UTI Top 100 Fund
	UTI Transportation and Logistics Fund
	UTI Wealth Builder Fund Series-II
	UTI-India Lifestyle Fund
	UTI-Banking & PSU Debt Fund
	UTI-Bond Fund
	UTI-Dynamic Bond Fund
	UTI-Gilt Advantage Fund – LTP
	UTI-G-Sec Fund Short Term Plan
	UTI-Income Opportunities Fund
	UTI-MIS Advantage Plan
	UTI-Monthly Income Scheme
	UTI-Short Term Income Fund
	UTI Children's Career Balanced Plan
	UTI Mahila Unit Scheme
	UTI Retirement Benefit Pension Fund
	UTI-CCP Advantage Fund
	UTI-Unit Scheme for Charitable & Religious Trusts & Registered Societies (UTI-CRTS)
	UTI-Floating Rate Fund (Short Term Plan)
	UTI-Liquid Cash Plan
	UTI-Money Market Fund
	UTI-Treasury Advantage Fund
	UTI-Unit Linked Insurance Plan
	UTI-Medium Term Fund

Following table may please be referred for Default options:

Default option				
Dividend Option type	Destination scheme option	If no frequency is ticked	If no dates are ticked	If no amount is mentioned
Dividend Reinvestment	Growth Option of the scheme	Monthly frequency by default	Immediately on the next STP date (Business Day) after 7 days from the time stamping date of the form and will continue until default period of 5 years	Minimum amount as prescribed under each frequency

Please refer instruction no. 3

Terms and Conditions of Systematic Withdrawal Plan

1. All correction / changes (if any) made on the registration form, needs to be authenticated by canceling the re-writing the correct details and countersigned by the sole/all applicants.
2. Unitholders are advised to read the Scheme Information Document of the respective scheme(s) and Statement of Additional Information carefully.
3. Existing unitholders are required to submit only the SWP registration form duly completed. Unitholders name should match with the details in the existing folio number, failing which the registration form is liable to be rejected. New unitholders who wish to register for SWP are required to fill the SWP registration form along with the Scheme Application Form.
4. The AMC reserves the right to change / modify the terms and conditions of SWP.
5. The provision for 'Minimum Redemption Amount' specified if any, in the respective Scheme Information Document will not be applicable for SWP.
6. In order to protect the interest of unitholders from fraudulent encashment of redemption cheques, SEBI has made it mandatory for Unitholders to provide their bank details viz. name of bank, branch, address, account type and number, etc. to the Mutual Fund. Unitholders should note that redemption / withdrawal proceeds under the SWP will be paid by forwarding a cheque or by directly crediting the Bank Account registered in the Scheme on the date of each withdrawal. In case an unitholder wishes to change the Bank Account/address, the unitholder may fill up the change in the bank/ Address form.
7. Unitholders must use separate 'SWP' enrolment forms for different Scheme / Plan / Option.
8. **Withdrawal of SWP:** Investor wish to withdraw the SWP facility by giving the letter in writing clearly specifying the details of the scheme and folio no., atleast 3 days prior to the next SWP date.
9. **Termination of SWP:**
SWP will automatically get terminated under the following conditions.
 - (a) The unitholding under the scheme becomes null.
 - (b) In the case of the death of the first unitholder.
10. The unit holder should submit the duly filled in SWP Enrolment Form at least one day before the first withdrawal date. The Activation of SWP will be subject to clear funds in scheme.
11. Systematic Withdrawal Plan (SWP) is available in the Dividend / Growth Option of the following schemes.

Scheme	Category	Scheme	Category
Banking & PSU Debt Fund	Debt	Value Opportunities Fund	Equity
Bond Fund	Debt	Healthcare Fund	Equity
Credit Risk Fund	Debt	Core Equity Fund	Equity
Short Term Income Fund	Debt	Transportation And Logistics Fund	Equity
Ultra Short Term Fund	Debt	Nifty Next 50 Index Fund	Equity
Money Market Fund	Debt	India Lifestyle Fund	Equity
Treasury Advantage Fund	Debt	Regular Savings Fund	Hybrid
Corporate Bond Fund	Debt	Hybrid Equity Fund	Hybrid
Dynamic Bond Fund	Debt	Multi Asset Fund	Hybrid
Floater Fund	Debt	Equity Savings Fund	Hybrid
Banking & Financial Services Fund	Equity	Arbitrage Fund	Hybrid
Dividend Yield Fund	Equity	Overnight Fund	Liquid
Equity Fund	Equity	Liquid Cash Plan	Liquid
Infrastructure Fund	Equity	Children's Career Fund (CCF) Investment Plan	Solutions Oriented
Mastershare Unit Scheme	Equity	Children's Career Fund (CCF) Savings Plan	Solutions Oriented
Mid Cap Fund	Equity	Retirement Benefit Pension Fund	Solutions Oriented
MNC Fund	Equity	Unit Linked Insurance Plan	Solutions Oriented
Nifty Index Fund	Equity	Long Term Equity Fund (Tax Saving)	Elss

The above list is subject to change from time to time. Please contact the nearest UTI Financial Centre for updated list.

12. **SWP Date:** as SWP date. In case of the date falls on a holiday/book closure period, the next day will be considered.
13. **Redemption of Units:** Based on the option opted by investor appropriate no. of units equivalent to the amount specified by the investor will be redeemed on **FIFO basis** (First in First Out).
14. Redemption of units under SWP will be at NAV after deducting the applicable exit load, TDS etc. for respective scheme.
15. The Unit holder needs to specify the start date and the end date in the SWP form. In cases where the start date and end date has not been specified, the SWP will commence from the first transaction date of the subsequent month in which such request is received and will continue till the balance in the account becomes nil.
16. Investors who have made investments in solutions oriented schemes / ELSS schemes and ULIP should note that SWP is not available to them during the stipulated lock in period i.e solutions oriented schemes - 5 Years / ELSS schemes - 3 Years / ULIP on till maturity.
17. SWP amount to be released primary bank account of investor for registered folio.

If undelivered, please return to:

Karvy Fintech Private Limited
Unit : UTI Mutual Fund
Karvy Selenium Tower B, Plot No. 31 & 32,
Financial District, Nanakramguda,
Serilingampally Mandal, Hyderabad - 500032, India.
Ph: 040-67162222, Email: uti@karvy.com